

# Graphs with Minimum Vertex–Degree Function–Index for Convex Functions\*

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## Abstract

An  $(n, m)$ -graph is a graph with  $n$  vertices and  $m$  edges. The vertex-degree function-index  $H_f(G)$  of a graph  $G$  is defined as  $H_f(G) = \sum_{v \in V(G)} f(d(v))$ , where  $f$  is a real function. Recently, Tomescu considered the upper bound of  $H_f(G)$  and got the connected  $(n, m)$ -graph  $G$  with  $m \geq n$  which maximizes  $H_f(G)$  if  $f(x)$  is strictly convex with two special properties. He also characterized all  $(n, m)$ -graphs  $G$  with  $1 \leq m \leq n$  satisfying that  $H_f(G) \leq f(m) + mf(1) + (n - m - 1)f(0)$  if  $f(x)$  is strictly convex and differentiable and its derivative is strictly convex. In this paper, we will consider the lower bound of  $H_f(G)$  and show that every  $(n, m)$ -graph with  $1 \leq m \leq n(n - 1)/2$  satisfies that  $H_f(G) \geq rf(k + 1) + (n - r)f(k)$  if  $f(x)$  is strictly convex, where  $k = \lfloor 2m/n \rfloor$  and  $r = 2m - nk$ . Moreover, the equality holds if and only if  $G \in \mathcal{G}(n, m)$ , where  $\mathcal{G}(n, m)$  is the family of all  $(n, m)$ -graphs  $G$  satisfying that the vertex-degree  $d(v) \in \{\lfloor \frac{2m}{n} \rfloor, \lceil \frac{2m}{n} \rceil\}$  for all  $v \in V(G)$ . Under the same condition on  $f$  we also obtain a result for the minimum of  $H_f(G)$  among all connected  $(n, m)$ -graphs. It is easy to see that if  $f(x)$  is strictly concave, we can get the maximum case for  $H_f(G)$ .

## 1 Introduction

We only consider simple and finite graphs in this paper. For terminology and notation not defined here, we refer the reader to [2,20]. We use  $V(G)$  and  $E(G)$  to denote the vertex-set and edge-set of a graph  $G$ , respectively. An  $(n, m)$ -graph is a graph  $G = (V(G), E(G))$ , where  $m = |E(G)|$  and  $n = |V(G)|$ . Let  $\mathcal{G}(n, m)$  represent the collection of all  $(n, m)$ -graphs. For any two vertices  $u$  and  $v$ , if  $u$  is adjacent to  $v$ , we denote it by  $u \sim v$ . A graph

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$G$  is called  $k$ -regular if the degree  $d(v) = k$  for every  $v \in V(G)$ . We denote a complete graph with  $n$  vertices by  $K_n$ . Moreover, we use  $C_n$  and  $P_n$  to denote a cycle and a path on  $n$  vertices, respectively.

For two disjoint graphs  $G$  and  $H$ , the union  $G \cup H$  of  $G$  and  $H$  is a new graph with  $V(G \cup H) = V(G) \cup V(H)$  and  $E(G \cup H) = E(G) \cup E(H)$ . For two disjoint graphs  $G$  and  $H$ , we use  $G \vee H$  to denote a new graph obtained by adding edges joining every vertex of  $G$  to every vertex of  $H$ . For a subset  $F$  of  $E(G)$ , we use  $G - F$  to denote the subgraph of  $G$  obtained by deleting all edges of  $F$  from  $G$ , whereas for a subset  $S$  of  $V(G)$ , we use  $G - S$  to denote the subgraph of  $G$  induced by  $V \setminus S$  in  $G$ . If  $M$  is a matching of  $G$ , we use  $|M|$  to denote the number of edges in  $M$ .

Denote the degree of a vertex  $v$  in  $G$  also by  $d_v$ , and denote the sequence of degrees of a graph  $G$  with  $n$  vertices by  $\mathbf{d} = (d_1, d_2, \dots, d_n)$ . In this paper, we will study a kind of general chemical index, called the vertex-degree function-index  $H_f(G)$  of a graph  $G$  with function  $f(x)$ , which was first introduced by Linial and Rozenman in [14], and is defined as follows:

$$H_f(G) = \sum_{v \in V(G)} f(d_v).$$

Another topological function-index  $TI$  was introduced by Gutman in [5]. For a symmetric real function  $f(x, y)$  and a graph  $G$ , the topological index is defined as

$$TI(G) = \sum_{uv \in E(G)} f(d_u, d_v).$$

This was also called the *bond-incident-degree index*  $BID(G)$  by Vukičević and Durdević in [21]. Notice that by taking the symmetric real function equals to  $f(x)/x + f(y)/y$  for some function  $f(x)$ , one could deduce that  $H_f(G)$  is a special case of  $TI(G)$ . For more knowledge on  $TI$  we refer to [4, 5, 10, 16, 21], and we denoted  $TI(G)$  by  $IT_f(G)$  in [10].

