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## On Extremal Bipartite Tricyclic Graphs Fangguo He<sup>1</sup>, Zhongxun Zhu<sup>2,\*</sup>

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#### Abstract

Let  $\mathcal{T}_n^+$  be the set of connected bipartite tricyclic graphs with n vertices. Estrada and Higham proposed an invariant of a graph G based on Taylor series expansion of spectral moments  $EE(G, c) = \sum_{k=0}^{\infty} c_k M_k(G)$ . For  $c_k = \frac{1}{k!} (\frac{1}{n^k}, \frac{1}{(n-1)^k})$ , respectively), EE(G, c) is the Estrada index EE(G) (Resolvent energy ER(G), Resolvent Estrada index  $EE_r(G)$ , respectively) of G. The Kirchhoff index Kf(G) of G is defined as  $Kf(G) = \sum_{i < j} r_{ij}$ , where  $r_{ij}$  is the effective resistance between vertices iand j in G. In this paper, the extremal graphs in  $\mathcal{T}_n^+$  which have maximum, secondmaximum EE(G) (ER(G),  $EE_r(G)$  and  $Kf(\bar{G})$ , respectively) are determined.

#### 1 Introduction

All graphs considered in this paper are finite and simple (i.e., without loops and multiple edges). For a graph G, let  $V(G) = \{v_1, v_2, \ldots, v_n\}$  and  $E(G) = \{e_1, e_2, \ldots, e_m\}$  denote the vertex set and edge set of the graph G, respectively. If m = n - 1 + c, then G is called a c-cyclic graph. If c = 0, 1, 2 and 3, then G is a tree, unicyclic graph, bicyclic graph and tricyclic graph, respectively. For graph-theoretical terms that are not defined here, we refer to Bollobás's book [1].

For a graph G, the adjacency matrix of G, denoted by A(G), is the sqare matrix  $(a_{ij})$  in which  $a_{ij} = 1$  if the vertices  $v_i$  and  $v_j$  are adjacent, and  $a_{ij} = 0$  otherwise. The Laplacian matrix of G is the matrix L(G) = D(G) - A(G) where D(G) is a diagonal matrix with  $(d_1, \ldots, d_n)$  on the main diagonal in which  $d_i$  is the degree of the vertex  $v_i$ . The characteristic polynomial  $\phi(G; x)$  of G is defined as  $\phi(G; x) = |xI - A(G)|$ , and the

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Laplacian characteristic polynomial of G is defined as  $\sigma(G; x) = |xI - L(G)|$ , where I is the unit matrix. We denote the eigenvalues of A(G) and L(G) by  $\lambda_1 \ge \lambda_2 \ge \ldots \ge \lambda_n$ and  $\mu_1 \ge \ldots \ge \mu_{n-1} \ge \mu_n = 0$ , respectively. Let  $M_k(G)$  be the k-th spectral moment of a graph G, i.e.,  $M_k(G) = \sum_{i=1}^n \lambda_i^k$ . It is well known that  $M_k(G)$  is equal to the numbers of closed walks of length k in G.

In 1993, Klein and Randić [5] introduced a distance function named resistance distance on a graph. They view a connected graph G as an electrical network such that each edge of G is assumed to be a unit resistor, then take the resistance distance between vertices  $v_i$ and  $v_j$  to be the effective resistance between them, denoted by  $r_{ij}$ . The Kirchhoff index Kf(G) of G [7] is defined as  $Kf(G) = \sum_{i < j} r_{ij}$ , and it is shown [4, 11] that

$$Kf(G) = \sum_{i < j} r_{ij} = n \sum_{i=1}^{n-1} \frac{1}{\mu_i}$$

The Kirchhoff index has been investigated extensively in both mathematical and chemical literatures. For more information on the Kirchhoff index, the readers are referred to recent papers [13, 14, 16, 27, 29] and references therein.

The Estrada index of G, put forward by Estrada [21], is defined as  $EE(G) = \sum_{i=1}^{n} e^{\lambda_i}$ , and according to the Taylor series expansion of  $e^x$ , we have

$$EE(G) = \sum_{i=1}^{n} e^{\lambda_i} = \sum_{k=0}^{\infty} \frac{M_k(G)}{k!}$$
(1.1)

Although invented in year 2000, the Estrada index has already found numerous applications. It was used to quantify the degree of folding of long-chain molecules, especially proteins [22, 24], and to measure the centrality of complex (communication, social, metabolic, etc.) networks [23, 25]. In addition, a connection between the Estrada index and the concept of extended atomic branching was found in [28]. Due to its extensive applications, the Estrada index has also been extensively studied in mathematics, and various mathematical properties of the Estrada index have been investigated (see [9, 10, 17, 18]).

The Resolvent Estrada index of G, proposed by Estrada and Higham in [26], is defined as  $EE_r(G) = \sum_{i=1}^n \frac{n-1}{n-1-\lambda_i}$ , where  $G \neq K_n$ . From the Taylor expansion, it is easy to see that

$$EE_r(G) = \sum_{i=1}^n \frac{n-1}{n-1-\lambda_i} = \sum_{k=0}^\infty \frac{M_k(G)}{(n-1)^k}$$
(1.2)

Quite recently, in analogy with the Resolvent Estrada index, the Resolvent Energy ER(G) of a graph G was introduced by I. Gutman et al. [12] as

$$ER(G) = \sum_{i=1}^{n} \frac{1}{n - \lambda_i} = \sum_{k=0}^{\infty} \frac{M_k(G)}{n^{k+1}} .$$
(1.3)

In 2010, Estrada and Higham [26] proposed a general formulation for the invariants of a graph G based on Taylor series expansion of spectral moments

$$EE(G,c) = \sum_{k=0}^{\infty} c_k M_k(G) \; .$$

Obviously, if the coefficient  $c_k$  takes  $\frac{1}{k!}$ ,  $\frac{1}{(n-1)^k}$  and  $\frac{1}{n^{k+1}}$  then EE(G, c) are the the Estrada index, Resolvent Estrada index and resolvent energy, respectively. Various properties of the Resolvent Estrada index and resolvent energy have been established [12, 19], and the indices for some special kinds of graphs, such as, trees, unicyclic graphs, random graphs etc., are also investigated.

In [15], Deng and Chen characterized the properties of the extremal connected bipartite unicyclic graphs based on the Estrada index of themselves and the Kirchhoff index of their complements. In [20], Huang et al. established the upper bound of the Estrada index and the Kirchhoff index of the connected bipartite bicyclic graphs. Here we study the four indices of the bipartite tricyclic graphs and wish to shed some light on the relationship between them.

