

# Lower Bounds for the Kirchhoff Index

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(Received October 9, 2012)

## Abstract

Zhou and Trinajstić in [17] and Das, Güngör, and Çevik in [6] obtained lower bounds for the Kirchhoff index of a connected graph. Using some ideas in [17], [6], and other established results, we obtain new lower bounds for the Kirchhoff index of a connected graph.

We consider only finite undirected graphs without loops or multiple edges. Notation and terminology not defined here follow those in [3]. Let  $G$  be a graph of order  $n$ . We use  $G^c$  to denote the complement of  $G$ . We assume that the vertices in  $G$  are ordered such that  $d_1 \geq d_2 \geq \dots \geq d_n$ , where  $d_i$ ,  $1 \leq i \leq n$ , is the degree of vertex  $v_i$  in  $G$ . Grone and Merris in [9] introduced the definition of  $d_i^*(G)$ . They defined  $d_i^*(G)$  as  $|\{v \in V(G) : d(v) \geq i\}|$ . For vertex  $v_i$ , we use  $N(v_i)$  to denote its neighbors. For two distinct vertices  $u$  and  $v$  in  $G$ , we define  $c_{u,v}$  as  $|N(u) \cap N(v)|$ ; if  $d(u) = d_1$  and  $d(v) = d_2$ , we further define

$$a_{u,v} := \begin{cases} \frac{d(v) + 2 + \sqrt{(d(v) + 2)^2 - 8d(v) + 4c_{u,v}}}{2} & \text{if } uv \in E(G), \\ \frac{d(v) + 1 + \sqrt{(d(v) + 1)^2 - 4c_{u,v}}}{2} & \text{if } uv \notin E(G). \end{cases}$$

and  $a := \max\{a_{u,v} : \text{where } d(u) = d_1, d(v) = d_2, \text{ and } u \neq v\}$ . We use  $S_n$  to denote the star graph  $K_{1,n-1}$ . We also use  $K_n - e$  to denote the graph obtained by removing one edge  $e$  from  $K_n$ . Finally, we use  $S_n + e$  to denote the graph obtained by adding one edge  $e$  to  $K_{1,n-1}$ .

The Laplacian of a graph  $G$  is defined as  $L(G) = D(G) - A(G)$ , where  $D(G)$  is the diagonal matrix of the degree sequence of  $G$  and  $A(G)$  is the adjacency matrix of  $G$ .

The eigenvalues  $\lambda_1(G) \geq \lambda_2(G) \geq \dots \geq \lambda_n(G) = 0$  of  $L(G)$  are called the Laplacian eigenvalues of the graph  $G$ . The notion and definition of the Kirchhoff index can be found in [13] and [2]. It was proved by Zhu, Klein, and Lukovist in [18] and Gutman and Mohar in [10] that the Kirchhoff index  $Kf(G)$  of a connected graph  $G$  with order at least two can be written as  $n \sum_{i=1}^{n-1} \frac{1}{\lambda_i}$ .

Zhou and Trinajstić in [17] and Das, Güngör, and Çevik in [6] obtained lower bounds for the Kirchhoff index of a connected graph. Using some ideas in [17], [6], and other established results, we will present a new lower bound for the Kirchhoff index of a connected graph.

**Theorem 1** Let  $G$  be a connected graph with  $n \geq 2$  vertices and  $e$  edges.

- (1) If  $G = K_n$ , then  $Kf(G) = n - 1$ .
- (2) If  $G = S_n$ , then  $Kf(G) = (n - 1)^2$ .
- (3) If  $G \neq K_n$ ,  $G \neq S_n$ , and  $n \geq 4$ , then

$$Kf(G) \geq n \left( \frac{1}{n} + \frac{1}{n + d_2^* - d_1 - 1} + \frac{1}{d_n} + \frac{(n - 4)^2}{2e - d_1 - 1 - a - d_n} \right),$$

with equality if and only if  $G$  is  $K_n - e$ ,  $K_2 \vee K_{n-2}^c$ ,  $K_2 \vee S_{n-2}^c$ ,  $K_1 \vee S_{n-1}^c$ ,  $S_n + e$ , or  $K_1 \vee (K_1 \cup S_{n-2})$ .

