

## The Euler-Sombor Index of Trees

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### Abstract

In 2024, the Euler-Sombor index (EU) was introduced based on the calculation of the circumference of an ellipse, which is defined as

$$EU(G) = \sum_{uv \in E(G)} \sqrt{d(u)^2 + d(v)^2 + d(u)d(v)}.$$

In this paper, we present the maximum values of the Euler-Sombor index of trees with some given parameters, such as the matching number, the number of pendent vertices and the diameter.

## 1 Introduction

Recently, Gutman [20] introduced the Sombor index which has become very familiar in most of the recent studies. The Sombor index is defined

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as

$$SO(G) = \sum_{uv \in E(G)} \sqrt{d(u)^2 + d(v)^2},$$

where  $d(u)$  and  $d(v)$  are the degrees of vertices  $u$  and  $v$ . In a short period, the Sombor index has been extensively studied in literatures [1, 3, 4, 7–14, 16–19, 23, 28–32, 34–38, 41, 45–47]. Then, Gutman et al. [22] subsequently introduced a geometric method for constructing degree-based topological indices, the Elliptic Sombor index (ESO), which is defined as

$$ESO(G) = \sum_{uv \in E(G)} (d(u) + d(v)) \sqrt{d(u)^2 + d(v)^2}.$$

Espinal et al. [15] solved the extremal ESO problem for chemical graphs and trees with equal vertices. Tang et al. [43] analyzed the maximal value of the Elliptic Sombor index of trees with a given number of pendent vertices, diameter and matching number.

Soon after, Gutman [21] and Tang et al. [44] proposed another index with geometric significance, the Euler-Sombor index (EU), which is defined as

$$EU(G) = \sum_{uv \in E(G)} \sqrt{d(u)^2 + d(v)^2 + d(u)d(v)}.$$

After this, the Euler-Sombor index demonstrates its vitality as a new index. Hu et al. [25] found tight bounds for the Euler-Sombor index of maximal outerplanar graphs. Kirana et al. [26, 27] investigated the Elliptic and Euler Sombor indices of the union and corona products of paths, cycles and complete graphs, and also analyzed the Euler-Sombor index of trees, unicyclic graphs and chemical graphs. Tang et al. [39, 40, 44] studied the extremal values of the Euler-Sombor index in unicyclic and bicyclic graphs, highlighting its chemical relevance for property prediction. Bansode et al. [5] discussed the Euler-Sombor index, eigenvalues, and energy of some graph classes. Albalahia et al. [2] identified optimal Euler-Sombor index graphs for tricyclic molecules of a given order. G. O. Kızılrnak [33] determined the extremal values of the Euler-Sombor index of tricyclic graphs. Tache et al. [42] determined, for all  $x \geq 5$ , the first, second, and third minimum and maximum unicyclic graphs of order  $n$  with

respect to the Euler-Sombor index.

In this paper, we aim to give some sharp upper bounds for the Euler-Sombor index in trees, with some given parameters, such as the matching number, the number of pendent vertices and the diameter. The rest of the paper is organised as: In Section 2, some needed preliminary is given. In section 3, we present the main proof process. In Section 4, future research on the Euler-Sombor index is proposed.

## 2 Preliminaries

Throughout this paper, all graphs are assumed to be simple, undirected, and finite; see [6] for undefined terms. We denote the vertex and edge sets of a graph  $G$  by  $V(G)$  and  $E(G)$ , respectively. The number of vertices and the number of edges of  $G$  are called the *order* and the *size*, respectively. Let  $n$  and  $m$  represent the order and size of  $G$ , respectively. For a vertex  $u \in V(G)$ , the *degree* of  $u$ , denoted by  $d_G(u)$ , is the number of edges incident with  $u$  in  $G$ . The maximum degree of  $G$ , denoted by  $\Delta$ , is  $\max\{d_G(u) : u \in V(G)\}$ . A vertex  $u$  with  $d_G(u) = 1$  is a pendent vertex, and its incident edge is a pendent edge. The neighborhood of a vertex  $u$  is denoted by  $N_G(u)$ .

For  $S \subseteq E(G)$ ,  $G - S$  denotes the graph obtained from  $G$  by deleting the edges in  $S$ .  $G + S$  denotes the graph obtained by adding the edges in  $S$  to  $G$ . If  $S = \{uv\}$ , we can write  $G - uv$  and  $G + uv$  instead of  $G - S$  and  $G + S$ , respectively. For  $v \in V(G)$ ,  $G - v$  is the graph obtained from  $G$  by deleting  $v$  and all its incident edges.

Let  $P_n$  and  $S_n$  denote the path and star of order  $n \geq 1$ , respectively. An acyclic graph, by definition, contains no cycles. A connected acyclic graph is called a *tree*. A tree  $T$  is called to be a *caterpillar* if it becomes a path after deleting all pendent vertices.

A matching is a set of edges in a graph, no two of which share a vertex. Given a matching  $M$ , the endpoints of each edge in  $M$  are matched by  $M$ , and any vertex incident to an edge in  $M$  is covered by  $M$ . A perfect matching covers all vertices of a graph. A matching  $M$  is a maximum matching of  $G$  if no matching  $M'$  of  $G$  has a larger cardinality than  $M$ . The

matching number of  $G$ , denoted  $\alpha'(G)$ , is the cardinality of a maximum matching. A vertex cover of a graph  $G$  is a set  $S$  of vertices such that every edge of  $G$  is incident to a vertex in  $S$ . The covering number, denoted by  $\beta(G)$ , is the minimum cardinality of a vertex cover of  $G$ . The well-known König's min-max theorem states that

$$\alpha'(G) = \beta(G)$$

for any bipartite graph  $G$ . The distance  $d_G(u, v)$  between vertices  $u$  and  $v$  in  $G$  is the length of the shortest path connecting them. The diameter of a graph  $G$  is defined as  $\text{diam}(G) = \max_{\{u,v\} \subseteq V(G)} d_G(u, v)$ . The following lemmas are crucial for proving our main theorems.