In the past years, many researchers have done a lot of work on chemical indices, including Zagreb indices; see [3, 6, 8, 9, 11–13, 17] and the references therein. Recently, Tomescu [18, 19] studied  $H_f(G)$  for convex function  $f$ . He gave some upper bounds for the function-index  $H_f(G)$  and the function  $f$  is required to satisfy some other properties except for the convexity. Their results are stated as follows.

**Theorem 1.1.** [Lemma 2.2 [18]] *If  $G \in G(n, m)$  maximizes (minimizes)  $H_f(G)$  where  $f(x)$  is strictly convex (concave), then  $G$  has at most one nontrivial connected component  $C$  and  $C$  has a vertex of degree  $|V(C)| - 1$ .*

**Theorem 1.2.** [Theorem 2.3 [19]] Let  $n \geq 2$  and  $G \in \mathcal{G}(n, m)$  such that  $1 \leq m \leq n - 1$ . If  $f(x)$  is a strictly convex function having property that  $f(x)$  is differentiable and its derivative is strictly convex, then it holds that

$$H_f(G) \leq f(m) + mf(1) + (n - m - 1)f(0),$$

with equality if and only if  $G = S_{m+1} \cup (n - m - 1)K_1$ .

**Theorem 1.3.** [Theorem 2.4 [19]] If  $n \geq 3$ ,  $n \leq m \leq 2n - 3$ ,  $f(x)$  is a strictly convex function having property that  $f(x)$  is differentiable and its derivative is strictly convex, and  $G \in \mathcal{G}(n, m)$  is connected, then it holds that

$$H_f(G) \leq f(n - 1) + f(m - n + 2) + (m - n + 1)f(2) + (2n - m - 3)f(1),$$

with equality if and only if  $G = K_1 \vee (K_{1, m-n+1} \cup (2n - m - 3)K_1)$ .

As one can see, Tomescu's results are all about the upper bound of  $H_f(G)$ . Ali et al. in [1] gave the following lower bound for connected  $(n, m)$ -graphs under some constraints on  $n$  and  $m$ .

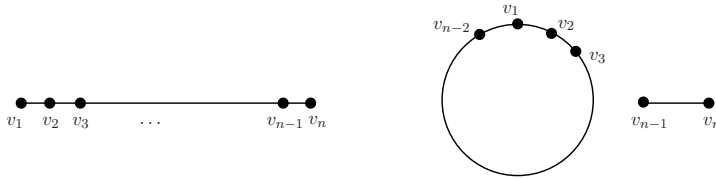
**Theorem 1.4.** [Theorem 1 [1]] If  $n \geq 4$ ,  $3n/2 \geq m \geq n + 1$  and  $f(x)$  is a convex function, then among all connected  $(n, m)$ -graphs, graphs in  $\mathcal{G}(n, m)$  attain the minimum value of  $H_f(G)$ , where the graph family  $\mathcal{G}(n, m)$  is defined in the following Definition 1.5.

In this paper, we will further study the minimum (maximum) values of  $H_f(G)$  among all  $(n, m)$ -graphs with the property that  $f$  is strictly convex (concave). Moreover, we will give a same result among all connected  $(n, m)$ -graphs. Note that our result Theorem 1.7 will cover the result Theorem 1.4. Before proceeding, we give the definition of our extremal graphs as follows.

**Definition 1.5.** Given  $n \geq 2$  and  $1 \leq m \leq n(n - 1)/2$ , define  $\mathcal{G}(n, m)$  to be the family of all  $(n, m)$ -graphs  $G$  satisfying that  $d(v) \in \{\lfloor \frac{2m}{n} \rfloor, \lceil \frac{2m}{n} \rceil\}$  for all  $v \in V(G)$ .

For an  $(n, m)$ -graph  $G$ , let  $k = \lfloor 2m/n \rfloor$  and  $r = 2m - kn \in \{0, 1, \dots, n - 1\}$ , then  $G$  belongs to  $\mathcal{G}(n, m)$  if and only if  $G$  has  $r$  vertices of degree  $k$  and  $n - r$  vertices of degree  $k + 1$ . Note that for some given  $m$  and  $n$ , the graph family  $\mathcal{G}(n, m)$  contains both connected and disconnected graphs. We give an example in Figure 1.

