

New Bounds on the Energy of Graphs with Self-Loops

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Abstract

Let G_σ be the graph obtained from a simple graph G of order n by adding σ self-loops, one self-loop at each vertex in $S \subseteq V(G)$. Let $\lambda_1(G_\sigma), \lambda_2(G_\sigma), \dots, \lambda_n(G_\sigma)$ be the eigenvalues of G_σ . The energy of G_σ , denoted by $\mathcal{E}(G_\sigma)$, is defined as $\mathcal{E}(G_\sigma) = \sum_{i=1}^n |\lambda_i(G_\sigma) - \frac{\sigma}{n}|$. In this paper, using various analytic inequalities and previously established results, we derive several new lower and upper bounds on $\mathcal{E}(G_\sigma)$.

1 Introduction

Let G_σ be the graph obtained from the simple graph G , which has n vertices and m edges, by attaching σ self-loops, one self-loop at each vertex in $S \subseteq V(G)$.

The adjacency matrix $A(G_\sigma) = (a_{ij})_{n \times n}$ of G_σ is a square and symmetric matrix of order n . The (i, j) -element is defined as:

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$$(a_{ij})_{n \times n} = \begin{cases} 1, & \text{if vertices } v_i \text{ and } v_j \text{ are adjacent;} \\ 0, & \text{if vertices } v_i \text{ and } v_j \text{ are not adjacent;} \\ 1, & \text{if } i = j \text{ and } v_i \text{ has a loop;} \\ 0, & \text{if } i = j \text{ and } v_i \text{ has no loop.} \end{cases}$$

Since $A(G_\sigma)$ is a real and symmetric matrix, all its eigenvalues are real. We denote the eigenvalues of $A(G_\sigma)$ as $\lambda_1, \lambda_2, \dots, \lambda_n$, with $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n$. All eigenvalues of a graph G_σ with each respective algebraic multiplicity give the *spectrum* of G_σ , denoted by $\text{Spec}(G_\sigma)$. The largest absolute value of the graph eigenvalues is called the *spectral radius*. The *energy* of G_σ was recently defined [15] as:

$$\mathcal{E}(G_\sigma) = \sum_{i=1}^n \left| \lambda_i - \frac{\sigma}{n} \right|.$$

In 1978, Gutman [12] introduced the concept of graph energy, denoted as $\mathcal{E}(G)$, for a graph G . Graph energy is a vital topological indicator used to approximate the total energy of π -electrons in conjugated hydrocarbons and plays a significant role in chemistry. Graph energy has been applied to various areas, including mathematics and mathematical chemistry [5, 6, 16, 17].

Self-loop graphs have been found to play a significant role in the mathematical study of heteroconjugated molecules [13–15]. In 2022, Gutman et al. introduced the concept of graph energy with self-loops, which carries distinct chemical significance [15]. Notably, several results regarding the energy of self-loop graphs have been obtained [2, 15, 18, 24].

The complete graph of order n is denoted by K_n and the complete bipartite graph with parts M and N with sizes m and n , is denoted as $K_{m,n}$. In [2], Akbari et al. established a necessary and sufficient condition for the bipartiteness of a connected graph G , involving the spectra $\text{Spec}(G_\sigma)$ and $\text{Spec}(G_{\bar{\sigma}})$, where $G_{\bar{\sigma}}$ is the the graph obtained from G , by attaching $\bar{\sigma}$ self-loops, one self-loop at each vertex in $V(G) \setminus S$. In [2], it was also proven that $\mathcal{E}(G_\sigma) \geq \mathcal{E}(G)$ when G is bipartite. Additionally, they derived an upper bound for $\lambda_1(G_\sigma)$ and determined the spectra of

$\text{Spec}((K_n)\sigma)$ and $\text{Spec}((Km, n)_\sigma)$ for all $n, m \geq 1$.

In [18], Jovanović et al. presented a set of graphs that disproves the conjecture that for all simple graphs G , $\mathcal{E}(G_\sigma) > \mathcal{E}(G)$, where $1 \leq \sigma \leq n - 1$ [15]. In [24], the authors obtained graphs such that $\mathcal{E}(G_\sigma) = \mathcal{E}(G)$ and $1 \leq \sigma \leq n - 1$. More on topological indices with self-loops refer to [3, 4, 25].

In this paper, we introduce novel lower and upper bounds for the energy of graphs with self-loops.

Before proceeding further, we introduce some necessary notation. The *maximum degree* of a graph G , denoted by $\Delta(G) = \Delta$, is the degree of the vertex with the greatest number of edges incident to it. The *minimum degree* of a graph G , denoted by $\delta(G) = \delta$, is the degree of the vertex with the least number of edges incident to it. The *graph spread of G* , denoted by $s(G)$, is the maximum absolute difference between any two eigenvalues of the adjacency matrix of G .