**Lemma 1.** *the function*

$$f(x, y) = \sqrt{x^2 + y^2 + xy} - \sqrt{(x-1)^2 + y^2 + (x-1)y},$$

*is increasing with respect to  $x \in [1, +\infty)$  and is decreasing with respect to  $y \in [1, +\infty)$ , respectively.*

*Proof.* Since  $x \geq 1$ , we have

$$(x + \frac{1}{2}y)^2[(x-1)^2 + y^2 + (x-1)y] - (x + \frac{1}{2}y - 1)^2(x^2 + y^2 + xy) > 0.$$

A simple calculation shows that

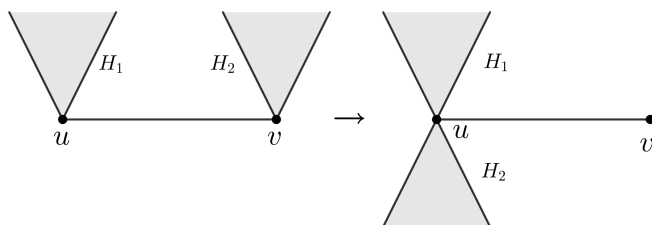
$$\frac{\partial f}{\partial x} = \frac{x + \frac{1}{2}y}{\sqrt{x^2 + y^2 + xy}} - \frac{x + \frac{1}{2}y - 1}{\sqrt{(x-1)^2 + y^2 + (x-1)y}} > 0.$$

As in the above proof process, we can obtain  $\frac{\partial f}{\partial y} < 0$ , when  $y \geq 1$ .

By the elementary calculus, the result follows. ■

**Lemma 2.** *Let  $T$  be a tree with an edge  $e = uv$  satisfying  $d_T(u) \geq 2$  and  $d_T(v) \geq 2$ .  $H_1 = \{uw : w \in N_T(v) \setminus \{u\}\}$ ,  $H_2 = \{vw : w \in N_T(v) \setminus \{v\}\}$ . If  $T' = T - H_1 + H_2$ , then*

$$EU(T') > EU(T).$$



**Figure 1.** The transformation-I

*Proof.* Let  $x = d_T(u) \geq 2$  and  $y = d_T(v) \geq 2$ . Since  $x \geq 2$  and  $y \geq 2$ , we have

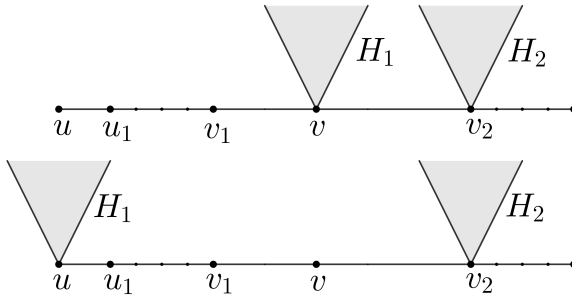
$$1 + (x + y - 1)^2 + x + y - 1 \geq x^2 + y^2 + xy.$$

Note that  $d_{T'}(w) = d_T(w)$ ,  $d_{T'}(u) = x + y - 1$  and  $d_{T'}(v) = 1$ , where  $w \in N_{T'}(u) \cup N_{T'}(v) \setminus \{u, v\}$ . Thus,

$$\begin{aligned} & EU(T') - EU(T) \\ &= \sum_{w \in N_T(v) \setminus \{u\}} \sqrt{(x + y - 1)^2 + d_{T'}^2(w) + (x + y - 1)d_{T'}(w)} \\ &\quad - \sum_{w \in N_T(v) \setminus \{u\}} \sqrt{y^2 + d_T^2(w) + yd_T(w)} \\ &\quad + \sqrt{(x + y - 1)^2 + 1 + x + y - 1} - \sqrt{x^2 + y^2 + xy} \\ &\quad + \sum_{w \in N_T(u) \setminus \{v\}} \sqrt{(x + y - 1)^2 + d_{T'}^2(w) + (x + y - 1)d_{T'}(w)} \\ &\quad - \sum_{w \in N_T(u) \setminus \{v\}} \sqrt{x^2 + d_T^2(w) + xd_T(w)} \\ &> 0. \end{aligned}$$

The proof is completed. ■

**Lemma 3.** Let  $P$  be the longest path of a tree  $T$ . Assume that  $u$  is an end vertex of  $P$  and  $v \in V(P)$  is the vertex such that  $d_P(v, u)$  is as small as possible, subject to  $d_T(v) \geq 3$ . Let  $u_1$  be the unique neighbor of  $u$



**Figure 2.** The transformation-II

on  $P$  and  $v_1$  and  $v_2$  be the two neighbors of  $v$  on  $P$ .  $H_1 = \{vw : w \in N_T(v) \setminus \{v_1, v_2\}\}$ ,  $H_2 = \{uw : w \in N_T(v) \setminus \{v_1, v_2\}\}$ . If  $T' = T - H_1 + H_2$ , then

$$EU(T') < EU(T).$$

*Proof.* Let  $x = d_T(v) \geq 3$ . Next, we consider two cases based on the value of  $d_P(v, u)$ .