Our main results are stated as follows.



**Figure 1.** Graphs  $P_n$  and  $C_{n-2} \cup K_2$  in  $\mathcal{G}(n, m)$  for  $m = n - 1$  and  $n \geq 5$ .

**Theorem 1.6.** Let  $n \geq 2$  and  $G$  be an  $(n, m)$ -graph with  $1 \leq m \leq n(n-1)/2$ , and let  $k = \lfloor 2m/n \rfloor$  and  $r = 2m - kn$ . If  $f$  is a strictly convex function, then it holds that

$$H_f(G) \geq rf(k+1) + (n-r)f(k),$$

and the equality holds if and only if  $G \in \mathcal{G}(n, m)$ .

We will construct some graphs to show that for  $n \leq m \leq n(n-1)/2$ , there are connected graphs  $G \in \mathcal{G}(n, m)$ , and for  $m = n - 1$ , we have the path  $P_n \in \mathcal{G}(n, n - 1)$ . Therefore, if we consider only connected  $(n, m)$ -graphs, we also have the following result.

**Theorem 1.7.** Let  $n \geq 2$  and  $G$  be a connected  $(n, m)$ -graph with  $n-1 \leq m \leq n(n-1)/2$ , and let  $k = \lfloor 2m/n \rfloor$  and  $r = 2m - kn$ . If  $f$  is a strictly convex function, then it holds that

$$H_f(G) \geq rf(k+1) + (n-r)f(k),$$

and the equality holds if and only if  $G$  is connected and  $G \in \mathcal{G}(n, m)$ .

Our results can cover some previous known results. For example, for the general zeroth-order Randić index  ${}^0R_\alpha(G)$ , the function  $f(x) = x^\alpha$  is strictly convex for  $\alpha > 1$ . Then we can obtain a lower bound of Randić index  ${}^0R_\alpha(G)$  by Theorem 1.6, and moreover,  ${}^0R_\alpha(G)$  attains the minimum if and only if  $G \in \mathcal{G}(n, m)$ .

## 2 Preliminaries

At first we recall an important inequality, the *Jensen inequality*, which states that

$$\sum_{i=1}^n f(x_i) \geq nf\left(\frac{\sum_{i=1}^n x_i}{n}\right)$$

for any  $x_1, x_2, \dots, x_n \in [a, b]$  if  $f$  is a convex function on an interval  $[a, b]$ . Using this inequality, we can get the following lemma.

**Lemma 2.1.** Let  $n \geq 1$ ,  $m \geq 0$  be integers and  $f$  be a strictly convex function. Suppose that  $s_1, s_2, \dots, s_n$  is a sequence of non-negative integers such that  $\sum_{i=1}^n s_i = 2m$ . Let  $k = \lfloor 2m/n \rfloor$  and  $r = 2m - nk$ . Then we have

$$\sum_{i=1}^n f(s_i) \geq rf(k+1) + (n-r)f(k).$$

*Proof.* If  $r = 0$ , then by the convexity of  $f$  and the *Jensen inequality*, we have

$$\sum_{i=1}^n f(s_i) \geq nf\left(\frac{\sum_{i=1}^n s_i}{n}\right) = nf\left(\frac{2m}{n}\right) = nf(k).$$

It remains to show that the result is true for any  $r \in \{1, 2, \dots, n-1\}$ . Suppose that  $\{s_i\}_{i=1}^n$  is a sequence of integers such that  $\sum_{i=1}^n f(s_i)$  is minimal. We claim that  $s_i \in \{k, k+1\}$  for all  $1 \leq i \leq n$ . If the claim does not hold, without loss of generality, suppose that  $s_1 \geq s_2 \geq \dots \geq s_n$ . Since  $1 \leq r \leq n-1$ , we have  $s_1 \geq k+1$  and  $s_n \leq k$ . Then, there would be some  $s_i \notin \{k, k+1\}$  such that either  $s_1 \geq k+2$  or  $s_n \leq k-1$ . Thus,  $s_1 - s_n - 1 \geq 1$ . Let  $s'_1 = s_1 - 1$ ,  $s'_i = s_i$  for  $2 \leq i \leq n-1$  and  $s'_n = s_n + 1$ . Since  $s_1 - s_n - 1 \geq 1$ ,  $s'_1 \neq s_n$  and  $s'_n \neq s_1$ , it shows that  $\{s'_i\}_{i=1}^n$  is a different sequence from  $\{s_i\}_{i=1}^n$ . Since  $f$  is a strictly convex function, then  $f(x+1) - f(x)$  is strictly monotone increasing. So, we would obtain that

$$\sum_{i=1}^n f(s'_i) - \sum_{i=1}^n f(s_i) = [f(s_n+1) - f(s_n)] - [f(s_1) - f(s_1-1)] < 0,$$

which contradicts the minimality of  $\sum_{i=1}^n f(s_i)$ .