#### 2 Preliminaries

A graph G is called bipartite if its vertex set can be partitioned into two subsets X and Y so that every edge has one end in X and the other end in Y. Let  $P_n$ ,  $C_n$  and  $S_n$  be the path, the cycle and the star on n vertices, respectively. Denote by  $d_G(v) = |N_G(v)|$  the degree of the vertex v of G. If  $E_0 \subset E(G)$ , we denote by  $G - E_0$  the subgraph of G obtained by deleting the edges in  $E_0$ . If  $E_1$  is the subset of the edges in  $E_1$ . Similarly, if  $W \subset V(G)$ , we denote by G - W the subgraph of G obtained by deleting the vertices of W and the edges incident with them.

For any vertices u, v and w (not necessarily distinct) in G, we denote by  $M_k(G; u, v)$ the number walks in G with length k from u to v. Denote by  $W_k(G; u, v)$  the walk set of length k from u to v in G. Clearly  $M_k(G; u, v) = |W_k(G; u, v)|$ . Note that  $M_k(G; u, v) =$  $M_k(G; v, u)$  for any positive integer k [3].

Let G and H be two graphs with  $u_1, v_1 \in V(G)$ ,  $u_2, v_2 \in V(H)$ . If  $M_k(G; u_1, v_1) \leq M_k(H; u_2, v_2)$  for all positive integers k, then we write  $(G; u_1, v_1) \preceq (H; u_2, v_2)$ . If  $(G; u_1, v_1) \preceq (H; u_2, v_2)$  and there is at least one positive integer  $k_0$  such that  $M_{k_0}(G; u_1, v_1) < M_{k_0}(H; u_2, v_2)$ , then we write  $(G; u_1, v_1) \prec (H; u_2, v_2)$ .

Let G be a simple graph with n vertices and m edges and put S(G) to be the subdivision graph of G, that is, the graph obtained from G by inserting a new vertex in each edge of G. By [2], we have

$$\phi(S(G); x) = x^{m-n} \sigma(G; x^2) .$$
(2.4)

**Lemma 2.1.** Let  $G(G \neq K_n)$  be a connected graph with n vertices, then

$$ER(G) = \frac{\phi'(G, n)}{\phi(G, n)} \qquad EE_r(G) = (n-1)\frac{\phi'(G, n-1)}{\phi(G, n-1)}$$

where  $\phi'(G, x)$  is the first derivative of  $\phi(G, x)$ .

*Proof.* Let  $\lambda_1; \lambda_2; \dots; \lambda_n$  be the eigenvalues of G, then  $\phi(G, x) = (x - \lambda_1)(x - \lambda_2) \cdots (x - \lambda_n)$ . The equations follow from the definition (1.3) and (1.2) by taking into account x = n and x = n - 1, respectively.

**Lemma 2.2** ([16]). Let  $\overline{G}$  be the connected complement graph of a graph G. Then

$$Kf(\overline{G}) = n \frac{\sigma'(G, n)}{\sigma(G, n)} - 1$$

**Lemma 2.3** ([16]). Let G be a bipartite graph with  $n(n \ge 2)$  vertices and m edges. Then

$$Kf(\overline{G}) = \frac{n-m}{2} + \frac{1}{2}\sum_{k=0}^{\infty} \frac{M_{2k}(S(G))}{n^k} - 1$$
.

Let  $\mathcal{T}_n^+$  be the set of all bipartite tricyclic graphs with n vertices. For any graph  $G \in \mathcal{T}_n^+$ , since G is a bipartite graph with m = n + 2, from the Lemma 2.3, it follows

$$Kf(\overline{G}) = \frac{1}{2} \sum_{k=0}^{\infty} \frac{M_{2k}(S(G))}{n^k} - 2$$
 (2.5)

**Remark 1.** In view of (1.1)-(1.3) and (2.5), EE(G), ER(G),  $EE_r(G)$  and  $Kf(\overline{G})$  have certain similarity. That is, for  $G, H \in \mathcal{T}_n^+$  (in this case  $M_{2k-1}(G) = M_{2k-1}(H) = 0$ ), if  $M_{2k}(G) \ge M_{2k}(H)$  for all positive integers k, then  $EE(G) \ge EE(H)$ ,  $ER(G) \ge ER(H)$ and  $EE_r(G) \ge EE_r(H)$ . Furthermore, with equality if and only if  $M_{2k}(G) = M_{2k}(H)$  for all positive integers k, and the same thing is true for  $Kf(\overline{G})$  and  $Kf(\overline{H})$  by considering the number of closed walks in S(G) instead of those in G.

**Lemma 2.4** ([3]). Let v be a vertex of a graph G, and C(v) be the set of all cycles containing v. Then the characteristic polynomial of G satisfies

$$\phi(G;x) = x\phi(G-v;x) - \sum_{uv \in E(G)} \phi(G-u-v;x) - 2\sum_{Z \in C(v)} \phi(G \setminus V(Z);x) \ .$$

here  $\phi(G - u - v; x) = 1$  if G is a single edge, and  $\phi(G \setminus V(Z); x) = 1$  if G is a cycle.

**Lemma 2.5** ([8]). Let H be a graph (not necessarily connected) with  $u, v \in V(H)$ . Suppose that  $w_i \in V(H)$ , and  $uw_i, vw_i \notin E(H)$  for i = 1, 2, ..., r, where r is a positive integer. Let  $E_u = \{uw_1, uw_2, ..., uw_r\}$  and  $E_v = \{vw_1, vw_2, ..., vw_r\}$ . Let  $H_u = H + E_u$  and  $H_v = H + E_v$ . If  $(H; u, u) \prec (H; v, v)$  and  $(H; w_i, u) \preceq (H; w_i, v)$  for  $1 \le i \le r$ , then  $M_k(H_u) \le M_k(H_v)$  for all positive integers k and it is strict for some positive integer  $k_0$ .

The coalescence of two vertex-disjoint connected graphs G, H, denoted by  $G(u) \circ H(w)$ , where  $u \in V(G)$  and  $w \in V(H)$ , is obtained by identifying the vertex u of G with the vertex w of H. Let A, B, C be three connected graphs, and each of which has at least two vertices. Let u, v be two different vertices of C, u' a vertex of A (v' a vertex of B), then we define

$$H = A(u') \circ C(u); \quad G = H(v) \circ B(v'); \quad G' = H(u) \circ B(v')$$

where the vertex u in H denotes the corresponding vertex of the coalescence of u' in Aand u in C (see Fig.1). Then we have the following results.



Figure 1. The graphs G and G'.

**Lemma 2.6** ([15]). For the notation as above. Suppose that there exists an automorphism  $\varphi$  of C such that  $\varphi(u) = v$ , then:

 $(i)(H; u, u) \succ (H; v, v)$ , that is,  $M_k(H; u, u) \ge M_k(H; v, v)$  for all positive integer k and it is strict for some positive integer  $k_0$ .

 $(ii)M_k(G') \ge M_k(G)$  for positive integer k and it is strict for some positive integer  $k_0$ .