2 Lower bounds for the energy of graphs with self-loops

In this section, we establish lower bounds for $\mathcal{E}(G_\sigma)$ based on the k -th spectral moment. Additionally, we derive lower bounds for $\mathcal{E}(G_\sigma)$ that are dependent on the parameters n, m, σ, δ , and Δ . Finally, by employing analytic inequalities and previously established results, we will provide lower bounds for $\mathcal{E}(G_\sigma)$ linked to the graph spread ($s(G)$) and the spectral radius (λ_1) of the graph.

There are known lower bounds of graph energy $\mathcal{E}(G)$ associated with the k -th spectral moment $M_k(G)$ [8, 19, 27]. In a similar manner to $M_k(G)$, we define the k -th spectral moment of a self-loop graph G_σ with eigenvalues $\lambda_1, \lambda_2, \dots, \lambda_n$ as

$$M_k(G_\sigma) = \sum_{i=1}^n \lambda_i^k.$$

Specifically, for $k = 1, 2$, according to [15], we have the following lemma:

Lemma 1. [15] *Let G_σ be a graph of order n with m edges and σ self-loops,*

and let $\lambda_1, \lambda_2, \dots, \lambda_n$ be its eigenvalues. Then,

$$M_1(G_\sigma) = \sum_{i=1}^n \lambda_i = \sigma; \quad M_2(G_\sigma) = \sum_{i=1}^n \lambda_i^2 = 2m + \sigma.$$

Lemma 2. [10] Let a_i, b_i, p_i , and q_i be sequences of nonnegative real numbers, and $\alpha, \beta > 1$ with $\frac{1}{\alpha} + \frac{1}{\beta} = 1$. Then, the following inequality holds:

$$\alpha \sum_{i=1}^n q_i \sum_{i=1}^n p_i b_i^\beta + \beta \sum_{i=1}^n p_i \sum_{i=1}^n q_i a_i^\alpha \geq \alpha\beta \sum_{i=1}^n p_i b_i \sum_{i=1}^n q_i a_i.$$

Lemma 3. [10] Let a_i, b_i, c_i and d_i are sequences of real numbers and p_i, q_i are nonnegative for $i = 1, 2, \dots, n$. Then, the following inequality is valid

$$\sum_{i=1}^n p_i a_i^2 \sum_{i=1}^n q_i b_i^2 + \sum_{i=1}^n p_i c_i^2 \sum_{i=1}^n q_i d_i^2 \geq 2 \sum_{i=1}^n p_i a_i c_i \sum_{i=1}^n q_i b_i d_i.$$

Theorem 1. Let G_σ be a self-loop graph with m edges, n vertices and σ self-loops. Let $\lambda_1, \lambda_2, \dots, \lambda_n$ be the eigenvalues of G_σ . Then,

$$M_k(G_\sigma) \geq \frac{k}{2}(2m + \sigma) - \left(\frac{k}{2} - 1\right)n,$$

where $k \in \mathbb{Z}^+$ and $k \geq 3$.

Proof. Using Lemma 2, for $i = 1, 2, \dots, n$, let $\alpha = \frac{k}{2}, \beta = \frac{k}{k-2}, a_i = \lambda_i^2, b_i = p_i = q_i = 1$. Then,

$$\begin{aligned} \frac{k}{2} \sum_{i=1}^n 1 \cdot \sum_{i=1}^n 1 + \frac{k}{k-2} \sum_{i=1}^n 1 \cdot \sum_{i=1}^n \lambda_i^k &\geq \frac{k^2}{2(k-2)} \sum_{i=1}^n 1 \cdot \sum_{i=1}^n \lambda_i^2 \\ \frac{k}{2} n^2 + \frac{k}{k-2} n \sum_{i=1}^n \lambda_i^k &\geq \frac{k^2}{2(k-2)} n(2m + \sigma) \\ \sum_{i=1}^n \lambda_i^k &\geq \frac{k}{2}(2m + \sigma) - \left(\frac{k}{2} - 1\right)n. \end{aligned}$$

■

By setting $\sigma = 0$, it can be extended to simple graphs, leading to the following corollary.

Corollary 1. *Let G be a simple graph with n vertices and m edges. Let $\lambda_1, \lambda_2, \dots, \lambda_n$ be the eigenvalues of G . Then*

$$M_k(G) \geq km - \frac{k-2}{2}n,$$

where $k \in \mathbb{Z}^+$ and $k \geq 3$.

Remark 1. If $k = 2$, by the above two results, we have $M_2(G_\sigma) \geq 2m + \sigma$, and $M_2(G) \geq 2m$. Noting that in this case our bounds in Theorem 1 and Corollary 1 are sharp.

Theorem 2. *Let G_σ be a self-loop graph with m edges, n vertices and σ self-loops. Let $\lambda_1, \lambda_2, \dots, \lambda_n$ be the eigenvalues of G_σ . Let k be even and $k \geq 2$. Then,*

$$(1) \quad M_k(G_\sigma) \geq \sqrt{\frac{(k+2)^2 - 8}{8}(2m + \sigma)^2 + \frac{(k-2)^2}{8}n^2 - \frac{k^2 - 4}{4}(2m + \sigma)n};$$

$$(2) \quad M_k(G_\sigma) \geq \sqrt{\frac{k^2}{8}(2m + \sigma)^2 + \frac{k^2 - 8k + 8}{8}n^2 - \frac{k^2 - 4k}{4}(2m + \sigma)n}.$$

Proof. By applying Lemma 3 and Theorem 1.

