

A recursive formula for the Randić index of a tree

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Abstract

We present a recursive formula for the Randić index $\chi(T)$ of a tree T . As a by-product, we determine χ for trees which are obtained from basic tree operations, such as linking vertices of two different trees by a path.

1 Introduction

Let T be a tree (i.e., an acyclic connected graph) with set of vertices $V(T)$ and set of edges $E(T)$. The Randić index of T is defined as

$$\chi(T) = \sum_{xy \in E(T)} \frac{1}{\sqrt{\delta_x \delta_y}}$$

where δ_x denotes the degree of the vertex x and xy is an edge with terminal vertices x and y .

Randić designed this index with the intention of characterizing branching in chemical species ([12]), and it became one of the most successful graph invariants in applications to physical and chemical properties ([9],[10]). In spite of the numerous practical applications of this index over the last 25 years, only recently results about the mathematical properties of χ have appeared in the literature (see for instance, [1],[2],[3],[4],[5],[6],[7],[8] and [11]).

In this work we present a recursive formula for the Randić index of a tree T . The basic idea is as follows: if T is a tree and $v \in V(T)$ with

degree k , then there exists unique subtrees T_1, \dots, T_k of T which have v as a pendent vertex (i.e. a vertex of degree 1) and are maximal with respect to this property. In Theorem 2.3, we establish a precise relation between $\chi(T)$ and $\sum_{i=1}^k \chi(T_i)$. This relation depends strongly on the Randić constant of T at vertex v , which we introduce in Definition 2.2. As a consequence of this result, we determine $\chi(U)$ in Corollary 2.4, for trees U which are obtained from basic tree operations, such as linking vertices of different trees by a path.

2 Recursive formula for the Randić index

Let T be a tree and $v \in V(T)$. Consider the set $\mathcal{P}_v(T)$ of all subtrees of T which have v as a pendent vertex. If P and Q belong to $\mathcal{P}_v(T)$, then the relation $P \subseteq Q$, i.e., P is a subtree of Q , is a partial order over $\mathcal{P}_v(T)$. A subtree $M \in \mathcal{P}_v(T)$ is maximal if $M \subseteq N$ and $N \in \mathcal{P}_v(T)$ implies $M = N$. It is clear that if v is a vertex of degree k , then there are exactly k maximal subtrees T_1, \dots, T_k in $\mathcal{P}_v(T)$.

Example 2.1 Consider the tree T shown in Figure 1. The vertex v has degree 3 and T_1, T_2 and T_3 are the maximal subtrees of $\mathcal{P}_v(T)$.

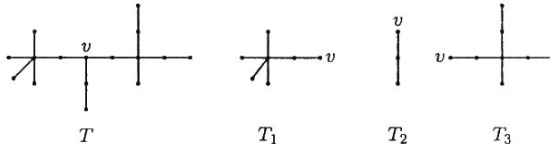


Figure 1

In our next result we express $\chi(T)$ in terms of $\sum_{i=1}^k \chi(T_i)$. As we shall see, this relation depends strongly on a constant associated to the vertex v of the tree T , which we next introduce.

Definition 2.2 Let T be a tree and $v \in V(T)$. The Randić constant of T at v , denoted by $R_T(v)$, is defined as

$$R_T(v) = \sum_{x \in \mathcal{N}_v} \frac{1}{\sqrt{\delta_x}}$$

where \mathcal{N}_v denotes the set of neighbors of v .

Now we can state and prove the recursive formula for the Randić index of a general tree, in terms of the Randić constant.

Theorem 2.3 *Let T be a tree and $v \in V(T)$ of degree k . If T_1, \dots, T_k are the maximal subtrees of $\mathcal{P}_v(T)$ then*

$$\chi(T) = \sum_{i=1}^k \chi(T_i) + \left(\frac{1}{\sqrt{k}} - 1 \right) R_T(v)$$

Proof. We can express the Randić index of T as

$$\chi(T) = \sum_{\substack{xy \in E(T) \\ x \neq v, y \neq v}} \frac{1}{\sqrt{\delta_x \delta_y}} + \frac{1}{\sqrt{k}} \sum_{x \in \mathcal{N}_v} \frac{1}{\sqrt{\delta_x}}$$

On the other hand, since v is a pendent vertex of each T_i we obtain

$$\chi(T_i) = \sum_{\substack{xy \in E(T_i) \\ x \neq v, y \neq v}} \frac{1}{\sqrt{\delta_x \delta_y}} + \frac{1}{\sqrt{k_i}}$$

where k_i is the degree of the unique neighbor of v in T_i . Hence

$$\sum_{i=1}^k \chi(T_i) = \sum_{\substack{xy \in E(T) \\ x \neq v, y \neq v}} \frac{1}{\sqrt{\delta_x \delta_y}} + \sum_{x \in \mathcal{N}_v} \frac{1}{\sqrt{\delta_x}}$$

and consequently

$$\chi(T) - \sum_{i=1}^k \chi(T_i) = \left(\frac{1}{\sqrt{k}} - 1 \right) R_T(v)$$

■

Consider a tree U which is obtained from the trees S and T , by linking the vertices w of S and v of T by a path τ (see Figure 2).

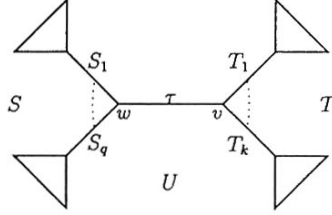


Figure 2

We next determine $\chi(U)$ in terms of $\chi(S)$ and $\chi(T)$.