In order to prove Theorem 1, we need several established results. Theorem 2 below is Lemma 13.1.3 on Page 280 in [8].

**Theorem 2** Let  $G$  be a graph of order  $n$ . Then  $\lambda_i(G) = n - \lambda_{n-i}(G^c)$  for each  $i$  with  $1 \leq i \leq n - 1$ . In particular,  $\lambda_1(G) \leq n$ .

The following Theorem 3 was proven by Fiedler in [7].

**Theorem 3** Let  $G$  be a non-complete graph of order  $n$ . Then  $\lambda_{n-1}(G) \leq \kappa(G) \leq \kappa'(G) \leq d_{n-1}$ , where  $\kappa(G)$  and  $\kappa'(G)$  are the connectivity and edge-connectivity of  $G$ , respectively.

Kirkland in [12] and Li and Fan in [14] characterized the graphs with  $\lambda_{n-1}(G) = \kappa(G)$ . Their results are stated in Theorem 4 below.

**Theorem 4** Let  $G$  be a graph of order  $n$  with  $1 \leq \kappa(G) \leq n - 2$ . Then  $\lambda_{n-1}(G) = \kappa(G) = k$  if and only if there exists a vertex subset  $S \subset V(G)$  with  $|S| = k$ , such that  $G = G[S] \vee (G_1 \cup G_2 \cup \dots \cup G_m)$ ,  $m \geq 2$ , and  $\kappa(G[S]) \geq 2k - n$  if  $\lfloor \frac{n}{2} \rfloor < k \leq n - 2$ .

Theorem 5 below was proven by Grone and Merris in [9].

**Theorem 5** Let  $G$  be a graph containing at least one edge. Then  $\lambda_1(G) \geq d_1(G) + 1$ . Moreover, if  $G$  is connected on  $n > 1$  vertices, the equality holds if and only if  $d_1(G) = n - 1$ .

The statement in Theorem 6 was conjectured by Grone and Merris in [9]. Bai in [1] proved that the conjecture is true.

**Theorem 6** Let  $G$  be a graph of order  $n$ . Then  $\sum_{i=1}^k \lambda_i(G) \leq \sum_{i=1}^k d_i^*(G)$  for each  $k$  with  $1 \leq k \leq n$ .

Both Theorem 7 and Theorem 8 below were proven by Das in [5].

**Theorem 7** Let  $G$  be a simple connected graph of order  $n$ . Then  $\lambda_2(G) = \lambda_3(G) = \dots = \lambda_{n-1}(G)$  if and only if  $G$  is  $K_n$  or  $S_n$  or  $K_{d_1, d_1}$ .

**Theorem 8** Let  $G$  be a simple connected graph of order  $n$ . Then  $\lambda_1(G) = \lambda_2(G) = \dots = \lambda_{n-2}(G)$  if and only if  $G$  is  $K_n$  or  $K_n - e$ .

Theorem 9 below follows from results obtained by Das in [4].

**Theorem 9** Let  $G$  be a simple connected graph of order  $n > 2$ . If  $G$  is not  $S_n$ , then  $\lambda_2 \geq a$ .

Now we will prove Theorem 1.

**Proof of Theorem 1.** Let  $G$  be a connected graph with  $n$  vertices and  $e$  edges.

(1) It is obvious that  $Kf(G) = n - 1$  if  $G = K_n$ .

(2) It is easy to verify that  $Kf(G) = (n - 1)^2$  if  $G = S_n$ .