**Remark 2.** It is clear that, if C is a path, then C naturally satisfies the condition of Lemma 2.6, thus the application of Lemma 2.4 makes the number of closed walks of length k increase, that is,  $M_k(G') \ge M_k(G)$ . Further, if A, B are trees and C is a path, then G' is just the graph obtained from G by a proper generalized tree shift(GTS) defined in [6]. Therefore, a direct consequence of Lemma 2.6 is that the proper GTS increases the number of closed walks of length k.

**Lemma 2.7** ([18]). Let  $H_1$  be a connected graph containing two vertices u, v, and let  $H_2$ be a connected graph disjoint to  $H_1$ , which contains a vertex w. Let  $H'_2$  be a copy of  $H_2$ , containing the vertex w' corresponding to w of  $H_2$ . Let  $G = (H_1(u) \circ H_2(w))(v) \circ H'_2(w')$ .

- (i) If there exists an automorphism σ of H<sub>1</sub> such that it interchanges u and v, then (G; u, t) = (G; v, σ(t)) for any vertex t.
- (ii) If letting H

  <sub>1</sub> be obtained from H<sub>1</sub> by adding some edges incident with v but not u, letting H

  <sub>2</sub> be obtained from H'<sub>2</sub> by adding some vertices or edges such that the resulting graph is connected, and letting G

   be obtained from G by replacing H<sub>1</sub> with H

  <sub>1</sub> or H'<sub>2</sub> with H

  <sub>2</sub>, then (G

  ; u, t) ≺ (G

  ; v, σ(t)).

### 3 Maximum indices of bipartite tricyclic graphs

In this section we find extremal graphs in  $\mathcal{T}_n^+$  for the Estrada index EE(G), Resolvent Estrada index  $EE_r(G)$ , Resolvent Energy ER(G) and Kirchhoff index  $Kf(\overline{G})$ , respectively. For a graph  $G \in \mathcal{T}_n^+$ , the base of G, denoted by B(G), is the minimal connected bipartite tricyclic subgraph of G. Obviously, B(G) is the unique bipartite tricyclic subgraph of G containing no pendant vertex, and G can be obtained from B(G) by planting trees to some vertices of B(G). Since each tree can be transformed into a star by a sequence of applications of Lemma 2.6, and the k-th spectral moment  $M_k$  is monotone in the course of the transformation. Therefore, the extremal graph with the maximal indices is obtained from the graphs in which the attaching trees to the base are all stars. In the following discussion, we consider the graphs of  $\mathcal{T}_n^+$  with the base by attaching pendent vertices.

According to [30] and [31], we know that bipartite tricyclic graphs have many kinds of bases. By applying Lemma 2.6 and Remark 2 repeatedly, we get the lemma.

**Lemma 3.1.** If G is an extremal graph with maximum EE(G) ( $EE_r(G)$ , ER(G) or  $Kf(\overline{G})$ ) in  $\mathcal{T}_n^+$ , then  $B(G) \cong T_n^j$ ,  $j \in \{1, 2, \dots, 7\}$ . (see Fig.2).



Figure 2. The graphs  $T_n^j (j = 1, 2, 3, 4, 5, 6, 7)$ .

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**Lemma 3.2.** For any graph G with the base  $B(G) \cong T_n^1$ , there exists a graph G' with the base  $B(G') \cong T_n^3$  such that  $EE(G) < EE(G') EE_r(G) < EE_r(G')$ , ER(G) < ER(G'),  $Kf(\overline{G}) < Kf(\overline{G'})$ , respectively.

*Proof.* Let u, v, t, s be the vertices of G (as shown in Fig.2), without loss of generality, let  $d_G(u) \ge d_G(s), H = G - ts$  and G' = H + us.

For any closed walk  $W \in W_k(H; t, t)$ , if it does not go through the vertex v, then let f(W) be the walk obtained from W by replacing every t and its pendent vertices in W by u and its corresponding pendent vertices, respectively. Otherwise, the closed walk W is decomposed into three sections  $W_1, W_2, W_3$ , where  $W_1$  is the shortest (t, v)-section at the beginning of W,  $W_2$  is the longest (v, v)-section in the middle of W, and the remaining (v, t)-section is  $W_3$ . In this case, let f(W) be the walk obtained from W by replacing every t and its pendent vertices in  $W_1 \cup W_2$  by u and its corresponding pendent vertices, respectively, and  $W_2$  remain the same. Obviously,  $f(W) \in W_k(H; u, u)$  and f is an injection from  $W_k(H; t, t)$  to  $W_k(H; u, u)$ , i.e.,  $M_k(H; t, t) \leq M_k(H; u, u)$ . Furthermore,  $M_2(H; t, t) < M_2(H; u, u)$ . It implies that  $(H; t, t) \prec (H; v, v)$ .

For any walk  $W' \in W_k(H; t, s)$ , W' can be decomposed into two sections  $W'_1, W'_2$ , where  $W'_1$  is the shortest (t, v)-section of W', and  $W'_2$  is the remaining (v, s)-section. In this case, let g(W') be the walk obtained from W' by replacing every t and its pendent vertices in  $W'_1$  by u and its corresponding pendent vertices, respectively, and  $W'_2$  remain the same. Obviously,  $g(W') \in W_k(H; u, s)$  and g is an injection from  $W_k(H; t, s)$  to  $W_k(H; u, s)$ , i.e.,  $M_k(H; t, s) \leq M_k(H; u, s)$ . Considering that G = H + ts and G' = H + us, by Lemma 2.5 we have EE(G) < EE(G') ( $EE_r(G) < EE_r(G')$ , ER(G) < ER(G')).

Let S(G) and S(G') be the subdivisions of G and G', respectively, and let  $B = S(G) - tv_{ts}$ , where  $v_{ts}$  denotes the subdividing vertex of the edge ts. Note that  $S(G) = B + tv_{ts}$  and  $S(G') = B + uv_{ts}$ . Then in a similar way, applying Lemma 2.5, we have  $Kf(\overline{G}) < Kf(\overline{G'})$ .

Similar to the proof of Lemma 3.2, we have the following lemma.

**Lemma 3.3.** For any graph G with the base  $B(G) \cong T_n^i (i \in \{2,3\})$ , there exists a graph G' with the base  $B(G') \cong T_n^j (j \in \{4,5,6\})$  such that EE(G) < EE(G') ( $EE_r(G) < EE_r(G')$ , ER(G) < ER(G'),  $Kf(\overline{G}) < Kf(\overline{G'})$ ).

**Corollary 3.4.** If G is an extremal graph with maximal EE(G) ( $EE_r(G)$ , ER(G) or  $Kf(\overline{G})$ ) in  $\mathcal{T}_n^+$ , then  $B(G) \cong T_n^j$ ,  $j \in \{4, \dots, 7\}$ 

**Lemma 3.5.** If  $G \in \mathcal{T}_n^+$  is an extremal graph with maximum indices, and  $B(G) \cong T_n^j, j \in \{4, \dots, 7\}$ , then there are no three successive 2-degree vertices on each cycle of the base B(G) of G.