The proof is thus complete. ■

We prove Theorem 1.7 by constructing a connected  $(n, m)$ -graph  $G$  such that  $d(v) \in \{\lfloor \frac{2m}{n} \rfloor, \lceil \frac{2m}{n} \rceil\}$  for all  $v \in V(G)$ . In order to make our construction more consistent and reasonable, we need the following two lemmas.

**Lemma 2.2.** Let  $\lfloor 2m/n \rfloor = k$  and  $r = 2m - nk$ , where  $r$  is even and  $r \neq 0$ . Then there is a  $k$ -regular graph with  $n$  vertices and  $m - r/2$  edges, and its complement has a matching with  $r/2$  edges.

*Proof.* Since  $r$  is even, it shows that  $nk$  is also even. Note that  $r \neq 0$ . Then  $k < n-1$ . We consider the following three cases.

**Case 1.**  $k$  is even and  $n$  is odd.

Consider a graph  $G_1$  with vertex-set  $\{v_1, v_2, \dots, v_n\}$  and  $v_i \sim v_j$  if and only if  $|i - j|$  is congruent modulo  $n$  with a number belonging to the set  $\{-k/2, -k/2 + 1, \dots, -1, 1, \dots, k/2\}$ . Then  $G_1$  is a  $k$ -regular graph with  $m - r/2$  edges. By the construction of  $G_1$ , there is a matching  $M_1$  in the complement of  $G_1$  with edge-set  $\{v_i v_{i+\frac{n-1}{2}} : 1 \leq i \leq (n-1)/2\}$  satisfying  $|M_1| = (n-1)/2$ . Note that  $k/2 < (n-1)/2$ . Then these edges do not appear in  $G_1$ . That is,  $M_1$  is a matching with  $(n-1)/2$  edges in the complement of  $G_1$ . Since  $r \leq n-1$ ,  $G_1$  is a required graph.

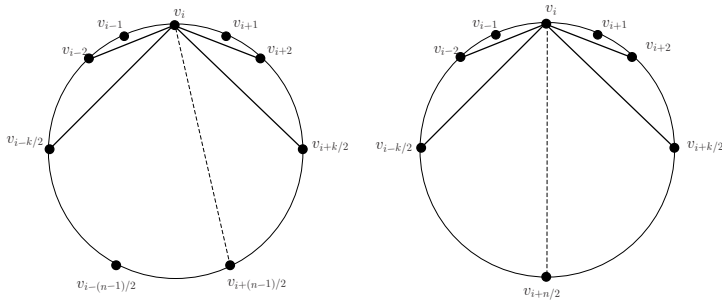


Figure 2.  $G_1$  for  $k$  is even.

**Case 2.** Both  $k$  and  $n$  are even.

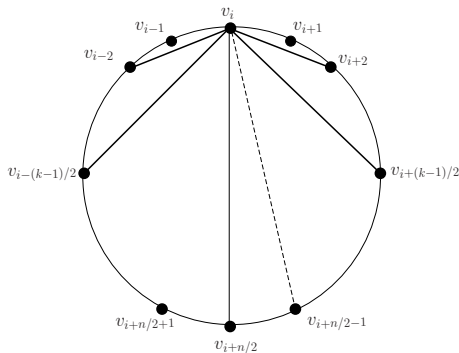
Consider the graph  $G_1$  we constructed above. Then there is a matching  $M_2$  with edge-set  $\{v_i v_{i+\frac{n}{2}} : 1 \leq i \leq n/2\}$  in the complement of  $G_1$ . Note that  $|M_2| = n/2$  and  $r \leq n-1$ . Then  $G_1$  is also a required graph.