(1) For $i = 1, 2, \dots, n$, let $p_i = q_i = \lambda_i^2$, $a_i = b_i = \lambda_i^{\frac{k-2}{2}}$, $c_i = d_i = 1$.

Then $\left(\sum_{i=1}^n \lambda_i^k\right)^2 + \left(\sum_{i=1}^n \lambda_i^2\right)^2 \geq 2\left(\sum_{i=1}^n \lambda_i^{\frac{k+2}{2}}\right)^2$. That is

$$\left(\sum_{i=1}^n \lambda_i^k\right)^2 + (2m + \sigma)^2 \geq 2\left(\frac{k+2}{4}(2m + \sigma) - \frac{k-2}{4}n\right)^2$$

Thus, we get

$$\sum_{i=1}^n \lambda_i^k \geq \sqrt{\frac{(k^2 + 4k - 4)}{8}(2m + \sigma)^2 + \frac{(k-2)^2}{8}n^2 - \frac{k^2 - 4}{4}(2m + \sigma)n}.$$

(2) For $i = 1, 2, \dots, n$, let $p_i = q_i = c_i = d_i = 1$, $a_i = b_i = \lambda_i^{\frac{k}{2}}$. Then

$\left(\sum_{i=1}^n \lambda_i^k\right)^2 + \sum_{i=1}^n 1 \cdot \sum_{i=1}^n 1 \geq 2 \left(\sum_{i=1}^n \lambda_i^{\frac{k}{2}}\right)^2$. That is

$$\left(\sum_{i=1}^n \lambda_i^k\right)^2 + n^2 \geq 2 \left(\frac{k}{4}(2m + \sigma) - \frac{k-4}{4}n\right)^2.$$

Then, we get

$$\sum_{i=1}^n \lambda_i^k \geq \sqrt{\frac{k^2}{8}(2m + \sigma)^2 + \frac{k^2 - 8k + 8}{8}n^2 - \frac{k^2 - 4k}{4}(2m + \sigma)n}.$$

■

Setting $\sigma = 0$, it can be extended to simple graphs.

Corollary 2. *For a simple graph G with m edges and n vertices, where $\lambda_1, \lambda_2, \dots, \lambda_n$ are the eigenvalues of G , and assuming that k is even and $k \geq 2$. Then,*

$$\begin{aligned} (1) \quad M_k(G) &\geq \sqrt{\frac{k^2 + 4k - 4}{2}m^2 + \frac{(k-2)^2}{8}n^2 - \frac{k^2 - 4}{2}mn}; \\ (2) \quad M_k(G) &\geq \sqrt{\frac{k^2}{2}m^2 + \frac{k^2 - 8k + 8}{8}n^2 - \frac{k^2 - 4k}{2}mn}. \end{aligned}$$

Remark 2. If $k = 2$, then (1) in Theorem 2 and Corollary 2 simplifies to $M_2(G_\sigma) \geq 2m + \sigma$ and $M_2(G) \geq 2m$, indicating that our bounds are sharp in this scenario.

Lemma 4. [27] *Let a_1, a_2, \dots, a_h be positive real numbers, where $h > 1$. And let r, s, t be the non-negative real numbers, such that $4r = s + t + 2$. Then*

$$\left[\sum_{i=1}^h (a_i)^r\right]^4 \leq \left(\sum_{i=1}^h a_i\right)^2 \cdot \sum_{i=1}^h (a_i)^s \cdot \sum_{i=1}^h (a_i)^t.$$

If $(s, t) \neq (1, 1)$, then equality holds if and only if $a_1 = a_2 = \dots = a_h$.

Theorem 3. *Let G_σ be a self-loop graph, and let r, s , and t be even, such that $4r = s + t + 2$. Then, we have the inequality:*

$$\mathcal{E}(G_\sigma) \geq \frac{M_r(G_\sigma)^2}{\sqrt{M_t(G_\sigma) \cdot M_s(G_\sigma)}} + \sigma - \frac{2h\sigma}{n},$$

where h is the number of nonzero eigenvalues in G_σ .