Proof. Suppose there exist three successive 2-degree vertices on a cycle of the base B(G) of G. Without loss of generality, let  $B(G) \cong T_n^4$  and  $d_G(v_1) \ge d_G(v_4)$  (as shown in Figure 2), where  $v_2, v_3, v_4$  are 2-degree vertices of B(G). Let  $H = G - v_3v_4, G' = H + v_1v_4$ .

For any closed walk  $W \in W_k(H; v_3, v_3)$ , if it does not go through the vertex  $v_2$ , then let f(W) be the walk obtained from W by replacing every  $v_3$  and its pendent vertices in W by  $v_1$  and its corresponding vertices of  $N_G(v_1)$ , respectively. Otherwise, the closed walk W is decomposed into three sections  $W_1, W_2, W_3$ , where  $W_1$  is the shortest  $(v_3, v_2)$ -section at the beginning of W,  $W_2$  is the longest  $(v_2, v_2)$ -section in the middle of W, and the remaining  $(v_2, v_3)$ -section is  $W_3$ . In this case, let f(W) be the walk obtained from W by replacing every  $v_3$  and its pendent vertices in  $W_1 \cup W_3$  by  $v_1$  and its corresponding vertices of  $N_G(v_1)$ , respectively, and  $W_2$  remain the same. Obviously,  $f(W) \in W_k(H; v_1, v_1)$  and f is an injection from  $W_k(H; v_3, v_3)$  to  $W_k(H; v_1, v_1)$ , i.e.,  $M_k(H; v_3, v_3) \leq M_k(H; v_1, v_1)$ . Furthermore,  $M_2(H; v_3, v_3) < M_2(H; v_1, v_1)$ . It implies that  $(H; v_3, v_3) \prec (H; v_1, v_1)$ .

For any walk  $W' \in W_k(H; v_3, v_4)$ , W' can be decomposed into two sections  $W'_1, W'_2$ , where  $W'_1$  is the shortest  $(v_3, v_2)$ -section of W', and  $W'_2$  is the remaining  $(v_2, v_4)$ -section. In this case, let g(W') be the walk obtained from W' by replacing every  $v_3$  and its pendent vertices in  $W'_1$  by  $v_1$  and its corresponding vertices of  $N_G(v_1)$ , respectively, and  $W'_2$  remain the same. Obviously,  $g(W') \in W_k(H; v_1, v_4)$  and g is an injection from  $W_k(H; v_3, v_4)$  to  $W_k(H; v_1, v_4)$ , i.e.,  $M_k(H; v_3, v_4) \leq M_k(H; v_1, v_4)$ . Considering that  $G = H + v_3 v_4$  and  $G' = H + v_1 v_4$ , by the lemma 2.5, we have EE(G) < EE(G') ( $EE_r(G) < EE_r(G')$ , ER(G) < ER(G')) and  $B(G') \cong T_n^4$ . This contradicts the condition of Lemma 3.5.

Similarly, Let S(G) be the subdivisions of G, and let  $H' = S(G) - v_3 v_{34}$  and  $S(G') = H + v_1 v_{34}$ , where  $v_{34}$  denotes the subdividing vertex of the edge  $v_3 v_4$ . Note that  $S(G) = H' + v_3 v_{34}$ . Applying the similar way, and by Lemma 2.5, we have  $Kf(\overline{G}) < Kf(\overline{G'})$ , contradiction.

The internal path of G is a walk  $v_0 v_1 \dots v_s$  such that the vertices  $v_0, v_1, \dots, v_s$  are distinct,  $d_G(v_0) > 2$ ,  $d_G(v_s) > 2$ , and  $d_G(v_i) = 2$ , whenever 0 < i < s.

**Lemma 3.6.** Let  $G \in \mathcal{T}_n^+$ , and  $B(G) \in T_n^i(i = 4, 5, 6, 7)$ ,  $P_u^k = uv_1v_2$  and  $P_u^l = uw_1w_2$ be two internal path in B(G), where  $d_{B(G)}(u) \ge 3$  ( $u \in B(G)$ ), if  $v_2 \ne w_2$ , then there exists a graph  $G'(B(G') \in T_n^i(i = 4, 5, 6, 7))$  such that |E(B(G))| - |E(B(G'))| = 1 and EE(G) < EE(G') ( $EE_r(G) < EE_r(G')$ , ER(G) < ER(G') or  $Kf(\overline{G}) < Kf(\overline{G'})$ ). -663-

Proof. Without loss of generality, let  $d_G(w_1) \ge d_G(v_1)$ ,  $H = G - v_1v_2$ , and let  $G' = H + w_1v_2$ . By the same way mentioned above, we obtain  $(H; v_1, v_1) \prec (H; w_1, w_1)$ and  $M_k(H; v_1, v_2) \le M_k(H; w_1, v_2)$ . Obviously, G' is still bipartite tricyclic graph, and |E(B(G))| - |E(B(G'))| = 1. According to the lemma 2.5, the result holds.

By the lemma 3.5 and lemma 3.6, we have the following result.

**Corollary 3.7.** If G is the graph with maximum  $EE(G)(EE_r(G), ER(G) \text{ or } Kf(\overline{G}))$ , and  $B(G) \in T_n^i(i = 4, 5, 6, 7)$ . Then  $B(G) \in A_1 \cup A_2$  (as shown in Fig. 3).



Figure 3. The graphs  $A_j(j=1,2)$ .

**Lemma 3.8.** If G is the graph with maximum EE(G)  $(EE_r(G), ER(G) \text{ or } Kf(\overline{G}))$  and  $B(G) \cong A_i, i \in \{1, 2\}$  (see Fig. 3), then G is obtained from  $A_i$  by attaching  $n - |V(A_i)|$ pendent vertices at a vertex  $v_1$  with maximum degree in  $A_i (i \in \{1, 2\})$ .

*Proof.* For the case of  $B(G) \cong A_1$ , let  $\{v_1, v_2, v_3, v_4, v_5, v_6\}$  be the vertices of  $A_1$  as shown in Fig 3, and let  $n_i$   $(n_i \ge 0)$  be the pendant vertices attached to  $v_i$  in G, i = 1, 2, 3, 4, 5, 6. For convenience, we denote  $G = A_1(n_1, n_2, n_3, n_4, n_5, n_6)$ .

First of all, it can be claimed that at least three numbers of  $n_2, n_3, n_4, n_5$  are zero. If not so, we put  $n_2 > 0, n_3 > 0$ , and let H be the graph obtained from  $A_1(n_1, n_2, n_3, 0, 0, n_6)$ by deleting  $n_2$  and  $n_3$  pendent vertices of  $v_2$  and  $v_3$ , respectively. Then there exists an automorphism of H which interchanges  $v_2, v_3$  and preserves all other vertices. Based on Lemma 2.6 (ii), we have

$$M_{2k}(A_1(n_1, n_2, n_3, 0, 0, n_6)) \le M_{2k}(A_1(n_1, n_2 + n_3, 0, 0, 0, n_6))$$

Let S(H) be the subdivision graph of H, similar to the analysis, one can easily obtain the following result.

$$M_{2k}(S(A_1(n_1, n_2, n_3, 0, 0, n_6))) \le M_{2k}(S(A_1(n_1, n_2 + n_3, 0, 0, 0, n_6)))$$

This contradicts the condition of Lemma 3.8. Similarly, we can confirm that at least one number of  $n_1, n_6$  is zero.