**Case 3.**  $k$  is odd and  $n$  is even.

Consider a graph  $G_3$  with vertex-set  $\{v_1, v_2, \dots, v_n\}$  and  $v_i \sim v_j$  if and only if  $|i - j|$  is congruent modulo  $n$  with a number belonging to the set  $\{-(k-1)/2, -(k-1)/2 + 1, \dots, -1, 1, \dots, (k-1)/2\}$  or  $j = i + n/2$ , where  $1 \leq i \leq n/2$ . By the construction of  $G_3$ , we know that  $G_3$  is a  $k$ -regular graph and  $G_3 \in G(n, m - r/2)$ , and there is a matching  $M_3$  with edge-set  $\{v_i v_{i+\frac{n}{2}-1} : 1 \leq i \leq n/2 - 1\}$  satisfying  $|M_3| = n/2 - 1$ . Note that  $k < n-1$ . So we get  $(k-1)/2 < n/2 - 1$ , which means that  $M_3$  is a matching in the complement of  $G_3$ . Since both  $r$  and  $n$  are even and  $r \leq n-1$ , we have  $r \leq n-2$ . Therefore,  $G_3$  is a required graph.

The proof is thus complete. ■

**Lemma 2.3.** *Let  $2m = kn + 1$ . Then there is a  $k$ -regular graph with  $n-1$  vertices and  $m - (k+1)/2$  edges, having a matching with  $(n-1)/2$  edges.*



**Figure 3.**  $G_3$  for  $k$  is odd and  $n$  is even.

*Proof.* Since  $2m = nk + 1$ , both  $n$  and  $k$  are odd. From  $k < n - 1$ , we deduce that  $(k + 1)/2 \leq (n - 1)/2$ . Consider a  $k$ -regular graph  $G_4$  with  $n - 1$  vertices as follows:  $V(G_4) = \{v_1, v_2, \dots, v_{n-1}\}$  and  $v_i \sim v_j$  if and only if  $|i - j|$  is congruent modulo  $n - 1$  with a number belonging to the set  $\{-(k - 1)/2, -(k - 1)/2 + 1, \dots, -1, 1, \dots, (k - 1)/2\}$  or  $j = i + (n - 1)/2$ , where  $1 \leq i \leq (n - 1)/2$ . Since  $2m = kn + 1$ , we have  $2(m - (k + 1)/2) = k(n - 1)$ . That is,  $G_4$  is a  $k$ -regular graph and  $G_4 \in G(n - 1, m - (k + 1)/2)$ . Note that  $k - 1 < n - 1$ . Then there is a matching  $M_4$  with edge-set  $\{v_i v_{i + \frac{n-1}{2}} : 1 \leq i \leq (n - 1)/2\}$  in  $G_4$ , such that  $|M_4| = (n - 1)/2$ . Hence,  $G_4$  is a required graph. ■

### 3 Proofs of main results

Now we are ready to give the proofs of our main results Theorems 1.6 and 1.7.

**Proof of Theorem 1.6:** Since  $2m = kn + r$  and  $k = \lfloor 2m/n \rfloor$ , noticing that  $H_f(G) = \sum_{i=1}^n f(d_{v_i})$  and  $\sum_{i=1}^n d_{v_i} = 2m$ , by Lemma 2.1 we have

$$H_f(G) \geq rf(k + 1) + (n - r)f(k).$$

Moreover,  $H_f(G) = rf(k + 1) + (n - r)f(k)$  if and only if the  $(n, m)$ -graph  $G$  has  $r$  vertices of degree  $k + 1$  and  $n - r$  vertices of degree  $k$ . That is, the equality holds if and only if  $G \in \mathcal{G}(n, m)$ .

Now, we only need to show  $\mathcal{G}(n, m) \neq \emptyset$ . That is, there always exist a graph  $G$  with degree sequence  $\mathbf{d} = (d_1, d_2, \dots, d_n)$  where  $d_i = k + 1$  and  $d_j = k$  for  $1 \leq i \leq r$  and  $r + 1 \leq j \leq n$ . In fact, it is easy to see that the degree sequence is graphical simply by verifying the conditions in [7].

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**Algorithm 1** Find an  $(n, m)$ -graph  $G$  with degree sequence  $\mathbf{d} = (d_1, d_2, \dots, d_n)$  where  $d_i = k + 1$  and  $d_j = k$  for  $1 \leq i \leq r$  and  $r + 1 \leq j \leq n$ .

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**Input:**  $E^{(0)} = \emptyset$ ,  $\mathbf{d}^{(0)'} = \mathbf{d}$  and  $V^{(0)'} = (v_1^{(0)'}, v_2^{(0)'}, \dots, v_n^{(0)'})$ .

**Output:** An  $(n, m)$ -graph  $G = (V^{(l)}, E^{(l-1)})$  with degree sequence  $\mathbf{d} = (d_1, d_2, \dots, d_n)$  where  $d_i = k + 1$  and  $d_j = k$  for  $1 \leq i \leq r$  and  $r + 1 \leq j \leq n$ .