Before proceeding to the proof, we recall the following useful absolute value inequalities. For any real numbers a and b , it holds: $|a| - |b| \leq |a - b| \leq |a| + |b|$. Applying these inequalities to $\mathcal{E}(G_\sigma)$, we get:

$$\begin{aligned} \sum_{i=1}^n |\lambda_i| - \sigma &= \sum_{i=1}^n \left(|\lambda_i| - \frac{\sigma}{n} \right) \leq \sum_{i=1}^n \left| \lambda_i - \frac{\sigma}{n} \right| = \mathcal{E}(G_\sigma) \\ &\leq \sum_{i=1}^n \left(|\lambda_i| + \frac{\sigma}{n} \right) = \sum_{i=1}^n |\lambda_i| + \sigma. \end{aligned}$$

Proof. Let $\lambda_1, \lambda_2, \dots, \lambda_n$ be the eigenvalues of G_σ , and suppose that $\lambda_{j_1} \geq \lambda_{j_2} \geq \dots \geq \lambda_{j_h}$ are the nonzero eigenvalues of G_σ . Since G_σ has at least one edge, we have $\lambda_{j_1} = \lambda_1 > 0$ and $\lambda_{j_h} = \lambda_n < 0$. Using Lemma 4 for the positive numbers $a_i = |\lambda_{j_i}|$, $i = 1, 2, \dots, h$, and noting that r is even, we have, $\sum_{i=1}^h (a_i)^r = \sum_{i=1}^h |\lambda_{j_i}|^r = \sum_{i=1}^h \lambda_{j_i}^r = M_r(G_\sigma)$, and then

$$M_r(G_\sigma)^4 \leq \left(\sum_{i=1}^h |\lambda_{j_i}| \right)^2 \cdot M_s(G_\sigma) \cdot M_t(G_\sigma).$$

Furthermore,

$$\begin{aligned} \sum_{i=1}^h \left| \lambda_{j_i} - \frac{\sigma}{n} \right| + \frac{(n-h)\sigma}{n} &\geq \sum_{i=1}^h |\lambda_{j_i}| - \frac{h\sigma}{n} + \frac{(n-h)\sigma}{n} \\ &\geq \frac{M_r(G_\sigma)^2}{\sqrt{M_s(G_\sigma) \cdot M_t(G_\sigma)}} - \frac{h\sigma}{n} + \frac{(n-h)\sigma}{n}. \end{aligned}$$

That is

$$\mathcal{E}(G_\sigma) \geq \frac{M_r(G_\sigma)^2}{\sqrt{M_t(G_\sigma) \cdot M_s(G_\sigma)}} + \sigma - \frac{2h\sigma}{n}.$$

■

Apply Theorem 3 to $(s, t) = (2, 4)$, we have the following corollary.

Corollary 3. Let G_σ be a graph of order n with m edges and σ self-loops. Let h be the number of nonzero eigenvalues of G_σ . Then

$$\mathcal{E}(G_\sigma) \geq (2m + \sigma) \sqrt{\frac{2m + \sigma}{M_4(G_\sigma)}} + \sigma - \frac{2h\sigma}{n}.$$

By the following lemma, we will obtain a (n, m, σ) -type lower bound for $\mathcal{E}(G_\sigma)$.

Lemma 5. [15] Let G_σ be a graph of order n with m edges and σ self-loops. Let $\lambda_1, \lambda_2, \dots, \lambda_n$ be its eigenvalues. Then,

$$\sum_{i=1}^n \left| \lambda_i - \frac{\sigma}{n} \right|^2 = 2m + \sigma - \frac{\sigma^2}{n}.$$

Theorem 4. Let G_σ be a graph of order n with m edges and σ self-loops. Then,

$$\mathcal{E}(G_\sigma) \geq \sqrt{2m + \sigma - \frac{\sigma^2}{n}}.$$

Proof. Let $\lambda_1, \lambda_2, \dots, \lambda_n$ be the eigenvalues of G_σ .

$$\mathcal{E}(G_\sigma)^2 = \left(\sum_{i=1}^n \left| \lambda_i - \frac{\sigma}{n} \right| \right)^2 \geq \sum_{i=1}^n \left| \lambda_i - \frac{\sigma}{n} \right|^2 = 2m + \sigma - \frac{\sigma^2}{n}.$$

Hence, $\mathcal{E}(G_\sigma) \geq \sqrt{2m + \sigma - \frac{\sigma^2}{n}}$. ■

In order to prove Lemma 7, we need the following lemma.

Lemma 6. [7] Let $y = (y_1, y_2, \dots, y_n)^t$ in \mathbb{C}^n then

$$\sum_{i=1}^n |y_i| \leq \sqrt{n \sum_{i=1}^n |y_i|^2}.$$

Equality holds if and only if $|y_1| = \dots = |y_n| = \sqrt{\frac{1}{n} \sum_{i=1}^n |y_i|^2}$.

The inequality of next Lemma will be used in the proof of Theorem 5.