**Case 1.**  $d_P(v, u) = 1$ .

By the assumption,  $u_1 = v$ ,  $v_1 = u$ ,  $d_{T'}(u) = x - 1$  and  $d_{T'}(v) = 2$ ,  $d_{T'}(v_2) = d_T(v_2)$ . Since  $x \geq 3$ , we have

$$\sqrt{(x-1)^2 + 2^2 + 2(x-1)} < \sqrt{x^2 + 1 + x}.$$

Thus,

$$\begin{aligned} & EU(T') - EU(T) \\ &= \sum_{w \in N_T(v) \setminus \{u, v_2\}} \sqrt{(x-1)^2 + d_{T'}^2(w) + (x-1)d_{T'}(w)} \\ &\quad - \sum_{w \in N_T(v) \setminus \{u, v_2\}} \sqrt{x^2 + d_T^2(w) + xd_T(w)} \\ &\quad + \sqrt{(x-1)^2 + 2^2 + 2(x-1)} - \sqrt{1 + x^2 + x} \\ &\quad + (\sqrt{2^2 + d_{T'}^2(v_2) + 2d_{T'}(v_2)} - \sqrt{x^2 + d_T^2(v_2) + 2d_T(v_2)}) \end{aligned}$$

$< 0$ .

**Case 2.**  $d_P(v, u) \geq 2$ .

By Lemma 1 and  $x \geq 3$ , we have

$$(\sqrt{(x-1)^2 + 2^2 + 2(x-1)} - \sqrt{x^2 + 2^2 + 2x}) - (\sqrt{7} - 2\sqrt{3}) < 0. \quad (1)$$

Furthermore, since  $d_P(v, u)$  is as small as possible, we have  $d_{T'}(u_1) = d_T(u_1) = 2$  and  $d_{T'}(v_1) = d_T(v_1) = 2$

Thus, by (1), we obtain

$$\begin{aligned} & EU(T') - EU(T) \\ &= \sum_{w \in N_T(v) \setminus \{v_1, v_2\}} (\sqrt{(x-1)^2 + d_{T'}^2(w) + (x-1)d_{T'}(w)} \\ &\quad - \sum_{w \in N_T(v) \setminus \{v_1, v_2\}} \sqrt{x^2 + d_T^2(w) + xd_T(w)}) \\ &\quad + \sqrt{(x-1)^2 + 2^2 + 2(x-1)} - \sqrt{7} + 2\sqrt{3} - \sqrt{x^2 + 2^2 + 2x} \\ &\quad + \sqrt{2^2 + d_{T'}^2(v_2) + 2d_{T'}(v_2)} - \sqrt{x^2 + d_T^2(v_2) + xd_T(v_2)} \\ &< (\sqrt{(x-1)^2 + 2^2 + 2(x-1)} - \sqrt{x^2 + 2^2 + 2x}) - (\sqrt{7} - 2\sqrt{3}) \\ &< 0. \end{aligned}$$

This completes the proof. ■

**Corollary.** For a tree  $T$  of order  $n \geq 3$ , we have

$$2\sqrt{7} + 2(n-3)\sqrt{3} \leq EU(T) \leq (n-1)\sqrt{(n-1)^2 + n}.$$

The left equality holds if and only if  $T \cong P_n$  and the right equality holds if and only if  $T \cong S_n$ .

*Proof.* Let  $T \not\cong S_n$ . Using a sequence of Transformation-I operations  $(T_1, T_2, \dots, T_t)$  as described in Lemma 2,  $T$  can be transformed into  $S_n$  through a sequence  $T_1 = T, T_2, \dots, T_t = S_n$  where  $EU(T_{i+1}) > EU(T_i)$

for all  $1 \leq i < t$ . Therefore,

$$EU(T) < EU(S_n) = (n-1)\sqrt{(n-1)^2 + n}.$$

Conversely, if  $T \not\cong P_n$ , Lemma 3 guarantees a sequence of Transformation-II operations  $(T_1, T_2, \dots, T_t)$  transforming  $T$  into  $P_n$ , where  $T_1 = T$ ,  $T_t = P_n$ , and  $EU(T_{i+1}) < EU(T_i)$  for all  $i \in \{1, \dots, t-1\}$ . Thus,

$$2\sqrt{7} + 2(n-3)\sqrt{3} = EU(P_n) < EU(T).$$

Additionally, if  $T \cong P_n$  or  $T \cong S_n$ , then equality holds on the right or left. ■

### 3 Main results

#### 3.1 Trees with matching number $\alpha'$

In this section, Let  $n, \alpha'$  be integers such that  $n \geq 2\alpha' \geq 2$ . Let  $T_{n,\alpha'}$  be the tree formed from the star  $S_{n-\alpha'-1}$  by subdividing  $\alpha' - 1$  of its pendent edges. Note that  $\alpha'(T_{n,\alpha'}) = \alpha'$ , and  $T_{n,\alpha'}$  and  $T_{2\alpha',\alpha'}$  are illustrated in Fig. 3. Simply, we obtain

$$\begin{aligned} EU(T_{n,\alpha'}) &= (n - 2\alpha' + 1)\sqrt{1 + (n - \alpha')^2 + n - \alpha'} \\ &\quad + (\alpha' - 1)(\sqrt{7} + \sqrt{2^2 + (n - \alpha')^2 + 2(n - \alpha')}). \end{aligned}$$

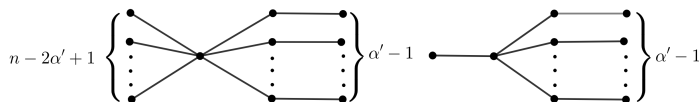
$T_{n,\alpha'}$  maximizes the Euler-Sombor index among trees of order  $n$  with matching number  $\alpha'$ . Define a function:

$$\begin{aligned} k(n, \alpha') &= (n - 2\alpha' + 1)\sqrt{1 + (n - \alpha')^2 + n - \alpha'} \\ &\quad + (\alpha' - 1)(\sqrt{7} + \sqrt{2^2 + (n - \alpha')^2 + 2(n - \alpha')}). \end{aligned}$$

We begin with a useful lemma (see [24]).