(3) Suppose that  $G \neq K_n$ ,  $G \neq S_n$ , and  $n \geq 4$ . We assume that  $x$  and  $y$  are two distinct vertices in  $G$  such that  $a_{x,y} = a$  with  $d(x) = d_1$  and  $d(y) = d_2$ . Notice first that  $0 \leq c_{x,y} \leq d_2 - 1$  if  $xy \in E$ , and  $0 \leq c_{x,y} \leq d_2$  if  $xy \notin E$ . By the definition of  $a$ , we can easily verify that  $d_2 \leq a \leq d_2 + 1$ .

From Theorem 6, we have that  $\lambda_1 + \lambda_2 \leq d_1^* + d_2^* = n + d_2^*$ . Theorem 5 then implies that  $\lambda_2 \leq n + d_2^* - d_1 - 1$ .

From Theorem 2, Theorem 9, and the AM-GM-HM inequalities, we have that

$$\begin{aligned} Kf(G) &= n \sum_{i=1}^{n-1} \lambda_i \geq n \left( \frac{1}{n} + \frac{1}{n + d_2^* - d_1 - 1} + \frac{1}{\lambda_{n-1}} + \frac{(n-4)^2}{2e - \lambda_1 - \lambda_2 - \lambda_{n-1}} \right) \\ &\geq n \left( \frac{1}{n} + \frac{1}{n + d_2^* - d_1 - 1} + \frac{1}{\lambda_{n-1}} + \frac{(n-4)^2}{2e - d_1 - 1 - a - \lambda_{n-1}} \right). \end{aligned}$$

Now consider the function

$$f(x) = \frac{1}{x} + \frac{(n-4)^2}{2e - d_1 - 1 - a - x},$$

where  $0 < x \leq d_n$ . It is easy to see that

$$\begin{aligned} f'(x) &= -\frac{1}{x^2} + \frac{(n-4)^2}{(2e - d_1 - 1 - a - x)^2} \\ &= -\frac{(2e - d_1 - 1 - a - x + (n-4)x)(2e - d_1 - a - x - (n-3)x)}{x^2(2e - d_1 - 1 - a - x)^2}. \end{aligned}$$

Next we prove that  $2e - d_1 - 1 - a - x + (n - 4)x \geq 0$ . Notice first that if  $n \geq 5$ , then  $2e - d_1 - 1 - a - x + (n - 4)x \geq d_1 + d_2 + d_3 + d_4 + \cdots + d_{n-1} + d_n - d_1 - 1 - d_2 - 1 - d_n + (n - 4)x \geq 0$ .

If  $n = 4$ , then  $2e - d_1 - 1 - a - x + (n - 4)x \geq d_1 + d_2 + d_3 + d_4 - d_1 - 1 - a - d_4 = d_2 + d_3 - 1 - a$ . When  $d_3 \geq 2$ , then  $2e - d_1 - 1 - a - x + (n - 4)x \geq d_2 + d_3 - 1 - a \geq d_2 + d_3 - 1 - 1 - d_2 \geq 0$ . When  $d_3 = 1$ , then  $d_4 = 1$ . Also  $d_2 \neq 1$  otherwise we have a contradiction that  $G = K_{1,3}$ . Moreover,  $d_2 \neq 3$  otherwise  $d_1 = 3$ , which implies  $d_3 \geq 2$ , a contradiction. Thus  $d_2 = 2$ . Clearly,  $d_1 \neq 3$ , otherwise  $d_3 \geq 2$ , a contradiction again. Hence  $d_1 = 2$ . It is easy to see that now  $G$  is a path with 4 vertices and 3 edges. Therefore  $a = 2$ . So  $2e - d_1 - 1 - a - x + (n - 4)x \geq d_2 + d_3 - 1 - a \geq d_2 + d_3 - 1 - 2 = 0$ .

Next we further prove that  $2e - d_1 - 1 - a - (n - 3)x \geq 0$ . If  $d_3 \geq 2$ , then  $2e - d_1 - 1 - a - (n - 3)x \geq d_1 + d_2 + d_3 + d_4 + \cdots + d_{n-1} + d_n - d_1 - 1 - d_2 - 1 - (n - 3)d_n \geq d_3 - 2 \geq 0$ .