Lemma 7. Let G_σ be a self-loop graph with m edges, n vertices and σ self-loops. Let $\lambda_1, \lambda_2, \dots, \lambda_n$ be the eigenvalues of G_σ . Then,

$$\sum_{i=1}^n |\lambda_i| \leq \sqrt{n(2m + \sigma)}.$$

Proof. By applying Lemma 6, we have

$$\sum_{i=1}^n |\lambda_i| \leq \sqrt{n \sum_{i=1}^n |\lambda_i|^2} = \sqrt{n(2m + \sigma)}.$$

■

Lemma 8. [22] Let $y = (y_i)$ and $b = (b_i)$, $i = 1, 2, \dots, n$, be real number sequences such that $\sum_{i=1}^n |y_i| = 1$, and $\sum_{i=1}^n y_i = 0$. Then,

$$\left| \sum_{i=1}^n b_i y_i \right| \leq \frac{1}{2} \left(\max_{1 \leq i \leq n} (b_i) - \min_{1 \leq i \leq n} (b_i) \right).$$

Theorem 5. Let G_σ be a self-loop graph with m edges, n vertices and σ self-loops. Let d_1, d_2, \dots, d_n be the degree of vertices in G_σ and $\delta = \min_{1 \leq i \leq n} d_i$, $\Delta = \max_{1 \leq i \leq n} d_i$. Then,

$$\mathcal{E}(G_\sigma) \geq \frac{4\frac{\sigma}{n}(m + \sigma) - 2\Delta\sqrt{n(2m + \sigma)}}{\Delta - \delta}.$$

Proof. Let $\lambda_1, \lambda_2, \dots, \lambda_n$ be the eigenvalues of G_σ . Set $b_i = d_i$ and $y_i = \frac{\lambda_i - \frac{\sigma}{n}}{\sum_{i=1}^n |\lambda_i - \frac{\sigma}{n}|}$ for each $i = 1, 2, \dots, n$. Using the fact $\sum_{i=1}^n \lambda_i = \sigma$, we obtain

$$\sum_{i=1}^n y_i = \frac{\sum_{i=1}^n \lambda_i - \sigma}{\sum_{i=1}^n |\lambda_i - \frac{\sigma}{n}|} = 0, \quad \sum_{i=1}^n |y_i| = \frac{\sum_{i=1}^n |\lambda_i - \frac{\sigma}{n}|}{\sum_{i=1}^n |\lambda_i - \frac{\sigma}{n}|} = 1, \quad \text{and} \quad \sum_{i=1}^n d_i = 2(m + \sigma).$$

By using Lemma 8, we have

$$\left| \sum_{i=1}^n b_i y_i \right| = \left| \frac{\frac{\sigma}{n} \sum_{i=1}^n d_i}{\sum_{i=1}^n |\lambda_i - \frac{\sigma}{n}|} - \frac{\sum_{i=1}^n d_i \lambda_i}{\sum_{i=1}^n |\lambda_i - \frac{\sigma}{n}|} \right| \leq \frac{1}{2} (\Delta - \delta).$$

Since $\left| \sum_{i=1}^n d_i \lambda_i \right| \leq \sum_{i=1}^n |d_i \lambda_i| \leq \Delta \sum_{i=1}^n |\lambda_i|$ and applying Lemma 7, we have

$$\begin{aligned} \left| \frac{\frac{\sigma}{n} \sum_{i=1}^n d_i}{\sum_{i=1}^n |\lambda_i - \frac{\sigma}{n}|} - \frac{\sum_{i=1}^n d_i \lambda_i}{\sum_{i=1}^n |\lambda_i - \frac{\sigma}{n}|} \right| &\geq \frac{\frac{\sigma}{n} \sum_{i=1}^n d_i}{\sum_{i=1}^n |\lambda_i - \frac{\sigma}{n}|} - \left| \frac{\sum_{i=1}^n d_i \lambda_i}{\sum_{i=1}^n |\lambda_i - \frac{\sigma}{n}|} \right| \\ &\geq \frac{\frac{\sigma}{n} 2(m + \sigma)}{\mathcal{E}(G_\sigma)} - \frac{\Delta \sqrt{n(2m + \sigma)}}{\mathcal{E}(G_\sigma)}. \end{aligned}$$

Combining two inequalities above, we have

$$\mathcal{E}(G_\sigma) \geq \frac{4\frac{\sigma}{n}(m + \sigma) - 2\Delta \sqrt{n(2m + \sigma)}}{\Delta - \delta}.$$

■

The spread of a complex matrix A is defined as the diameter of its spectrum: $s(A) = \max_{i,j} |\lambda_i - \lambda_j| = \lambda_1 - \lambda_n$. If A is the adjacency matrix of G_σ , then $s(A) = s(G_\sigma)$.

Theorem 6. *Let G be a simple connected graph with m edges, n vertices. Let G_σ be a graph obtained from G by attaching σ self-loops. Then,*

$$\mathcal{E}(G_\sigma) \geq \frac{4m + 2\sigma - 2\frac{\sigma^2}{n}}{s(G_\sigma)}.$$

Proof. Let $\lambda_1, \lambda_2, \dots, \lambda_n$ be the eigenvalues of G_σ . Using Lemma 8, we set $b_i = \lambda_i$ and $y_i = \frac{\lambda_i - \frac{\sigma}{n}}{\sum_{i=1}^n |\lambda_i - \frac{\sigma}{n}|}$ for each $i = 1, 2, \dots, n$. Since $\sum_{i=1}^n \lambda_i^2 = 2m + \sigma$, we get

$$\left| \sum_{i=1}^n b_i y_i \right| = \left| \sum_{i=1}^n \frac{\lambda_i^2 - \frac{\sigma}{n} \lambda_i}{\sum_{i=1}^n |\lambda_i - \frac{\sigma}{n}|} \right| \leq \frac{1}{2} \left(\max_{1 \leq i \leq n} (b_i) - \min_{1 \leq i \leq n} (b_i) \right) = \frac{1}{2} s(G_\sigma).$$