- 1: **Set**  $l = 1$ .
  - 2: Find a permutation  $\sigma$ , such that  $\sigma \mathbf{d}^{(l-1)'} = (d_1^{(l)}, d_2^{(l)}, \dots, d_n^{(l)})$  is non-increasing for  $\mathbf{d}^{(l-1)'} = (d_1^{(l-1)'}, d_2^{(l-1)'}, \dots, d_n^{(l-1)'})$ . Denote  $\sigma V^{(l-1)'} = (v_1^{(l)}, v_2^{(l)}, \dots, v_n^{(l)}) = V^{(l)}$ .
  - 3: **if**  $d_1^{(l)} \neq 0$  **then**
  - 4:     **Set**  $E^{(l)} = E^{(l-1)} \cup \{v_1^{(l)} v_j^{(l)} \mid j = 2, 3, \dots, d_1^{(l)} + 1\}$  and  $\mathbf{d}^{(l)'} = (0, d_2^{(l)} - 1, \dots, d_{d_1^{(l)} + 1}^{(l)} - 1, d_{d_1^{(l)} + 2}^{(l)}, \dots, d_n^{(l)})$ .
  - 5: **else** go to 7.
  - 6: **Set**  $l = l + 1$  and go to 2.
  - 7: **return**  $G = (V^{(l)}, E^{(l-1)})$ .
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By choosing different permutations  $\sigma$  in Algorithm 1, we can obtain some  $(n, m)$ -graphs  $G \in \mathcal{G}(n, m)$  which minimize the value of  $H_f(G)$ . However, from [15] we can get the following algorithm, which can generate all graphs of  $\mathcal{G}(n, m)$ .

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**Algorithm 2** Find all  $(n, m)$ -graphs with degree sequence  $\mathbf{d} = (d_1, d_2, \dots, d_n)$  where  $d_i = k + 1$  and  $d_j = k$  for  $1 \leq i \leq r$  and  $r + 1 \leq j \leq n$ .

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**Input:**  $n$ ,  $m$  and  $\mathbf{d} = (d_1, d_2, \dots, d_n)$  where  $d_i = k + 1$  and  $d_j = k$  for  $1 \leq i \leq r$  and  $r + 1 \leq j \leq n$ .

**Output:**  $\mathcal{G}(n, m)$  for any given  $n$  and  $m$ .

- 1: Construct a complete  $n$ -partite graph  $H = (P_1, P_2, \dots, P_n)$ , such that each  $P_i$  for  $1 \leq i \leq r$  has  $k + 1$  vertices and each  $P_j$  for  $r + 1 \leq j \leq n$  has  $k$  vertices.
  - 2: Find all perfect matchings in  $H$ , denoted by  $\{M_1, M_2, \dots, M_l\}$ .
  - 3: **Set**  $\mathcal{G}(n, m) = \emptyset$  and  $s = 1$ .
  - 4: **while**  $s \leq l$  **do**
  - 5:     Construct a new graph  $G_s$  with vertex-set  $\{p_1, p_2, \dots, p_n\}$  and  $p_i \sim p_j$  if and only if there is an edge between  $P_i$  and  $P_j$  in  $M_s$ .
  - 6:     **if**  $G_s$  does not have multiple edges and  $G_s \not\cong G$  for any  $G \in \mathcal{G}(n, m)$  **then**
  - 7:         **Set**  $\mathcal{G}(n, m) = \mathcal{G}(n, m) \cup \{G_s\}$ .
  - 8:     **else**  $\mathcal{G}(n, m) = \mathcal{G}(n, m)$ .
  - 9:     **Set**  $s = s + 1$  and go to 4.
  - 10: **return**  $\mathcal{G}(n, m)$ .
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■

Note that to check that  $G_s \not\cong G$  for any  $G \in \mathcal{G}(n, m)$  is a very hard nut to crack. Although this algorithm can be used to generate all graphs of  $\mathcal{G}(n, m)$ , it cannot guarantee the existence of any graph in  $\mathcal{G}(n, m)$ .



**Proof of Theorem 1.7:** By the proof of Theorem 1.6, we only need to show that there is a connected  $(n, m)$ -graph belonging to  $\mathcal{G}(n, m)$  for any given  $n$  and  $m$  such that  $n - 1 \leq m \leq n(n - 1)/2$ .

If  $m = n - 1$  we have the path  $P_n \in \mathcal{G}(n, n - 1)$ , which is connected, as required.

If  $n \leq m \leq n(n - 1)/2$ , then  $k = \lfloor \frac{2m}{n} \rfloor \geq 2$ . Noticing that  $2m = kn + r$ , we distinguish the following three cases to discuss.