If  $d_3 = 1$ , then  $d_3 = d_4 = \cdots = d_n = 1$ . Obviously,  $d_2 \neq 1$ . Otherwise by the assumption that  $G$  is connected we have that  $v_i v_j \notin E$ , where  $2 \leq i \neq j \leq n$ , which implies that  $G$  is  $S_n$ , a contradiction. Now we assume that  $d_2 \geq 2$ . Again since  $G$  is connected, we have that  $v_i v_j \notin E$ , where  $3 \leq i \neq j \leq n$ . Hence, for each  $i$  with  $3 \leq i \leq n$ ,  $v_i$  is adjacent to exactly one of  $v_1$  and  $v_2$ . Since  $d(v_1) = d_1 \geq d(v_2) = d_2 \geq 2 > d_3 = d_4 = \cdots = d_n = 1$ , we have that  $\{x, y\} = \{v_1, v_2\}$ . Since  $G$  is connected,  $xy \in E$ . Clearly,  $c_{x,y} = 0$ . Hence, by the definition of  $a$ , we have that  $a = d_2$ . So  $2e - d_1 - 1 - a - (n - 3)x \geq d_1 + d_2 + d_3 + d_4 + \cdots + d_{n-1} + d_n - d_1 - 1 - d_2 - (n - 3)d_n \geq d_3 - 1 = 0$ .

Thus  $f(x)$  is decreasing when  $0 < x \leq d_n$ . Therefore

$$\begin{aligned} Kf(G) &\geq n \left( \frac{1}{n} + \frac{1}{n + d_2^* - d_1 - 1} + f(\lambda_{n-1}) \right) \\ &\geq n \left( \frac{1}{n} + \frac{1}{n + d_2^* - d_1 - 1} + f(d_n) \right) \\ &= n \left( \frac{1}{n} + \frac{1}{n + d_2^* - d_1 - 1} + \frac{1}{d_n} + \frac{(n - 4)^2}{2e - d_1 - 1 - a - d_n} \right). \end{aligned}$$

Suppose that

$$Kf(G) = n \left( \frac{1}{n} + \frac{1}{n + d_2^* - d_1 - 1} + \frac{1}{d_n} + \frac{(n - 4)^2}{2e - d_1 - 1 - a - d_n} \right).$$

In review of the proof above, we have that

$$\lambda_1 = n = d_1 + 1, \lambda_2 = n + d_2^* - d_1 - 1 = d_2^* = a, \lambda_3 = \cdots = \lambda_{n-2}, \lambda_{n-1} = d_n.$$

Since  $\lambda_1 \geq \lambda_2$ ,  $\lambda_2 \geq \lambda_3$ , and  $\lambda_{n-2} \geq \lambda_{n-1}$ , we, depending on  $\lambda_1 > \lambda_2$  or  $\lambda_1 = \lambda_2$ ,  $\lambda_2 > \lambda_3$  or  $\lambda_2 = \lambda_3$ , and  $\lambda_{n-2} > \lambda_{n-1}$  or  $\lambda_{n-2} = \lambda_{n-1}$ , can divide our following proofs into eight cases. The proofs for the eight cases are identical to the proofs for Cases 1 - 8

in [16]. For the sake of completeness, we include the proofs for the eight cases in the Appendix.