Furthermore,

$$\left| \frac{\sum_{i=1}^n \lambda_i^2 - \frac{\sigma}{n} \sum_{i=1}^n \lambda_i}{\sum_{i=1}^n \left| \lambda_i - \frac{\sigma}{n} \right|} \right| \geq \frac{\sum_{i=1}^n \lambda_i^2}{\sum_{i=1}^n \left| \lambda_i - \frac{\sigma}{n} \right|} - \frac{\left| \frac{\sigma}{n} \sum_{i=1}^n \lambda_i \right|}{\sum_{i=1}^n \left| \lambda_i - \frac{\sigma}{n} \right|} = \frac{2m + \sigma - \frac{\sigma^2}{n}}{\mathcal{E}(G_\sigma)}.$$

Combining two inequalities above, we have $\mathcal{E}(G_\sigma) \geq \frac{4m + 2\sigma - 2\frac{\sigma^2}{n}}{s(G_\sigma)}$. ■

Lemma 9. *Let G_σ be a graph of order n with m edges and σ self-loops. Then,*

$$s(G_\sigma) \leq \lambda_1 + \sqrt{2m + \sigma - \lambda_1^2} \leq \sqrt{2(2m + \sigma)}.$$

Proof. Since $\sum_{i=1}^n \lambda_i^2 = 2m + \sigma$, we have $\lambda_1^2 + \lambda_n^2 \leq 2m + \sigma$. That is $-\sqrt{2m + \sigma - \lambda_1^2} \leq \lambda_n \leq \sqrt{2m + \sigma - \lambda_1^2}$. Then,

$$s(G_\sigma) = \lambda_1 - \lambda_n \leq \lambda_1 + \sqrt{2m + \sigma - \lambda_1^2}.$$

Now we consider the function $F(x) = x + \sqrt{2m + \sigma - x^2}$, where $x < \sqrt{2m + \sigma}$. By taking its first derivation, we can find that $F(x)$ takes the maximum value $\sqrt{2(2m + \sigma)}$, when x is equal to $\sqrt{\frac{2m + \sigma}{2}}$. Thus $s(G_\sigma) \leq \sqrt{2(2m + \sigma)}$. ■

Combining Theorem 6 and Lemma 9, we immediately obtain the following consequence.

Corollary 4. *For any connected self-loop graph G_σ with m edges and σ self-loops, we have*

$$\begin{aligned} \mathcal{E}(G_\sigma) &\geq \frac{4m + 2\sigma - 2\frac{\sigma^2}{n}}{\lambda_1 + \sqrt{2m + \sigma - \lambda_1^2}}; \\ \mathcal{E}(G_\sigma) &\geq \frac{\sqrt{2}(2m + \sigma - \frac{\sigma^2}{n})}{\sqrt{2m + \sigma}}. \end{aligned}$$

Lemma 10. [9] *Let a_i and b_i be the real numbers satisfy $ra_i \leq b_i \leq Ra_i$,*

for all $i = 1, 2, \dots, n$. Then,

$$\sum_{i=1}^n b_i^2 + rR \sum_{i=1}^n a_i^2 \leq (r + R) \sum_{i=1}^n a_i b_i .$$

Equality holds if and only if either $b_i = ra_i$ or $b_i = Ra_i$, for all $i = 1, 2, \dots, n$.

Theorem 7. Let G be a simple graph with n vertices and m edges. Let G_σ be a self-loop graph obtained from G by attaching σ self-loops. Then,

$$\mathcal{E}(G_\sigma) \geq \frac{2m + \sigma - n\lambda_1 \sqrt{2m + \sigma - \lambda_1^2} - \frac{2\sigma^2}{n}}{\lambda_1 + \sqrt{2m + \sigma - \lambda_1^2}} - \sigma.$$

Proof. Applying Lemma 10, setting $r = \lambda_n - \frac{\sigma}{n}$, $R = \lambda_1 + \frac{\sigma}{n}$, $b_i = |\lambda_i - \frac{\sigma}{n}|$, $a_i = 1$, for $i = 1, 2, \dots, n$, we have

$$\sum_{i=1}^n \left| \lambda_i - \frac{\sigma}{n} \right|^2 + (\lambda_n - \frac{\sigma}{n})(\lambda_1 + \frac{\sigma}{n}) \sum_{i=1}^n 1 \leq (\lambda_n + \lambda_1) \sum_{i=1}^n \left| \lambda_i - \frac{\sigma}{n} \right|.$$