**Case 1.**  $r = 0$ , *i.e.*,  $2m = nk$ .

In this case, we need to find a connected  $k$ -regular  $(n, m)$ -graph. From the condition [2] for a sequence to be graphical, we know that a  $k$ -regular graph with  $n$  vertices exists if and only if  $n \geq k + 1$  and  $nk$  is even. Noticing that  $m \leq n(n - 1)/2$ , there must be a  $k$ -regular  $(n, m)$ -graph which satisfies  $2m = nk$ . Moreover, it is easy to know that there also exists a connected  $k$ -regular  $(n, m)$ -graph  $G$  which satisfies  $2m = nk$ . That is,  $G \in \mathcal{G}(n, m)$  and  $G$  is connected.

**Case 2.**  $r$  is even and  $r \neq 0$ .

From  $2m = nk + r$ , we obtain  $2(m - r/2) = kn$ . By Lemma 2.2, there is a  $k$ -regular graph  $H^*$  with  $n$  vertices and  $m - r/2$  edges, and its complement has a matching  $M^*$  with  $r/2$  edges. Adding all  $r/2$  edges that appear in  $M^*$  to the graph  $H^*$ , we then get a new graph, called  $G$ . One can see that  $G \in \mathcal{G}(n, m)$  and  $H_f(G) = rf(k + 1) + (n - r)f(k)$ . That is,  $G \in \mathcal{G}(n, m)$ . From our construction, there is an  $n$ -cycle  $v_1v_2 \dots v_nv_1$  in  $G$ , and so  $G$  is also connected.

**Case 3.**  $r$  is odd.

Note that  $k < n - 1$ . First, we show that it is true for  $r = 1$ . By Lemma 2.3, there is a  $k$ -regular graph  $H^{**} \in \mathcal{G}(n - 1, m - (k + 1)/2)$ , which contains a matching  $M^{**}$  with  $(k + 1)/2$  edges. Deleting all  $(k + 1)/2$  edges in  $M^{**}$  from  $H^{**}$  and adding a new vertex such that this vertex is adjacent to all  $k + 1$  vertices of  $M^{**}$ , we get a graph  $G \in \mathcal{G}(n, m)$ , which satisfies  $H_f(G) = f(k + 1) + (n - 1)f(k)$ . By our construction, the graph  $G$  is also connected.

It remains to show that the result is true for  $r \geq 3$  and  $r$  is odd. The equality can be written as  $2(m - (r - 1)/2) = nk + 1$ . By Lemma 2.3, there is a  $k$ -regular graph  $D_1 \in \mathcal{G}(n - 1, m - (k + r)/2)$ , which contains a matching  $N_1$  with  $(k + 1)/2$  edges. Deleting all  $(k + 1)/2$  edges in  $N_1$  from  $D_1$  and adding a new vertex such that this vertex is adjacent to all  $k + 1$  vertices of  $N_1$ , we get a graph  $D_2 \in \mathcal{G}(n, m - (r - 1)/2)$  and

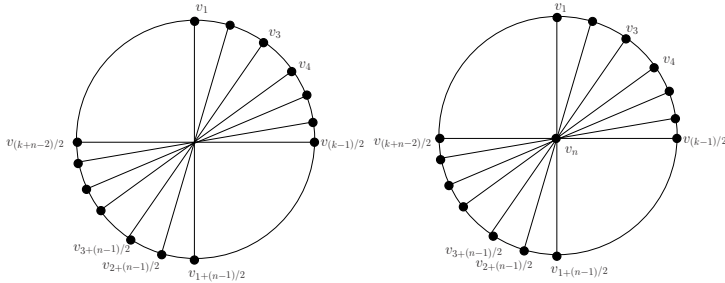


Figure 4.  $H^{**}$  and  $G$  for  $r = 1$ .