**Lemma 4.** [24] *If  $T$  is a tree of order  $n > 2\alpha'$  with  $\alpha'(T) = \alpha'$ , then there is a maximum matching  $M$  and a pendent vertex  $u$  such that  $M$  does not saturate  $u$ .*





**Figure 3.** The tree  $T_{n, \alpha'}$  (left) and  $T_{2\alpha', \alpha'}$  (right).

We extend the previous result to the Euler-Sombor index as follows.

**Lemma 5.** [7] Assume that  $T^*$  has the maximum Sombor index among all trees of order  $n$  with matching number  $\alpha'$ . If  $M$  is a maximum matching with  $|M| = \alpha'$ , then

- (1)  $e$  is a pendent edge of  $T^*$  for each  $e \in M$ ;
- (2)  $u$  is saturated by  $M$  for each non-pendent vertex.

**Lemma 6.** Assume that  $T^*$  has the maximum the Euler-Sombor index among trees of order  $n$  with matching number  $\alpha'$ . If  $M$  is a maximum matching with  $|M| = \alpha'$ , then

- (1)  $e$  is a pendent edge of  $T^*$  if  $e \in M$ ;
- (2)  $u$  is saturated by  $M$  if  $d(u) \geq 2$ .

*Proof.* To prove (1), let  $e = uv \in M$ . Assume  $e$  is not a pendent edge of  $T^*$ , implying  $d_{T^*}(u) \geq 2$  and  $d_{T^*}(v) \geq 2$ . Define  $T' = T^* - \{vw : w \in N_{T^*}(v) \setminus \{u\}\} + \{uw : w \in N_{T^*}(v) \setminus \{u\}\}$ .

**Claim 1.**  $\alpha'(T') = \alpha'(T^*)$ .

Because  $M$  is a matching of  $T'$ ,  $\alpha'(T') \geq |M| = \alpha'(T^*)$ . We now show that  $\alpha'(T') \leq \alpha'(T^*)$ . We can use König's theorem to prove  $\beta(T') \leq \beta(T^*)$ . Let  $S$  be a minimum cover of  $T^*$ . Then  $S'$  covers  $T'$ , where

$$S' = \begin{cases} S \setminus \{v\}, & u \in S \\ (S \setminus \{v\}) \cup \{u\}, & \text{otherwise.} \end{cases}$$

Thus,

$$\alpha'(T^*) = \beta(T^*) = |S| \geq |S'| \geq \beta(T') = \alpha'(T').$$

This proves the claim.

This contradicts the choice of  $T^*$  because Lemma 2 implies  $EU(T') > EU(T^*)$ .

Then, we prove (2). If  $T^* \cong S_n$ , the claim is immediate. Otherwise, assume  $T^* \not\cong S_n$  and contains a non- $M$ -saturated vertex  $u$  with  $d_{T^*}(u) \geq 2$ . Because  $T^*$  is not a star,  $u$  has a neighbor  $v$  with  $d_{T^*}(v) \geq 2$ . By the maximality of  $M$ ,  $v$  is  $M$ -saturated. Let  $T'' = T^* - \{vw : w \in N_{T^*}(v) \setminus \{u\}\} + \{uw : w \in N_{T^*}(v) \setminus \{u\}\}$ . By Claim 1, we have  $\alpha'(T'') = \alpha'$ . Thus,  $EU(T'') > EU(T^*)$  by Lemma 2, contradicting the maximality of  $T^*$ . ■

Recall that  $S \subseteq V(G)$  is an independent set of a graph  $G$  if no two vertices in  $S$  are adjacent. The Independence number of  $G$ , denoted by  $\alpha(G)$ , is the maximum cardinality of an independent set of  $G$ . The well-known Gallai identity says that

$$\alpha(G) + \beta(G) = n$$

for any graph  $G$ .

Next, we establish a sharp upper bound for the Euler-Sombor index  $EU(T)$  of a tree  $T$  with a specified matching number.

**Theorem 1.** *If  $T$  is a tree of order  $n > 2\alpha'$ , which has matching number  $\alpha'$ , then  $EU(T) \leq k(n, \alpha')$ , with equality if and only if  $T \cong T_{n, \alpha'}$ .*

*Proof.* Assume that  $T$  has the maximum Euler-Sombor index  $EU$  among all trees with order  $n$  with matching number  $\alpha'$ . If  $n = 3$ , then  $T \cong P_3 \cong T_{3,1}$ . Therefore, the result is immediate. Then, let  $n \geq 4$  and assume the result holds for all trees of order between  $2\alpha' + 1$  and  $n - 1$ . We proceed by induction on  $n$ .

Let  $M$  be a matching of  $T$  with  $|M| = \alpha'$ . By Lemma 4, a pendent vertex  $u$  exists such that  $T - u$  has a matching  $M$  with size  $\alpha'$ . Let  $v$  be the unique neighbor of  $u$ . Set  $x = d_T(v)$  and  $N_T(v) \setminus \{u\} = \{v_1, v_2, \dots, v_{x-1}\}$ . Let  $T' = T - u$ . Then  $T'$  is a tree of order  $n - 1$  with matching number  $\alpha'$ .