Next we show that if  $G$  is  $K_n - e$ ,  $K_2 \vee K_{n-2}^c$ ,  $K_2 \vee S_{n-2}^c$ ,  $K_1 \vee S_{n-1}^c$ ,  $S_n + e$ , or  $K_1 \vee (K_1 \cup S_{n-2})$ , then

$$Kf(G) = n \left( \frac{1}{n} + \frac{1}{n + d_2^* - d_1 - 1} + \frac{1}{d_n} + \frac{(n-4)^2}{2e - d_1 - 1 - a - d_n} \right).$$

If  $G$  is  $K_n - e$  with  $n \geq 4$ , then the Laplacian eigenvalues of  $G^c$  are

$$(2, \dots, 0, 0, 0).$$

Thus the eigenvalues of  $G$  are

$$(n, n, \dots, n, (n-2), 0).$$

Hence

$$\begin{aligned} Kf(G) &= n \sum_{i=1}^{n-1} \lambda_i = n \left( \frac{n-2}{n} + \frac{1}{n-2} \right) \\ &= n \left( \frac{1}{n} + \frac{1}{n + d_2^* - d_1 - 1} + \frac{1}{d_n} + \frac{(n-4)^2}{2e - d_1 - 1 - a - d_n} \right). \end{aligned}$$

If  $G$  is  $K_2 \vee K_{n-2}^c$  with  $n \geq 2$ , then the Laplacian eigenvalues of  $G^c$  are

$$((n-2), (n-2), \dots, (n-2), 0, 0, 0).$$

Thus the eigenvalues of  $G$  are

$$(n, n, 2, 2, \dots, 2, 0).$$

Hence

$$\begin{aligned} Kf(G) &= n \sum_{i=1}^{n-1} \lambda_i = n \left( \frac{2}{n} + \frac{n-3}{2} \right) \\ &= n \left( \frac{1}{n} + \frac{1}{n + d_2^* - d_1 - 1} + \frac{1}{d_n} + \frac{(n-4)^2}{2e - d_1 - 1 - a - d_n} \right). \end{aligned}$$

If  $G$  is  $K_2 \vee S_{n-2}^c$  with  $n \geq 4$ , then the Laplacian eigenvalues of  $G^c$  are

$$((n-2), 1, \dots, 1, 0, 0, 0).$$

Thus the eigenvalues of  $G$  are

$$(n, n, (n-1), \dots, (n-1), 2, 0).$$

Hence

$$\begin{aligned} Kf(G) &= n \sum_{i=1}^{n-1} \lambda_i = n \left( \frac{2}{n} + \frac{n-4}{n-1} + \frac{1}{2} \right) \\ &= n \left( \frac{1}{n} + \frac{1}{n+d_2^*-d_1-1} + \frac{1}{d_n} + \frac{(n-4)^2}{2e-d_1-1-a-d_n} \right). \end{aligned}$$

If  $G$  is  $K_1 \vee S_{n-1}^c$  with  $n \geq 4$ , then the Laplacian eigenvalues of  $G^c$  are

$$((n-1), 1, \dots, 1, 0, 0).$$

Thus the eigenvalues of  $G$  are

$$(n, (n-1), \dots, (n-1), 1, 0).$$

Hence

$$\begin{aligned} Kf(G) &= n \sum_{i=1}^{n-1} \lambda_i = n \left( \frac{1}{n} + \frac{n-3}{n-1} + \frac{1}{1} \right) \\ &= n \left( \frac{1}{n} + \frac{1}{n+d_2^*-d_1-1} + \frac{1}{d_n} + \frac{(n-4)^2}{2e-d_1-1-a-d_n} \right). \end{aligned}$$

If  $G$  is  $S_n + e$  with  $n \geq 4$ , then the Laplacian eigenvalues of  $G^c$  are

$$((n-1), (n-1), \dots, (n-1), (n-3), 0, 0).$$

Thus the eigenvalues of  $G$  are

$$(n, 3, 1, \dots, 1, 1, 0).$$

Hence

$$\begin{aligned} Kf(G) &= n \sum_{i=1}^{n-1} \lambda_i = n \left( \frac{1}{n} + \frac{1}{3} + \frac{n-3}{1} \right) \\ &= n \left( \frac{1}{n} + \frac{1}{n+d_2^*-d_1-1} + \frac{1}{d_n} + \frac{(n-4)^2}{2e-d_1-1-a-d_n} \right). \end{aligned}$$