Table 1. The Estrada index of graphs $11, 12$ for $0 \le n \le 10$ .										
	n = 6	n = 7	n = 8	n = 9	n = 10	n = 11	n = 12	n = 13	n = 14	n = 15
$EE(T_1)$	20.978	24.098	27.492	31.186	35.205	39.579	44.335	49.508	55.129	61.236
$EE(T_2)$	21.990	24.827	27.914	31.275	34.934	38.916	43.251	47.967	53.097	58.675

**Table 1.** The Estrada index of graphs  $T_1, T_2$  for  $6 \le n \le 15$ .

Without loss of generality, let  $n_3 = 0, n_4 = 0, n_5 = 0$  and  $n_6 = 0$ , then  $G = A_1(n_1, n_2, 0, 0, 0, 0)$ . If both  $n_1, n_2$  are nonzero, let  $H_1$  be the graph obtained from  $A_1$  by deleting the edges  $v_1v_3, v_1v_4$  and the pendent vertices of  $v_2$  and  $v_1$ , respectively. Then there exists an automorphism which interchanges  $v_1, v_2$  and preserves all other vertices, and let  $H'_1$  be the graph obtained from  $H_1$  by adding the edges  $v_1v_3, v_1v_4$  and the pendent vertices of  $v_1$ . It is clear that  $M_2(H'_1; v_2, v_2) = 2 < 4 + n_1 = M_2(H'_1; v_1, v_1)$ . By Lemma 2.6 (ii), we have  $(H'_1; v_2, v_2) \prec (H'_1; v_1, v_1)$ . Further by Lemma 2.5,

$$M_{2k}(A_1(n_1, n_2, 0, 0, 0, 0)) \le M_{2k}(A_1(n_1 + n_2, 0, 0, 0, 0))$$

By the same way, we can deduce the following inequality

$$M_{2k}(S(A_1(n_1, n_2, 0, 0, 0, 0))) \le M_{2k}(S(A_1(n_1 + n_2, 0, 0, 0, 0))),$$

this contradicts to the hypothesis of lemma 3.8. The proof is completed for the case of  $B(G) \cong A_1$ . Similarly, we can prove the case for  $B(G) \cong A_2$ .

Let  $T_1 = A_1(n - 6, 0, 0, 0, 0, 0), T_2 = A_2(n - 6, 0, 0, 0, 0, 0)$ , by Lemma 2.4, we have

$$\begin{split} \phi(T_1;x) &= x^{n-4}[x^4 - (n+2)x^2 + 4(n-6)] = x^{n-4}f_1(x); \\ \phi(T_2;x) &= x^{n-6}[x^6 - (n+2)x^4 + (5n-26)x^2 - 2(n-6)] = x^{n-6}f_2(x) \end{split}$$

Furthermore, from the Lemma 2.4 and the equation (2.4), it follows that

$$\sigma(T_1; x) = (x-2)^2 (x-1)^{n-7} [x^5 - (n+7)x^4 + (8n+8)x^3 + (4-16n)x^2 + (6+8n)x - 6] = (x-2)^2 (x-1)^{n-7} g_1(x); \quad (3.6)$$
  

$$\sigma(T_2; x) = x(x-1)^{n-7} [x^6 - (n+11)x^5 + (13n+36)x^4 - (62n+14)x^3 + (133n-5)x^2 + (117-123n)x + 36n] = x(x-1)^{n-7} g_2(x) . \quad (3.7)$$

**Theorem 3.9.** Let G be a graph in  $\mathcal{T}_n^+$ ,

 (i) If 6 ≤ n ≤ 9, then EE(G) ≤ EE(T<sub>2</sub>) with equality if and only if G ≃ T<sub>2</sub>; If n ≥ 10, then EE(G) ≤ EE(T<sub>1</sub>) with equality if and only if G ≃ T<sub>1</sub>.

- (ii) For each  $n \ (n \ge 6)$ ,  $ER(G) \le ER(T_1)$  and  $EE_r(G) \le EE_r(T_1)$  with equality if and only if  $G \cong T_1$ .
- (iii) For each  $n \ (n \ge 6)$ ,  $Kf(\overline{G}) \le Kf(\overline{T_1})$  with equality if and only if  $G \cong T_1$ .

*Proof.* (i) Obviously, according to the table 1, the result holds for  $6 \le n \le 9$ . For the case  $n \ge 10$ , by calculation using software Matlab, we can see that the result is true for the integer  $n(10 \le n \le 100)$ . In the following discussion, we let n > 100.

We know that the solutions of  $f_1(x) = 0$  are  $\pm \sqrt{\frac{n+2+\sqrt{n^2-12n+100}}{2}}, \pm \sqrt{\frac{n+2-\sqrt{n^2-12n+100}}{2}}$ and the graph  $T_1 - v_1$  has eigenvalues  $\pm 2$ , 0 with multiplicity n - 3. By interlacing property of eigenvalues,  $\lambda_i(T_1) \ge \lambda_i(T_1 - v_1)$  for  $i = 2, 3, \ldots, n-1$  [3]. Then

$$EE(T_1) = \sum_{i=1}^{n} e^{\lambda_i(T_1)} > e^{\lambda_1(T_1)} + \sum_{i=2}^{n-1} e^{\lambda_i(T_1-v_1)} + e^{\lambda_n(T_1)}$$
$$> e^{\sqrt{\frac{n+2+\sqrt{n^2-12n+100}}{2}}} + (n-3) + e^{-2} + 1$$
$$> e^{\sqrt{n-2}} + (n-2) + e^{-2} = H_1$$

By a direct calculation, the graph  $T_2 - v_1$  has eigenvalues  $\pm \sqrt{\frac{5+\sqrt{17}}{2}}, \pm \sqrt{\frac{5-\sqrt{17}}{2}}, 0$  with multiplicity n-5. For  $n \ge 101$ ,

$$f_2\left(\sqrt{n-\frac{5}{2}}\right) = \frac{1}{2}\left(n^2 - 36n + \frac{391}{4}\right) > 0, \quad f_2(\sqrt{n-3}) = -13n - 45 < 0$$