By Gallai's identity and König's theorem, we can know that  $x = d_T(v) \leq \alpha(T) = n - \beta(T) = n - \alpha'(T) = n - \alpha'$ . Let  $t$  be the number of pendent vertices in  $N_{T'}(v)$  in  $T'$ , say  $v_1, \dots, v_t$ . Lemma 6 implies that  $T$  has  $n - \alpha'$  pendent vertices. Therefore,  $t + 1 \leq n - \alpha' - (\alpha' - 1) = n - 2\alpha' + 1$ ,

which simplifies to  $t \leq n - 2\alpha'$ . By induction hypothesis,

$$EU(T') \leq (n - 2\alpha')\sqrt{1 + (n - 1 - \alpha')^2 + n - 1 - \alpha'} \\ + (\alpha' - 1)(\sqrt{7} + \sqrt{2^2 + (n - 1 - \alpha')^2 + 2(n - 1 - \alpha')}).$$

Since  $d_T(v_i) = d_{T'}(v_i) \geq 2$  for  $i \in \{t + 1, \dots, x - 1\}$ , by Lemma 1,

$$\sum_{i=t+1}^{x-1} (\sqrt{x^2 + d_T(v_i)^2 + xd_T(v_i)} - \sqrt{(x-1)^2 + d_{T'}(v_i)^2 + (x-1)d_{T'}(v_i)}) \\ = \sum_{i=t+1}^{x-1} f(x, d_T(v_i)) \leq \sum_{i=t+1}^{x-1} f(x, 2).$$

In addition, by  $x \leq n - \alpha'$ ,  $t \leq n - 2\alpha'$  and  $d_T(v_i) = d_{T'}(v_i) \geq 2$  we have

$$EU(T) \\ = EU(T') + \sqrt{x^2 + 1 + x} + \sum_{i=1}^t f(x, 1) + \sum_{i=t+1}^{x-1} f(x, d_T(v_i)) \\ \leq EU(T') + \sqrt{x^2 + 1 + x} + \sum_{i=1}^t f(x, 1) + \sum_{i=t+1}^{x-1} f(x, 2) \\ \leq EU(T') + \sqrt{(n - \alpha')^2 + 1 + (n - \alpha')} + tf(n - \alpha', 1) \\ + (n - \alpha' - t - 1)f(n - \alpha', 2) \\ \leq EU(T') + \sqrt{(n - \alpha')^2 + 1 + (n - \alpha')} + (n - \alpha' - 1)f(n - \alpha', 2) \\ + (n - 2\alpha')(f(n - \alpha', 1) - f(n - \alpha', 2)) \\ \leq (n - 2\alpha' + 1)\sqrt{1 + (n - \alpha')^2 + (n - \alpha')} \\ + (\alpha' - 1)(\sqrt{7} + \sqrt{2^2 + (n - \alpha')^2 + 2(n - \alpha')}).$$

A simple calculation shows that  $EU(T_{n,\alpha'}) = k(n, \alpha')$ . Conversely, if  $EU(T_{n,\alpha'}) = k(n, \alpha')$ , the preceding expression becomes an equality, implying  $x = d_T(u) = n - \alpha'$ ,  $t = n - 2\alpha'$ , and  $d_{T'}(v_i) = 2$  for  $n - 2\alpha' + 1 \leq i \leq n - \alpha' - 1$ . Hence,  $T \cong T_{n,\alpha'}$ . ■

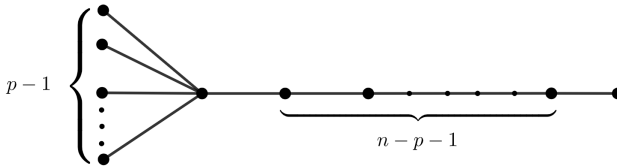
### 3.2 Trees with $p$ pendent vertices

In this section, let  $T_{n,p}$  be the tree obtained from the star  $S_{p+1}$  (where  $n \geq p \geq 2$ ) by subdividing one pendent edge  $n - p - 1$  times (see Fig. 4). Obviously,  $T_{n,p}$  has exactly  $p$  pendent vertices. By a simple computation, we have

$$EU(T_{n,p}) = (p-1)\sqrt{1+p^2+p} + \sqrt{2^2+p^2+2p} + 2(n-p-2)\sqrt{3} + \sqrt{7}.$$

$T_{n,p}$  maximizes the Euler-Sombor index among trees of order  $n$  with  $p$  pendent vertices. For clarity, define a function:

$$g(n,p) = (p-1)\sqrt{1+p^2+p} + \sqrt{2^2+p^2+2p} + 2(n-p-2)\sqrt{3} + \sqrt{7}.$$



**Figure 4.** The tree  $T_{n,p}$ .

**Theorem 2.** *If  $T$  is a tree of order  $n$  with  $p$  pendent vertices, then  $EU(T) \leq g(n,p)$ , with equality if and only if  $T \cong T_{n,p}$ .*

*Proof.* Let  $T$  be a tree of order  $n$  with  $p$  pendent vertices. The result follows immediately, since  $T \cong P_n \cong T_{n,2}$  when  $p = 2$ , and  $T \cong S_n \cong T_{n,n-1}$  when  $p = n - 1$ .

Next, let  $3 \leq p \leq n - 2$ . Assume the result holds for all trees  $T'$  of order  $n'$  with  $p'$  pendent vertices, where  $n' + p' < n + p$ . Let  $u$  be a leaf of  $T$ , and let  $v$  be its neighbor. Let  $x = d_T(v)$ . Note that  $2 \leq x \leq p$ . Let  $N_T(v) \setminus \{u\} = \{v_1, v_2, \dots, v_{x-1}\}$ . Because  $T$  is not a star,  $v$  has a neighbor  $v_1$  with degree at least two. Let  $T' = T - u$ . We now consider two cases based on  $d_T(v)$ .