Corollary 2.4 *Let S and T be trees, $w \in V(S)$ of degree q and $v \in V(T)$ of degree k . If U is the tree obtained from S and T by linking vertices w and v by a path τ of length r , then*

1. *If $r = 0$, that is, if v and w are identified, then*

$$\chi(U) = \chi(S) + \chi(T) + \left(\frac{1}{\sqrt{k+q}} - \frac{1}{\sqrt{q}} \right) R_S(w) + \left(\frac{1}{\sqrt{k+q}} - \frac{1}{\sqrt{k}} \right) R_T(v)$$

2. *If $r = 1$ then*

$$\begin{aligned} \chi(U) = & \chi(S) + \chi(T) + \left(\frac{1}{\sqrt{q+1}} - \frac{1}{\sqrt{q}} \right) R_S(w) + \\ & \left(\frac{1}{\sqrt{k+1}} - \frac{1}{\sqrt{k}} \right) R_T(v) + \frac{1}{\sqrt{k+1}} \frac{1}{\sqrt{q+1}} \end{aligned}$$

3. *If $r > 1$ then*

$$\begin{aligned} \chi(U) = & \chi(S) + \chi(T) + \left(\frac{1}{\sqrt{q+1}} - \frac{1}{\sqrt{q}} \right) R_S(w) + \\ & \left(\frac{1}{\sqrt{k+1}} - \frac{1}{\sqrt{k}} \right) R_T(v) + \frac{1}{\sqrt{2}} \left(\frac{1}{\sqrt{k+1}} + \frac{1}{\sqrt{q+1}} \right) + \frac{r-2}{2} \end{aligned}$$

Proof. Let S_1, \dots, S_q and T_1, \dots, T_k be the maximal subtrees of $\mathcal{P}_w(S)$ and $\mathcal{P}_v(T)$, respectively (see Figure 2).

1. If $r = 0$ then by Theorem 2.3 we have

$$\begin{aligned}\chi(U) &= \sum_{i=1}^q \chi(S_i) + \sum_{i=1}^k \chi(T_i) + \left(\frac{1}{\sqrt{k+q}} - 1 \right) R_U(v) \\ &= \chi(S) - \left(\frac{1}{\sqrt{q}} - 1 \right) R_S(w) + \chi(T) - \left(\frac{1}{\sqrt{k}} - 1 \right) R_T(v) + \\ &\quad \left(\frac{1}{\sqrt{k+q}} - 1 \right) R_U(v)\end{aligned}$$

The result follows from the fact that

$$R_U(v) = R_S(w) + R_T(v)$$

2. and 3. The maximal subtrees of $\mathcal{P}_v(U)$ are T_1, \dots, T_k, S^* , where S^* is the tree obtained from S by adjoining the path τ at vertex w . Hence, by Theorem 2.3,

$$\chi(U) = \sum_{i=1}^k \chi(T_i) + \chi(S^*) + \left(\frac{1}{\sqrt{k+1}} - 1 \right) R_U(v) \quad (1)$$

In order to calculate $\chi(S^*)$, we note that the maximal subtrees of $\mathcal{P}_w(S^*)$ are S_1, \dots, S_q, τ . So, again by Theorem 2.3,

$$\chi(S^*) = \sum_{i=1}^q \chi(S_i) + \chi(\tau) + \left(\frac{1}{\sqrt{q+1}} - 1 \right) R_{S^*}(w)$$

Since

$$R_{S^*}(w) - R_S(w) = \begin{cases} 1 & \text{if } r = 1 \\ \frac{1}{\sqrt{2}} & \text{if } r > 1 \end{cases}$$

and

$$\chi(\tau) = \begin{cases} 1 & \text{if } r = 1 \\ \sqrt{2} + \frac{r-2}{2} & \text{if } r > 1 \end{cases}$$

we deduce

$$\chi(S^*) = \begin{cases} \chi(S) + \left(\frac{1}{\sqrt{q+1}} - \frac{1}{\sqrt{q}} \right) R_S(w) + \frac{1}{\sqrt{q+1}} & \text{if } r=1 \\ \chi(S) + \left(\frac{1}{\sqrt{q+1}} - \frac{1}{\sqrt{q}} \right) R_S(w) + \frac{1}{\sqrt{2}} \left(\frac{1}{\sqrt{q+1}} + 1 \right) + \frac{r-2}{2} & \text{if } r>1 \end{cases} \quad (2)$$

Finally, it can be easily seen that

$$R_U(v) - R_T(v) = \begin{cases} \frac{1}{\sqrt{q+1}} & \text{if } r = 1 \\ \frac{1}{\sqrt{2}} & \text{if } r > 1 \end{cases} \quad (3)$$

and so the result follows by substituting (2) and (3) in (1). ■

In particular, equation (2) in the proof of Corollary 2.4, gives the variation of the Randić index when we adjoin a path to a tree.

Example 2.5 Let S^* be the tree obtained from S by adjoining the path τ of length 2 at vertex w , as shown in Figure 3

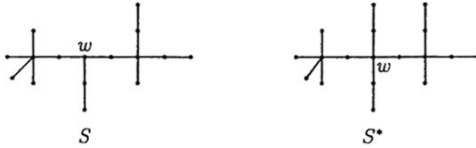


Figure 3

Then

$$\chi(S^*) - \chi(S) = \left(\frac{1}{\sqrt{4}} - \frac{1}{\sqrt{3}}\right) \left(\frac{3}{\sqrt{2}}\right) + \frac{1}{\sqrt{2}} \left(\frac{1}{\sqrt{4}} + 1\right)$$

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