Because of the fact  $\lambda_1(T_2) \geq \lambda_1(T_2 - v_1)$ , we have  $\sqrt{\frac{5+\sqrt{17}}{2}} \leq \lambda_1(T_2) < \sqrt{n-\frac{5}{2}}$ . By interlacing property of eigenvalues of  $T_2 - v_1$  and  $T_2$ ,  $\lambda_i(T_2) \leq \lambda_{i-1}(T_2 - v_1)$  for  $i = 2, 3, \ldots, n$ , then

$$EE(T_2) = \sum_{i=1}^n e^{\lambda_i(T_2)} \le e^{\lambda_1(T_2)} + \sum_{i=1}^{n-1} e^{\lambda_i(T_2-v_1)}$$
  
$$< e^{\sqrt{n-\frac{5}{2}}} + (n-5) + e^{\sqrt{\frac{5+\sqrt{17}}{2}}} + e^{-\sqrt{\frac{5+\sqrt{17}}{2}}} + e^{-\sqrt{\frac{5-\sqrt{17}}{2}}} = H_2.$$

Note that  $e^{\sqrt{n-2}} - e^{\sqrt{n-\frac{5}{2}}} + e^{-2} + 1 - e^{\sqrt{\frac{5+\sqrt{17}}{2}}} - e^{\sqrt{\frac{5-\sqrt{17}}{2}}} > 0$  for  $n \ge 32$ , then  $H_1 - H_2 > 0$ . Therefore  $EE(T_1) > EE(T_2)$ .

(ii) By Lemma 2.1, it follows that

$$ER(T_1) - ER(T_2) = \frac{\phi'(T_1, n)}{\phi(T_1, n)} - \frac{\phi'(T_2, n)}{\phi(T_2, n)}$$
  
=  $\frac{\phi'(T_1, n)\phi(T_2, n) - \phi'(T_2, n)\phi(T_1, n)}{\phi(T_1, n)\phi(T_2, n)}$   
=  $\frac{(n-2)(4n^6 - 2n^5 - 16n^4 + 56n^3 + 80n^2 + 48n + 288)}{nf_1(n)f_2(n)}$ 

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The real roots of the polynomials  $f_1(n) = n^4 - n^3 - 2n^2 + 4n - 24$  and  $f_2(n) = n^6 - n^5 - 2n^4 + 5n^3 - 26n^2 - 2n + 12$  are less than 3, thus the denominator is positive for  $n \ge 3$ . On the other hand, the polynomial  $p(n) = 4n^6 - 2n^5 - 16n^4 + 56n^3 + 80n^2 + 48n + 288$  does not have any real roots, therefore, the numerator is positive for  $n \ge 3$ . It implies that  $ER(T_1) \ge ER(T_2)$ .

Similarly, from the Lemma 2.1, it follows that

$$\begin{split} EE_r(T_1) - EE_r(T_2) &= (n-1)(\frac{\phi'(T_1, n-1)}{\phi(T_1, n-1)} - \frac{\phi'(T_2, n-1)}{\phi(T_2, n-1)}) \\ &= (n-1)(\frac{\phi'(T_1, n-1)\phi(T_2, n-1) - \phi'(T_2, n-1)\phi(T_1, n-1)}{\phi(T_1, n-1)\phi(T_2, n-1)}) \\ &= \frac{4n^7 - 34n^6 + 104n^5 - 76n^4 - 212n^3 + 342n^2 + 72n - 600}{f_1(n-1)f_2(n-1)} \end{split}$$

Where  $f_1(n-1) = n^4 - 5n^3 + 6n^2 + 3n - 25$ ,  $f_2(n-1) = n^6 - 7n^5 + 17n^4 - 13n^3 - 29n^2 + 56n - 15$ , since the real roots of the polynomials  $f_1(n-1)$  and  $f_2(n-1)$  are less than 4, then the denominator is positive for  $n \ge 4$ . In the meantime, the numerator is positive for  $n \ge 3$ . It follows that  $EE_r(T_1) \ge EE_r(T_2)$ .

(iii) From Lemma 2.2, Eqs.(3.6) and (3.7), we have

$$\begin{split} Kf(\overline{T_1}) - Kf(\overline{T_2}) &= n(\frac{\sigma'(T_1,n)}{\sigma(T_1,n)} - \frac{\sigma'(T_2,n)}{\sigma(T_2,n)}) \\ &= n(\frac{\sigma'(T_1,n)\sigma(T_2,n) - \sigma'(T_2,n)\sigma(T_1,n)}{\sigma(T_1,n)\sigma(T_2,n)}) \\ &= \frac{n^{11} - 32n^{10} + 412n^9 - 2678n^8 + 9445n^7 - 17942n^6 + \dots + 3240}{(n-1)(n-2)g_1(n)g_2(n)} \end{split}$$

Where  $g_1(n) = n^4 - 8n^3 + 12n^2 + 6n - 6$ ,  $g_2(n) = 2n^5 - 26n^4 + 119n^3 - 128n^2 + 153n$ , since the real roots of the polynomials  $g_1(n)$  and  $g_2(n)$  are less than 6, then the denominator is positive for  $n \ge 6$ . By direct calculation, the numerator is positive for  $n \ge 3$ . It follows that  $Kf(\overline{T_1}) \ge Kf(\overline{T_2})$ .

This completes the proof.

# 4 The second maximum indices of bipartite tricyclic graphs

In this section, we will consider the graphs with the second maximum EE(G), ER(G),  $EE_r(G)$  or  $Kf(\overline{G})$  among  $T_n^+$ . By Corollary 3.7, Lemma 2.6 and Theorem 3.9, one can conclude that G which has the second maximum indices of bipartite tricyclic graphs must be one of the graphs  $A_1(n_1, n_2, 0, 0, 0, 0)$ ,  $A_1(n_1, 0, 0, 0, 0, n_6)$ ,  $T_5$ ,  $T_6$ ,  $T_7$  (as shown in Fig.4) and  $T_2$ .



**Figure 4.** The graphs  $T_i(j = 4, 5, 6, 7)$ .

**Lemma 4.1.** For the graphs  $T_4$ ,  $T_5$ ,  $T_6$  and  $T_7$  (as shown in Fig.4),  $T_4$  is the one with maximum  $EE(G)(EE_r(G), ER(G) \text{ or } Kf(\overline{G})).$ 

Proof. For the graph  $T_7$ , let  $H_7 = T_7 - \{v_8v_9, \cdots, v_8v_n\}$ . For any closed walk  $W \in W_{2k}(H_7; v_8, v_8)$ , if W does not reach the the vertex  $v_1$ , then let f(W) be the walk obtained from W by replacing  $v_7$  and  $v_8$  by  $v_5$  and  $v_1$ , respectively; Otherwise, W can be decomposed three sections  $W_1, W_2, W_3$ , denoted by  $W = W_1W_2W_3$ , where  $W_1$  is the shortest  $(v_8, v_1)$ -section at the beginning of  $W, W_2$  is the longest  $(v_1, v_1)$ -section of W, and  $W_3$  is the remaining  $(v_1, v_8)$ -section. We will construct an injection f from  $W_{2k}(H_7; v_8, v_8)$  to  $W_{2k}(H_7; v_1, v_1)$ . Let  $v_i$  be the predecessor of the last vertex  $v_1$  on  $W_2$ , and  $v_j$  be a vertex taken from  $\{v_2, v_3, v_4, v_5\}$  but distinct from  $v_i$ . We define  $f_1(W_1)$  and  $f_3(W_3)$  are the walk obtained from  $W_1$  and  $W_3$  by replacing  $v_8$  and  $v_7$  by  $v_j$  and  $v_6$ , respectively. Let  $f(W) = W_2f_3(W_3)f_1(W_1)$ , then  $f(W) \in W_{2k}(H_7; v_1, v_1)$  and f is an injection. Further,  $M_2(H_7; v_8, v_8) = 2 < 5 = M_2(H_7; v_1, v_1)$ . Therefore  $(H_7; v_8, v_8) \prec (H_7; v_1, v_1)$ . Note that  $T_6 = H_7 + \{v_1v_9, \cdots, v_1v_n\}$  and  $T_7 = H_7 + \{v_8v_9, \cdots, v_8v_n\}$ , by Lemma 2.5, we have  $M_{2k}(T_7) < M_{2k}(T_6)$ .