**Case 1.**  $d_T(v) = 2$ .

By the assumption,  $d_{T'}(v) = 1$ , and thus,  $T'$  is a tree of order  $n - 1$  with  $p$  pendent vertices. By the induction hypothesis,

$$EU(T') \leq (p - 1)\sqrt{1 + p^2 + p} + \sqrt{2^2 + p^2 + 2p} + 2(n - p - 3)\sqrt{3} + \sqrt{7}.$$

In addition, since  $d_{T'}(v_1) = d_T(v_1) \geq 2$ , by Lemma 1,

$$\sqrt{2^2 + d_{T'}^2(v_1) + 2d_{T'}(v_1)} - \sqrt{1 + d_{T'}^2(v_1) + d_{T'}(v_1)} \leq 2\sqrt{3} - \sqrt{7}.$$

Thus,

$$\begin{aligned} EU(T) &= EU(T') + \sqrt{7} + \sqrt{2^2 + d_{T'}^2(v_1) + 2d_{T'}(v_1)} - \sqrt{1 + d_{T'}^2(v_1) + d_{T'}(v_1)} \\ &\leq EU(T') + \sqrt{7} + 2\sqrt{3} - \sqrt{7} \\ &\leq (p - 1)\sqrt{1 + p^2 + p} + \sqrt{2^2 + p^2 + 2p} + 2(n - p - 3)\sqrt{3} \\ &\quad + \sqrt{7} + \sqrt{7} + 2\sqrt{3} - \sqrt{7} \\ &= (p - 1)\sqrt{1 + p^2 + p} + \sqrt{2^2 + p^2 + 2p} + 2(n - p - 2)\sqrt{3} + \sqrt{7}. \end{aligned}$$

**Case 2.**  $d_T(v) \geq 3$ .

Since  $d_{T'}(v) = d_T(v) - 1 \geq 2$ ,  $T'$  is a tree of order  $n - 1$  with  $p - 1$  pendent vertices. Therefore, by the induction hypothesis,

$$\begin{aligned} EU(T') &\leq (p - 2)\sqrt{1 + (p - 1)^2 + p - 1} + \sqrt{2^2 + (p - 1)^2 + 2(p - 1)} \\ &\quad + 2(n - p - 2)\sqrt{3} + \sqrt{7}. \end{aligned}$$

Since  $d_{T'}(v_1) = d_T(v_1) \geq 2$  and  $d_{T'}(v_i) = d_T(v_i) \geq 1$  for each  $i \in \{2, 3, \dots, x - 1\}$ , by Lemma 1,

$$\sqrt{2^2 + d_{T'}^2(v_1) + 2d_{T'}(v_1)} - \sqrt{1 + d_{T'}^2(v_1) + d_{T'}(v_1)} \leq 2\sqrt{3} - \sqrt{7},$$

and

$$\sum_{i=2}^{x-1} \sqrt{d_{T'}^2(v) + d_{T'}^2(v_i) + d_T(v)d_T(v_i)} - \sqrt{d_{T'}^2(v) + d_{T'}^2(v_i) + d_{T'}(v)d_{T'}(v_i)}$$

$$\leq \sum_{i=2}^{x-1} (\sqrt{x^2 + 1 + x} - \sqrt{(x-1)^2 + 1 + x - 1}) = (x-2)f(x, 1).$$

In addition, since  $x \leq p$ , by Lemma 1,

$$\sqrt{x^2 + 1 + x} + (x-2)f(x, 1) + f(x, 2) \leq \sqrt{p^2 + 1 + p} + (p-2)f(p, 1) + f(p, 2).$$

Thus,

$$\begin{aligned} EU(T) &= EU(T') + \sqrt{x^2 + 1 + x} + \sum_{i=1}^{x-1} (\sqrt{x^2 + d_T^2(v_i) + x d_T(v_i)} \\ &\quad - \sqrt{(x-1)^2 + d_{T'}^2(v_i) + (x-1)d_{T'}(v_i)}) \\ &\leq EU(T') + \sqrt{x^2 + 1 + x} + (x-2)f(x, 1) + f(x, 2) \\ &\leq EU(T') + \sqrt{p^2 + 1 + p} + (p-2)f(p, 1) + f(p, 2) \\ &\leq (p-1)\sqrt{1 + p^2 + p} + \sqrt{2^2 + p^2 + 2p} + 2(n-p-2)\sqrt{3} + \sqrt{7}. \end{aligned}$$

A simple calculation shows that  $EU(T_{n,p}) = g(n, p)$ . On the other hand, if  $EU(T_{n,p}) = g(n, p)$ , then all the equalities hold in the above expression. Thus,  $x = d_T(v) = p$ ,  $d_T(v_1) = 2$  and  $d_T(v_i) = 1$  for  $2 \leq i \leq x-1$ , suggesting  $T \cong T_{n,p}$ . ■

### 3.3 Trees with diameter $d$

In this section, let  $n$  and  $d$  be integers such that  $n \geq d+1 \geq 2$ . Define  $T_{n,d}^i$  as the tree formed by attaching  $n-d-1$  edges to vertex  $u_i$  of the path  $P = u_0 u_1 \cdots u_d$  (see Fig. 5). Note that  $\text{diam}(T_{n,d}^i) = d$  and  $T_{n,d}^i \cong T_{n,d}^{d-i}$  for all  $i$ .