Recall that $\sum_{i=1}^n \left| \lambda_i - \frac{\sigma}{n} \right|^2 = 2m + \sigma - \frac{\sigma^2}{n}$. Then,

$$2m + \sigma - \frac{2\sigma^2}{n} + n\lambda_1\lambda_n + \sigma(\lambda_n - \lambda_1) \leq (\lambda_1 + \lambda_n)\mathcal{E}(G_\sigma).$$

Since $-\sqrt{2m + \sigma - \lambda_1^2} \leq \lambda_n \leq \sqrt{2m + \sigma - \lambda_1^2}$, we get

$$\mathcal{E}(G_\sigma) \geq \frac{2m + \sigma - \frac{2\sigma^2}{n} - n\lambda_1 \sqrt{2m + \sigma - \lambda_1^2}}{\lambda_1 + \sqrt{2m + \sigma - \lambda_1^2}} - \sigma.$$

■

3 Upper bounds for the energy of graphs with self-loops

In this section, we present new upper bounds for $\mathcal{E}(G_\sigma)$ that are dependent on the parameters n , m , σ , and Δ .

For simple graphs G , there are numerous known results regarding the spectral radius $\lambda_1(G)$. In the case of $\lambda_1(G_\sigma)$, several new bounds for $\lambda_1(G_\sigma)$ have been provided as follows [2].

Lemma 11. [2] *Let G be a connected graph of order n and size m . Then,*

$$\lambda_1(G_\sigma) \geq \frac{2m}{n} + \frac{\sigma}{n}.$$

Lemma 12. [2] *Let G_σ be a self-loop graph of order n . Then,*

$$\lambda_1(G_\sigma) \leq \Delta(G) + 1 \leq n.$$

Moreover, $\lambda_1(G_\sigma) = n$ if and only if $G_\sigma = (K_n)_n$, the complete graph with n self-loops.

By the above two lemmas and the Cauchy-Schwarz inequality, we will obtain some upper bounds of $\mathcal{E}(G_\sigma)$.

Theorem 8. *Let G be a connected graph of order n and size m with maximum degree Δ . Let G_σ be the graph obtained from G by attaching σ self-loops. Then,*

$$\begin{aligned} \mathcal{E}(G_\sigma) \leq & \sqrt{(n-1) \left(2m + \sigma - \frac{4m^2 + 4m\sigma + 2\sigma^2}{n^2} + \frac{2\sigma}{n} \left(\Delta + 1 - \frac{\sigma}{2} \right) \right)} \\ & + \Delta + \frac{n - \sigma}{n}. \end{aligned}$$

Proof. Suppose that $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n$ are the eigenvalues of G_σ . By the Cauchy-Schwarz inequality, we get

$$\left(\sum_{i=2}^n \left| \lambda_i - \frac{\sigma}{n} \right| \right)^2 \leq (n-1) \sum_{i=2}^n \left| \lambda_i - \frac{\sigma}{n} \right|^2.$$

By Lemma 5, we have

$$\sum_{i=2}^n \left| \lambda_i - \frac{\sigma}{n} \right|^2 = 2m + \sigma - \frac{\sigma^2}{n} - \left| \lambda_1 - \frac{\sigma}{n} \right|^2 = 2m + \sigma - \lambda_1^2 + 2\frac{\sigma}{n}\lambda_1 - \frac{(1+n)\sigma^2}{n^2}.$$

Applying Lemmas 11, 12, we have

$$\begin{aligned}
 \mathcal{E}(G_\sigma) &= \sum_{i=1}^n \left| \lambda_i - \frac{\sigma}{n} \right| \\
 &\leq \sqrt{(n-1) \left(2m + \sigma - \lambda_1^2 + 2\frac{\sigma}{n}\lambda_1 - \frac{(1+n)\sigma^2}{n^2} \right)} + \left| \lambda_1 - \frac{\sigma}{n} \right| \\
 &\leq \sqrt{(n-1) \left(2m + \sigma - \frac{4m^2 + 4m\sigma + 2\sigma^2}{n^2} + 2\frac{\sigma}{n} \left(\Delta + 1 - \frac{\sigma}{2} \right) \right)} \\
 &\quad + \Delta + \frac{n - \sigma}{n} . \quad \blacksquare
 \end{aligned}$$

Theorem 9. *Let G be a connected graph of order n with m edges and maximum degree Δ . Let G_σ be the graph obtained from G by attaching σ self-loops. Then,*

$$\mathcal{E}(G_\sigma) \leq \Delta + 1 + \frac{(n-2)\sigma}{n} + \sqrt{(n-1) \left(2m + \sigma - \frac{(2m + \sigma)^2}{n^2} \right)} .$$

Proof. Suppose that $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n$ are the eigenvalues of G_σ . By the Cauchy-Schwarz inequality and Lemma 1,

$$\left(\sum_{i=2}^n |\lambda_i| \right)^2 \leq (n-1) \sum_{i=2}^n |\lambda_i|^2 = (n-1)(2m + \sigma - \lambda_1^2) .$$