If  $G$  is  $K_1 \vee (K_1 \cup S_{n-2})$  with  $n \geq 4$ , from the above proof of Case 8, we have that the eigenvalues of  $G - \{x, v_n\}$  are

$$((\lambda_2 - 1), (\lambda_3 - 1), \dots, (\lambda_{n-2} - 1), 0).$$

Since  $G - \{x, v_n\}$  is  $S_{n-2}$ ,  $\lambda_2 - 1 = n - 2$  and  $\lambda_3 - 1 = \dots = \lambda_{n-2} - 1 = 1$ . Thus  $\lambda_1 = n$ ,  $\lambda_2 = n - 1$ ,  $\lambda_3 = \dots = \lambda_{n-2} = 2$ , and  $\lambda_{n-1} = 1$ . Hence

$$\begin{aligned} Kf(G) &= n \sum_{i=1}^{n-1} \lambda_i = n \left( \frac{1}{n} + \frac{1}{n-1} + \frac{n-4}{2} + \frac{1}{1} \right) \\ &= n \left( \frac{1}{n} + \frac{1}{n+d_2^*-d_1-1} + \frac{1}{d_n} + \frac{(n-4)^2}{2e-d_1-1-a-d_n} \right). \end{aligned}$$

Hence we complete the proof of Theorem 1.

**Remark 1.** Recall that Das, Güngör, and Çevik in [6] proved that if  $G$  is a non-complete graph of order  $n$ , then

$$Kf(G) \geq 1 + \frac{n}{d_n} + \frac{n(n-3)^2}{2e-d_1-d_n-1} \tag{1}$$

with equality if and only if  $G$  is  $S_n$ ,  $K_n - e$ , or  $K_1 \vee S_{n-1}^c$ . Therefore if  $G$  is  $K_2 \vee K_{n-2}^c$ ,  $K_2 \vee S_{n-2}^c$ ,  $S_n + e$ , or  $K_1 \vee (K_1 \cup S_{n-2})$ , then

$$\begin{aligned} Kf(G) &= n \left( \frac{1}{n} + \frac{1}{n+d_2^*-d_1-1} + \frac{1}{d_n} + \frac{(n-4)^2}{2e-d_1-1-a-d_n} \right) \\ &> \left( 1 + \frac{n}{d_n} + \frac{n(n-3)^2}{2e-d_1-d_n-1} \right). \end{aligned}$$

On the other hand, if  $G$  is a cycle  $C_n$  with  $n \geq 5$  vertices and edges, then  $d_1 = d_2 = \dots = d_n$ ,  $e = n$ ,  $d_2^* = n$ , and  $2 \leq a \leq 3$ . Therefore

$$\begin{aligned} &\left( 1 + \frac{n}{d_n} + \frac{n(n-3)^2}{2e-d_1-d_n-1} \right) - n \left( \frac{1}{n} + \frac{1}{n+d_2^*-d_1-1} + \frac{1}{d_n} + \frac{(n-4)^2}{2e-d_1-1-a-d_n} \right) \\ &= n \left( \frac{(n-3)^2}{2n-5} - \frac{(n-4)^2}{2n-5-a} - \frac{1}{2n-3} \right) > n \left( \frac{(n-3)^2}{2n-5} - \frac{(n-4)^2}{2n-8} - \frac{1}{2n-5} \right) \\ &= \frac{n(n-4)^2}{(2n-5)(2n-8)} > 0. \end{aligned}$$

Hence the lower bound in Theorem 1 and the lower bound in (1) are not comparable.

Recall that Li and Pan in [15] proved that  $\lambda_2(G) \geq d_2(G)$  for a connected graph of order  $n \geq 3$  and Guo in [11] proved that  $\lambda_3(G) \geq d_3(G) - 1$  for a connected graph of order  $n \geq 4$ . By Theorem 6, we have that  $\lambda_3 = \lambda_1 + \lambda_2 + \lambda_3 - \lambda_1 - \lambda_2 \leq d_1^* + d_2^* + d_3^* - d_1 - 1 - d_2 = n + d_2^* + d_3^* - d_1 - d_2 - 1$ .