$H_f(D_2) = f(k + 1) + (n - 1)f(k)$ . If  $r - 1 \leq k + 1$ , we can add any  $(r - 1)/2$  edges in  $N_1$  to  $D_2$ . Thus, we find a graph  $G \in G(n, m)$  satisfying  $H_f(G) = rf(k + 1) + (n - r)f(k)$ . If  $r - 1 > k + 1$ , we denote  $s = r - k - 2$ . Notice that  $2(m - (r - 1)/2) = nk + 1$ . Since  $r$  is odd, then both  $n$  and  $k$  are odd. That is, both  $n - 1$  and  $k + r$  are even. From the construction we give above, in fact, by the proof of Case 3 in Lemma 2.2, there is a  $k$ -regular graph  $D_3 \in G(n - 1, m - (k + r)/2)$ , whose complement has a matching  $N_2$  with  $(n - 3)/2$  edges. Note that  $s = r - k - 2 \leq n - 3 - 2 = n - 5 < n - 3$ . So we can add any  $s/2$  edges in matching  $N_2$  to  $D_3$ . In this way, we obtain a graph  $D_4$  with  $n - 1$  vertices and  $m - (k + 1)$  edges. Moreover, it has  $s$  vertices of degree  $k + 1$  and  $n - 1 - s$  vertices of degree  $k$ . Add a new vertex to  $D_4$  such that the new vertex is adjacent to any  $k + 1$  of the remaining  $n - 1 - s$  vertices. It does works since  $n - 1 - s = n - 1 - (r - k - 2) \geq n - 1 - (n - 2 - k - 2) = k + 3$ . Hence, we get a graph  $G \in G(n, m)$  satisfying  $H_f(G) = rf(k + 1) + (n - r)f(k)$ . It is easy to see from our construction that  $G$  is also connected. That is, there is a connected graph  $G \in \mathcal{G}(n, m)$  when  $r$  is odd.

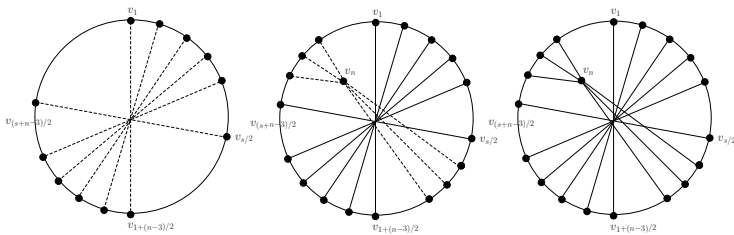


Figure 5. Graphs for  $r \geq 3$  and  $r - 1 > k + 1$ .

The above proof can guarantee the existence of connected graphs in  $\mathcal{G}(n, m)$ . The

following Algorithm 3 (similar to Algorithm 2) can be used to find all connected graphs in  $\mathcal{G}(n, m)$ .

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**Algorithm 3** Find all connected  $(n, m)$ -graphs with degree sequence  $\mathbf{d} = (d_1, d_2, \dots, d_n)$  where  $d_i = k + 1$  and  $d_j = k$  for  $1 \leq i \leq r$  and  $r + 1 \leq j \leq n$ .

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**Input:**  $n, m$  and  $\mathbf{d} = (d_1, d_2, \dots, d_n)$  where  $d_i = k + 1$  and  $d_j = k$  for  $1 \leq i \leq r$  and  $r + 1 \leq j \leq n$ .

**Output:** All connected graphs in  $\mathcal{G}(n, m)$  for any given  $n$  and  $m$ , denoted by  $\mathcal{G}^*(n, m)$ .

- 1: Construct a complete  $n$ -partite graph  $H = (P_1, P_2, \dots, P_n)$ , such that each  $P_i$  for  $1 \leq i \leq r$  has  $k + 1$  vertices and each  $P_j$  for  $r + 1 \leq j \leq n$  has  $k$  vertices.
  - 2: Find all perfect matchings in  $H$ , denoted by  $\{M_1, M_2, \dots, M_l\}$ .
  - 3: **Set**  $\mathcal{G}^*(n, m) = \emptyset$  and  $s = 1$ .
  - 4: **while**  $s \leq l$  **do**
  - 5:     Construct a new graph  $G_s$  with vertex-set  $\{p_1, p_2, \dots, p_n\}$  and  $p_i \sim p_j$  if and only if there is an edge between  $P_i$  and  $P_j$  in  $M_s$ .
  - 6:     **if**  $G_s$  is **connected** with no multiple edges and  $G_s \not\cong G$  for any  $G \in \mathcal{G}^*(n, m)$  **then**
  - 7:         **Set**  $\mathcal{G}^*(n, m) = \mathcal{G}^*(n, m) \cup \{G_s\}$ .
  - 8:         **else**  $\mathcal{G}^*(n, m) = \mathcal{G}^*(n, m)$ .
  - 9:         **Set**  $s = s + 1$  and go to 4.
  - 10: **return**  $\mathcal{G}^*(n, m)$ .
- 

■

Note that although this algorithm can be used to generate all connected graphs of  $\mathcal{G}(n, m)$ , it cannot guarantee the existence of any connected graph in  $\mathcal{G}(n, m)$ .

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