Immediately, we have

$$\begin{aligned}
 \mathcal{E}(G_\sigma) &= \left| \lambda_1 - \frac{\sigma}{n} \right| + \sum_{i=2}^n \left| \lambda_i - \frac{\sigma}{n} \right| \\
 &\leq \Delta + 1 - \frac{\sigma}{n} + \sqrt{(n-1)(2m + \sigma - \lambda_1^2)} + \frac{(n-1)\sigma}{n} \\
 &\leq \Delta + 1 + \frac{(n-2)\sigma}{n} + \sqrt{(n-1) \left(2m + \sigma - \frac{(2m + \sigma)^2}{n^2} \right)} . \quad \blacksquare
 \end{aligned}$$

The inequality in the next lemma will be used in the proof of Theorem 10.

Lemma 13. [26] *Let $A = (a_{ij})$ be an $n \times n$ nonnegative symmetric matrix*

with positive row sums d_1, d_2, \dots, d_n . Then,

$$\lambda_1(A) \geq \sqrt{\frac{\sum_{i=1}^n d_i^2}{n}}$$

with equality if and only if A is regular or semiregular.

Theorem 10. Let G_σ be a self-loop graph with n vertices, m edges and σ self-loops. Let λ_1 be the maximum eigenvalue of G_σ . Then,

$$\lambda_1 \geq \frac{2(m + \sigma)}{n} - 1.$$

Proof. Let A be the adjacency matrix of G_σ . Let $A' = A + I_n$ with positive row sums c_1, c_2, \dots, c_n . Let d_1, d_2, \dots, d_n be the degree of vertices in G_σ . By applying Lemma 6, we have $\sum_{i=1}^n |d_i| \leq \sqrt{n \sum_{i=1}^n d_i^2}$. That is

$$2(m + \sigma) \leq \sqrt{n \sum_{i=1}^n d_i^2}. \text{ Thus, we get}$$

$$\sum_{i=1}^n d_i^2 \geq \frac{4(m + \sigma)^2}{n}.$$

Then, using Lemma 13, we obtain

$$\lambda_1(A') \geq \sqrt{\frac{\sum_{i=1}^n c_i^2}{n}} \geq \sqrt{\frac{\sum_{i=1}^n d_i^2}{n}} \geq \frac{2(m + \sigma)}{n}.$$

What's more, $\lambda_1(A') = \lambda_1(A) + 1$. Combining inequalities above, we get

$$\lambda_1(A) \geq \frac{2(m + \sigma)}{n} - 1.$$

■

Now we will give two (n, m, σ) -type upper bounds for $\mathcal{E}(G_\sigma)$.

Theorem 11. Let G_σ be a self-loop graph with n vertices, m edges and σ

self-loops. Then,

$$\mathcal{E}(G_\sigma) \leq \sqrt{\frac{n^2 + (2m + \sigma - \frac{\sigma^2}{n})^2}{2}}.$$

Proof. Let $a_i = b_i = q_i = p_i = 1$ and $c_i = d_i = |\lambda_i - \frac{\sigma}{n}|, i = 1, 2, \dots, n$. By Lemma 3, we have

$$\begin{aligned} \sum_{i=1}^n 1 \sum_{i=1}^n 1 + \left(\sum_{i=1}^n |\lambda_i - \frac{\sigma}{n}|^2 \right)^2 &\geq 2 \left(\sum_{i=1}^n |\lambda_i - \frac{\sigma}{n}| \right)^2 \\ n^2 + \left(2m + \sigma - \frac{\sigma^2}{n} \right)^2 &\geq 2\mathcal{E}(G_\sigma)^2 \\ \mathcal{E}(G_\sigma) &\leq \sqrt{\frac{n^2 + (2m + \sigma - \frac{\sigma^2}{n})^2}{2}}. \end{aligned}$$

■

Theorem 12. Let G_σ be a self-loop graph with n vertices, m edges and σ self-loops. Then,

$$\mathcal{E}(G_\sigma) \leq \frac{2m + \sigma - \frac{\sigma^2}{n} + n}{2}.$$

Proof. Applying Lemma 3, for $i = 1, 2, \dots, n$, let $a_i = |\lambda_i - \frac{\sigma}{n}|$, $b_i = p_i = q_i = c_i = d_i = 1$. Then,

$$\begin{aligned} \sum_{i=1}^n \left| \lambda_i - \frac{\sigma}{n} \right|^2 \cdot \sum_{i=1}^n 1 + \sum_{i=1}^n 1 \cdot \sum_{i=1}^n 1 &\geq 2 \sum_{i=1}^n \left| \lambda_i - \frac{\sigma}{n} \right| \cdot \sum_{i=1}^n 1 \\ \left(2m + \sigma - \frac{\sigma^2}{n} \right) n + n^2 &\geq 2n\mathcal{E}(G_\sigma) \\ \mathcal{E}(G_\sigma) &\leq \frac{2m + \sigma - \frac{\sigma^2}{n} + n}{2}. \end{aligned}$$

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