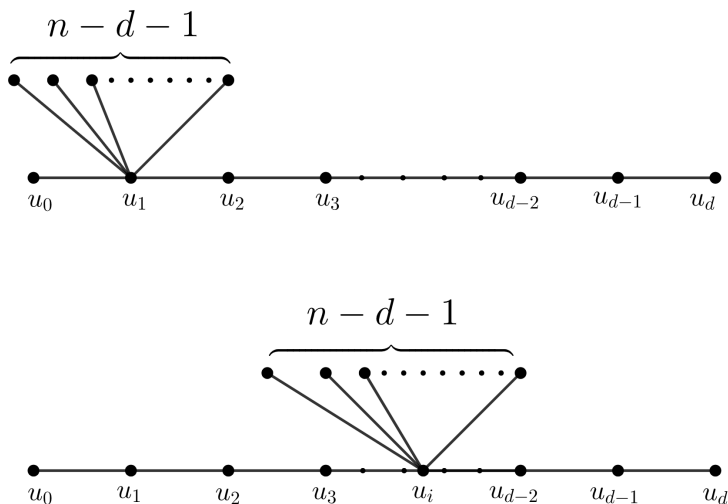
Simply, we have

$$\begin{aligned} EU(T_{n,d}^1) &= \sqrt{7} + \sqrt{2^2 + (n-d+1)^2 + 2(n-d+1)} \\ &\quad + (n-d)\sqrt{1 + (n-d+1)^2 + n-d+1} + 2(d-3)\sqrt{3}. \end{aligned}$$

Our goal is to prove that  $T_{n,d}^1$  maximizes the  $EU$  value among all trees of

order  $n$  and diameter  $d$ . To this end, we define the following function:

$$h(n, d) = \sqrt{7} + \sqrt{2^2 + (n - d + 1)^2 + 2(n - d + 1)} \\ + (n - d)\sqrt{1 + (n - d + 1)^2 + n - d + 1} + 2(d - 3)\sqrt{3}.$$



**Figure 5.** The tree  $T_{n,d}^1$  (above) and  $T_{n,d}^i$  (below).

**Lemma 7.** *If  $T^*$  has the maximum the the Euler-Sombor index among all trees of order  $n$  with diameter  $d$ , then  $T^*$  is a caterpillar.*

*Proof.* Assume for contradiction that  $T^*$  is not a caterpillar. Let  $P = u_0 u_1 \cdots u_d$  be a diametrical path of  $T^*$ . Since  $T^*$  is not a caterpillar, there exists an integer  $i$  between 2 and  $d - 2$  such that  $u_i$  has a neighbor  $v \notin \{u_{i-1}, u_{i+1}\}$  with  $d_{T^*}(v) \geq 2$ . Thus, the edge  $vu_i$  satisfies  $d_{T^*}(v) \geq 2$  and  $d_{T^*}(u_i) \geq 2$ . Let  $T' = T^* - \{vw : w \in N_{T^*}(v) \setminus \{u_i\}\} + \{u_i w : w \in N_{T^*}(v) \setminus \{u_i\}\}$ . One can see that  $T'$  is also a tree of order  $n$  with diameter  $d$ . This contradicts the maximality of  $T^*$ , since Lemma 2 implies  $EU(T') > EU(T^*)$ . ■

**Lemma 8.** *Let  $T^*$  be a caterpillar graph of order  $n$  and diameter  $d$ . If it has two vertices of degree greater than 2, then its Euler-Sombor index  $EU$*

cannot attain the maximum among all trees of order  $n$  with diameter  $d$ .

*Proof.* Let  $P = u_0 u_1 \cdots u_d$  be a diametrical path of  $T^*$ . Assume, for contradiction, that there exist  $u_i, u_j \in V(P)$  with  $i < j$ ,  $d_{T^*}(u_i) = \Delta \geq 3$ , and  $d_{T^*}(u_j) \geq 3$ , where  $i \in \{1, 2, \dots, d-1\}$ . Choose  $u_i$  and  $u_j$  such that  $d_T(u_i, u_j)$  is minimized. Let  $x = d_{T^*}(u_i)$  and  $y = d_{T^*}(u_j)$ . We consider two cases based on whether  $d_T(u_i, u_j) = 1$ .

**Case 1.**  $d_T(u_i, u_j) = 1$ .

Because  $i < j$ , we have  $u_j = u_{i+1}$ . Define  $T' = T^* - u_{i+1}w_1 + u_iw_1$ , where  $w_1 \in N_{T^*}(u_{i+1}) \setminus \{u_i, u_{i+2}\}$ . Then  $\text{diam}(T') = d$ .

Now, we show  $EU(T') > EU(T^*)$ . Since  $x \geq y \geq 3$ , we have

$$\sqrt{(x+1)^2 + (y-1)^2 + (x+1)(y-1)} > \sqrt{x^2 + y^2 + xy}.$$

By Lemma 7, we have  $d_{T'}(w) = d_{T^*}(w) = 1$ , where  $w \in N_{T^*}(u_i) \cup N_{T^*}(u_{i+1}) \setminus \{u_{i-1}, u_i, u_{i+1}, u_{i+2}\}$ .

Since  $x \geq y$ ,

$$\begin{aligned} & (x-2)(\sqrt{(x+1)^2 + 1 + x + 1} - \sqrt{x^2 + 1 + x}) \\ & > (y-3)(\sqrt{y^2 + 1 + y} - \sqrt{(y-1)^2 + 1 + y - 1}). \end{aligned}$$

and

$$\sqrt{(x+1)^2 + x + 2} - \sqrt{y^2 + 1 + y} \geq \sqrt{(y+1)^2 + y + 2} - \sqrt{y^2 + 1 + y}.$$

Since  $d_{T'}(u_{i+2}) = d_T(u_{i+2}) \geq 1$ , by Lemma 1,

$$\begin{aligned} & \sqrt{y^2 + d_{T'}^2(u_{i+2}) + yd_{T'}(u_{i+2})} - \sqrt{(y-1)^2 + d_{T^*}^2(u_{i+2}) + (y-1)d_{T^*}(u_{i+2})} \\ & = f(y, d_{T'}(u_{i+2})) \\ & \leq f(y, 1) = \sqrt{y^2 + 1 + y} - \sqrt{(y-1)^2 + 1 + y - 1}. \end{aligned}$$