For the graph  $T_6$ , let  $H_6 = T_6 - v_7 v_8$ , for any closed walk  $W \in W_{2k}(H_6; v_8, v_8)$ , let  $W = v_8 v_1 W' v_1 v_8$ , where W' is the  $(v_1, v_1)$  closed walk of  $H_6$ . We let  $f(W) = v_2 v_1 W' v_1 v_2$ , obviously,  $f(W) \in W_{2k}(H_6; v_2, v_2)$  and f is an injection. By  $M_2(H_6; v_8, v_8) = 1$ ,  $M_2(H_6; v_2, v_2) = 2$ , therefore  $(H_6; v_8, v_8) \prec (H_6; v_2, v_2)$ . Considering that  $T_4 = H_6 + v_2 v_7$ and  $T_6 = H_6 + v_8 v_7$ , by Lemma 2.5, we have  $M_{2k}(T_6) < M_{2k}(T_4)$ .

For the graph  $T_5$ , let  $H_5 = T_5 - v_2v_8, v_2v_9, \cdots, v_2v_n$ ,  $H'_5 = H_5 - v_1v_3$ . Then there exists an automorphism  $\sigma$  of  $H'_5$  which interchange  $v_1$  and  $v_2$ , and preserves all other vertices. By Lemma 2.7, We have  $M_{2k}(H_5; v_2, v_2) < M_{2k}(H_5; v_1, v_1)$ . Note that  $T_4 = H_5 + \{v_1v_8, \cdots, v_1v_n\}$  and  $T_5 = H_5 + \{v_2v_8, \cdots, v_2v_n\}$ , by Lemma 2.5, we have  $M_{2k}(T_5) < M_{2k}(T_4)$ .

According to the same way, we can verify that  $M_{2k}(S(T_4))$  is the biggest number among  $M_{2k}(S(T_4))$ ,  $M_{2k}(S(T_5))$ ,  $M_{2k}(S(T_6))$ ,  $M_{2k}(S(T_7))$ . By Eqs.(1.1)-(1.3),(2.5), the lemma holds. **Lemma 4.2.** If  $G \in \mathcal{T}_n^+$  is the graph with the second maximum  $EE(G)(EE_r(G), ER(G)$ or  $Kf(\overline{G}))$  and  $B(G) \cong A_1$ , then  $G \cong A_1(n-7, 0, 0, 0, 0, 1)$ .

*Proof.* (i) For the case  $A_1(n_1, n_2, 0, 0, 0, 0)$ , let H be the graph obtained from it by deleting the edges  $v_3v_1, v_4v_1, n_1 - 1$  pendent vertices attached at  $v_1$  and  $n_2 - 1$  pendent vertices attached at  $v_2$ . Then there exists an automorphism  $\sigma$  of H which interchange  $v_1$  and  $v_2$ and preserves other vertices. Let H' be the graph obtain from H by adding the edges  $v_3v_1, v_4v_1, n_2 - 1$  pendent vertices attached at  $v_2$ . It is clear that  $M_2(H'; v_2, v_2) = 3 <$  $4 + n_1 = M_2(H'; v_1, v_1)$ . By Lemma 2.7 (ii), we have

$$(H'; v_2, v_2) \prec (H'; v_1, v_1)$$
.

Further by Lemma 2.5, it follows that

$$M_{2k}(A_1(n_1, n_2, 0, 0, 0, 0)) \le M_{2k}(A_1(n_1 + n_2 - 1, 1, 0, 0, 0, 0))$$

Let  $T_4 = A_1(n-7, 1, 0, 0, 0, 0)$  and  $T_3 = A_1(n-7, 0, 0, 0, 0, 1)$ . We put  $H_1$  be the graph obtained from  $T_4$  by deleting the edges  $v_3v_6, v_4v_6$  and the pendent vertex of  $v_2$ , and let  $H'_1 = H_1 + (v_3v_6, v_4v_6)$ . There exists an automorphism  $\sigma'$  of  $H_1$  such that  $\sigma'(v_2) = v_6$ . From Lemma 2.7 (ii), it follows that  $(H'_1; v_2, v_2) \prec (H'_1; v_6, v_6)$ . Then, by Lemma 2.5, we have  $M_{2k}(T_4) \leq M_{2k}(T_3)$ .

(ii) For the case  $A_1(n_1, 0, 0, 0, 0, n_6)$ , it is triffe for  $n_1 = 1$  or  $n_6 = 1$ , thus we put  $n_1 \ge 2, n_6 \ge 2$ . Let  $A = K_{1,n_1-1}, B = K_{1,n_6-1}$  and C be the graph obtained from  $A_1(n_1, 0, 0, 0, 0, n_6)$  by deleting  $n_1 - 1$  and  $n_6 - 1$  pendent vertices of  $v_1$  and  $v_6$ , respectively, by Lemma 2.6 (ii), it follows that

$$M_{2k}(A_1(n_1, 0, 0, 0, 0, n_6)) \le M_{2k}(T_3)$$
.

Therefor, the Lemma is true for the indices  $EE(G), EE_r(G)$  and ER(G).

By a similar discussion mentioned above, we can show that  $Kf(\overline{G}) \leq Kf(\overline{T_3})$ , which is omitted here.

From Theorem 3.9 and Lemma 4.2, we obtain the following corollary.

**Corollary 4.3.** If  $G \in \mathcal{T}_n^+$  is the graph with the second maximum  $EE(G)(EE_r(G), ER(G) \text{ or } Kf(\overline{G}))$ , then  $G \in \{T_2, T_3\}$ .