In addition, since  $y+1 > y$ , by Lemma 1,

$$(\sqrt{(y+1)^2 + y + 2} - \sqrt{y^2 + 1 + y}) - (\sqrt{y^2 + 1 + y} - \sqrt{(y-1)^2 + y}) > 0.$$



Thus,

$$\begin{aligned}
& EU(T') - EU(T^*) \\
&= (x-2)f(x+1, 1) - (y-3)f(y, 1) + \sqrt{(x+1)^2 + x + 2} - \sqrt{y^2 + 1 + y} \\
&\quad + f(x+1, d_{T'}(u_{i-1})) - f(y, d_{T'}(u_{i+2})) \\
&\quad + (\sqrt{(x+1)^2 + (y-1)^2 + (x+1)(y-1)} - \sqrt{x^2 + y^2 + xy}) \\
&> (\sqrt{(x+1)^2 + x + 2} - \sqrt{y^2 + 1 + y}) - f(y, d_{T'}(u_{i+2})) \\
&> f(y+1, 1) - f(y, d_{T'}(u_{i+2})) \\
&> f(y+1, 1) - f(y, 1) \\
&> 0.
\end{aligned}$$

**Case 2.**  $d_T(u_i, u_j) \geq 2$ .

Since  $j \geq i + 2$ , let  $T' = T^* - u_j w_1 + u_i w_1$ , where  $w_1 \in N(u_j) \setminus \{u_{j-1}, u_{j+1}\}$ . Then  $\text{diam}(T') = d$ .

Now we show  $EU(T') > EU(T^*)$ .

By the choice of  $u_i$  and  $u_j$ ,  $d_{T^*}(u_{i+1}) = d_{T'}(u_{i+1}) = 2$  and  $d_{T^*}(u_{j-1}) = d_{T'}(u_{j-1}) = 2$ .

Since  $d_{T'}(u_{j+1}) = d_T(u_{j+1}) \geq 1$  and  $x \geq y \geq 3$ , by Lemma 1,

$$\begin{aligned}
& \sqrt{y^2 + d_{T'}^2(u_{j+1}) + y d_{T'}(u_{j+1})} - \sqrt{(y-1)^2 + d_{T^*}^2(u_{j+1}) + (y-1)d_{T^*}(u_{j+1})} \\
& \leq \sqrt{y^2 + 1 + y} - \sqrt{(y-1)^2 + y} \\
& \leq \sqrt{(y+1)^2 + 2 + y} - \sqrt{y^2 + 1 + y} = f(y+1, 1).
\end{aligned}$$

Thus,

$$\begin{aligned}
& EU(T') - EU(T^*) \\
&= (x-2)f(x+1, 1) - (y-3)f(y, 1) \\
&\quad + (\sqrt{(x+1)^2 + 1 + x + 1} - \sqrt{y^2 + 1 + y})
\end{aligned}$$

$$\begin{aligned}
& + f(x+1, d_{T'}(u_{i-1})) + f(x+1, 2) - f(y, 2) - f(y, d_{T'}(u_{j+1})) \\
& > f(y+1, 1) + f(x+1, 2) - f(y+1, 2) - f(y+1, 1) \\
& > 0.
\end{aligned}$$

Therefore, the proof is completed. ■

**Theorem 3.** *Let  $n$  and  $d$  be two integers with  $2 \leq d \leq n-2$ . If  $T$  is a tree of order  $n$  with diameter  $d$ , then  $EU(T) \leq h(n, d)$ , with equality if and only if  $T \cong EU(T_{n,d}^1)$ .*

*Proof.* Suppose  $T$  has the maximum Euler-Sombor index among all trees of order  $n$  with diameter  $d$ . Lemmas 7 and 8 imply  $T \cong T_{n,d}^i$  for some  $i \in \{1, 2, \dots, d-1\}$ . Simply put, if  $2 \leq i \leq d-2$ , then

$$\begin{aligned}
EU(T_{n,d}^i) = & 2\sqrt{7} + 2\sqrt{2^2 + (n-d+1)^2 + 2(n-d+1)} + 2(d-4)\sqrt{3} \\
& + (n-d-1)\sqrt{(n-d+1)^2 + 1 + (n-d+1)},
\end{aligned}$$

and

$$\begin{aligned}
EU(T_{n,d}^1) = & \sqrt{7} + \sqrt{2^2 + (n-d+1)^2 + 2(n-d+1)} \\
& + (n-d)\sqrt{1^2 + (n-d+1)^2 + n-d+1} + 2(d-3)\sqrt{3}.
\end{aligned}$$

Since  $n-d+1 > 2$ , by Lemma 1, we have

$$\begin{aligned}
EU(T_{n,d}^1) - EU(T_{n,d}^i) &= (2\sqrt{3} - \sqrt{7}) \\
& - (\sqrt{2^2 + (n-d+1)^2 + 2(n-d+1)} \\
& - \sqrt{(n-d+1)^2 + n-d+2}) \\
& = f(2, 2) - f(2, n-d+1) \\
& > 0.
\end{aligned}$$

Thus,  $T \cong T_{n,d}^1$ , completing the proof. ■

## 4 Discussion

In this paper, we give the sharp bounds for the Euler-Sombor index among all trees of order  $n$  with the matching number, the number of pendent vertices and the diameter, respectively. Naturally, the Euler-Sombor index is also meaningful for extremal problems in other special graph classes, especially for chemical graphs. For further study, the extremal problems for chemical trees are interesting.

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