By Lemma 2.3, we calculate the characteristic polynomial of  $T_3$  as the following

$$\phi(T_3; x) = x^{n-4} [x^4 - (n+2)x^2 + 5n - 31] = x^{n-4} f_3(x)$$

From Lemma 2.3 and the equation 2.5, it follows that

$$\begin{aligned} (T_3;x) &= x(x-1)^{n-8}[x^7-(n+12)x^6+(14n+44)x^5-(72n+40)x^4\\ &+ (180n-96)x^3-(232n-24)x^2+(144n-128)x-32n]\\ &= x(x-1)^{n-8}g_3(x) \;. \end{aligned}$$

**Theorem 4.4.** Let G be a bipartite tricyclic graph with  $n \ge 8$ , and  $G \ncong T_1$ , then (i)  $EE(G) \le EE(T_2)$ ,  $Kf(\overline{G}) \le Kf(\overline{T_2})$  with equality if and only if  $G \cong T_2$ . (ii)  $ER(G) \le ER(T_3)$  and  $EE_r(G) \le EE_r(T_3)$  with equality if and only if  $G \cong T_3$ .

*Proof.* (i) By Corollary 4.2, we just determine the graph between  $T_2$  and  $T_3$ . By calculation using software Matlab, we have  $EE(T_2) > EE(T_3)$  when  $8 \le n \le 35$ . In the following discussion, we let  $n \ge 36$ .

The solutions of  $f_3(x) = 0$  are  $\pm \sqrt{\frac{n+2+\sqrt{n^2-24n+128}}{2}}, \pm \sqrt{\frac{n+2-\sqrt{n^2-24n+128}}{2}}$  and the graph  $T_3 - v_1$  has eigenvalues  $\pm \sqrt{5}$ , 0 with multiplicity n - 3. By interlacing property of eigenvalues of  $T_3 - v_1$  and  $T_3, \lambda_i(T_3) \leq \lambda_{i-1}(T_3 - v_1)$  for  $i = 2, 3, \ldots, n$  [3]. Then

$$EE(T_3) = \sum_{i=1}^{n} e^{\lambda_i(T_3)} \le e^{\lambda_1(T_3)} + \sum_{i=2}^{n} e^{\lambda_{i-1}(T_3 - v_1)}$$
$$= e^{\sqrt{\frac{n+2+\sqrt{n^2-24n+128}}{2}}} + (n-3) + e^{\sqrt{5}} + e^{-\sqrt{5}}$$
$$< e^{\sqrt{\frac{n+2+\sqrt{n^2-23n+132.25}}{2}}} + (n-3) + e^{\sqrt{5}} + e^{-\sqrt{5}}$$
$$= e^{\sqrt{n-\frac{19}{4}}} + (n-3) + e^{\sqrt{5}} + e^{-\sqrt{5}}$$

For  $n \geq 36$ ,

 $\sigma$ 

$$f_2(\sqrt{n-3}) = -13n - 45 < 0, \quad f_2(\sqrt{n-\frac{5}{2}}) = \frac{1}{2}(n^2 - 36n + \frac{391}{4}) > 0$$

we have  $\lambda_1(T_2) > \sqrt{n-3}$ . The graph  $T_2 - v_1$  has eigenvalues  $\pm \sqrt{\frac{5+\sqrt{17}}{2}}, \pm \sqrt{\frac{5-\sqrt{17}}{2}}, 0$ with multiplicity n-5, by interlacing property of eigenvalues of  $T_2 - v_1$  and  $T_2, \lambda_i(T_2) \ge \lambda_i(T_2 - v_1)$  for i = 2, 3, ..., n-1, then

$$EE(T_2) = \sum_{i=1}^{n} e^{\lambda_i(T_2)} \ge e^{\lambda_1(T_2)} + \sum_{i=2}^{n-1} e^{\lambda_i(T_2 - v_1)}$$
$$\ge e^{\sqrt{n-3}} + (n-5) + e^{-\sqrt{\frac{5+\sqrt{17}}{2}}} + e^{\sqrt{\frac{5-\sqrt{17}}{2}}} + e^{-\sqrt{\frac{5-\sqrt{17}}{2}}}$$

Note that  $e^{\sqrt{n-3}} - e^{\sqrt{n-\frac{9}{4}}} > 2 + e^{\sqrt{5}}$  for  $n \ge 22$ , then  $EE(T_2) > EE(T_3)$ .

According to the way mentioned above, we have

$$Kf(\overline{T_3}) - Kf(\overline{T_2}) = n(\frac{\sigma'(T_3, n)}{\sigma(T_3, n)} - \frac{\sigma'(T_2, n)}{\sigma(T_2, n)})$$
  
=  $\frac{n^2(-n^9 + 145n^8 - 1203n^7 + 3511n^6 + \dots + 864)}{(n-1)g_3(n)g_2(n)}$ 

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Because of the fact that the denominator is positive and the numerator is negative on  $n \ge 8$ , it follows that  $Kf(\overline{T_2}) > Kf(\overline{T_3})$ .

(ii) By Lemma 2.1, we have

$$ER(T_3) - ER(T_2) = \frac{\phi'(T_3, n)}{\phi(T_3, n)} - \frac{\phi'(T_2, n)}{\phi(T_2, n)}$$
  
= 
$$\frac{20n^6 - 22n^5 + 60n^4 - 32n^3 - 116n^2 + 244n - 744n^2}{nf_2(n)f_3(n)}$$

The real roots of the polynomials  $f_3(n) = n^4 - n^3 - 2n^2 + 5n - 31$  and  $f_2(n) = n^6 - n^5 - 2n^4 + 5n^3 - 26n^2 - 2n + 12$  are less than 3, thus the denominator is positive on  $n \ge 3$ . On the other hand, the polynomial  $p(n) = 20n^6 - 22n^5 + 60n^4 - 32n^3 - 116n^2 + 244n - 744$  is positive on  $n \ge 3$ . It implies that  $ER(T_3) > ER(T_2)$ .

Similarly, by Lemma 2.1, it follows that

$$EE_r(T_3) - EE_r(T_2) = (n-1)\left(\frac{\phi'(T_3, n-1)}{\phi(T_3, n-1)} - \frac{\phi'(T_2, n-1)}{\phi(T_2, n-1)}\right)$$
  
= 
$$\frac{20n^6 - 142n^5 + 448n^4 - 788n^3 + 656n^2 + 54n - 768}{f_1(n-1)f_2(n-1)}$$

the numerator is positive for  $n \ge 4$ , and the real roots of the polynomials  $f_1(n-1)$  and  $f_2(n-1)$  are less than 4, thus the valuation of the fraction is positive for  $n \ge 4$ . It follows that  $EE_r(T_3) \ge EE_r(T_2)$ .

**Remark 3.** We would like to point out that the set  $\mathcal{T}_n^+$  is a special case of the set  $\mathcal{T}_n^c(c = 0, 1, 2, 3 \cdots)$ , i.e. the set of connected bipartite *c*-cyclic graphs. The extremal bipartite *c*-cyclic graphs for c = 0, 1, 2 have been characterized according to the Estrada index, for the details, the readers may refer to [15,20,32]. For solving the same problem on the set  $\mathcal{T}_n^c, c \ge 4$ , our approach would need to be modified, it would be interesting to continue studying the extremal graphs.

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