Using arguments similar to those in the proof of Theorem 1, we can obtain another lower bound for a connected graph of order  $n \geq 5$ .

**Theorem 10** Let  $G$  be a non-complete connected graph with  $n \geq 5$  vertices and  $e$  edges. Then

$$\begin{aligned} Kf(G) &\geq 1 + \frac{n}{d_n} + \frac{n}{n+d_2^*+d_3^*-d_1-d_2-1} \\ &\quad + \frac{n}{n+d_2^*-d_1-1} + \frac{n(n-5)^2}{2e-d_1-d_2-d_3-d_n}. \end{aligned}$$

The characterization of the extremal graphs for an equality in Theorem 10 is unknown.

**Remark 2.** If  $G$  is  $K_2 \vee K_{n-2}^c$  with  $n \geq 14$ , then  $d_1 = d_2 = (n-1)$ ,  $d_3 = d_4 = \dots = d_n = 2$ ,  $e = 2n-3$ ,  $d_2^* = n$ , and  $d_3^* = 2$ . Therefore

$$\begin{aligned} & \left( 1 + \frac{n}{d_n} + \frac{n}{n+d_2^*+d_3^*-d_1-d_2-1} + \frac{n}{n+d_2^*-d_1-1} + \frac{n(n-5)^2}{2e-d_1-d_2-d_3-d_n} \right) \\ & - \left( 1 + \frac{n}{d_n} + \frac{n(n-3)^2}{2e-d_1-d_n-1} \right) = n \left( \frac{1}{3} + \frac{1}{n} + \frac{(n-5)^2}{2n-8} - \frac{(n-3)^2}{3n-8} \right) \\ & > n \left( \frac{11}{3n-18} + \frac{2}{3n-18} + \frac{3(n-5)^2}{2(3n-18)} - \frac{(n-3)^2}{3n-8} \right) = \frac{n((n-9)^2+2)}{2(3n-18)} > 0. \end{aligned}$$

On the other hand, if  $G$  is a cycle  $C_n$  with  $n \geq 5$  vertices and edges, then  $d_1 = d_2 = \dots = d_n$ ,  $e = n$ ,  $d_2^* = n$ , and  $d_3^* = 0$ . Therefore

$$\begin{aligned} & \left( 1 + \frac{n}{d_n} + \frac{n}{n+d_2^*+d_3^*-d_1-d_2-1} + \frac{n}{n+d_2^*-d_1-1} + \frac{n(n-5)^2}{2e-d_1-d_2-d_3-d_n} \right) \\ & - \left( 1 + \frac{n}{d_n} + \frac{n(n-3)^2}{2e-d_1-d_n-1} \right) = n \left( \frac{1}{2n-3} + \frac{1}{2n-5} + \frac{(n-5)^2}{2n-8} - \frac{(n-3)^2}{2n-5} \right) \\ & < n \left( \frac{1}{2n-5} + \frac{1}{2n-5} + \frac{(n-5)^2}{2n-8} - \frac{(n-3)^2}{2n-5} \right) = -\frac{(n-3)(5n-23)}{(2n-5)(2n-8)} < 0. \end{aligned}$$

Hence the lower bound in Theorem 10 and the lower bound in (1) are not comparable.

## Appendix

**Case 1.**  $\lambda_1 = \lambda_2$ ,  $\lambda_2 = \lambda_3$ , and  $\lambda_{n-2} = \lambda_{n-1}$ .

This case is impossible since otherwise we have the contradiction  $d_1 + 1 = \lambda_1 = \lambda_2 = \dots = \lambda_{n-1} = d_n$ .

**Case 2.**  $\lambda_1 = \lambda_2$ ,  $\lambda_2 = \lambda_3$ , and  $\lambda_{n-2} > \lambda_{n-1}$ .

By Theorem 8 and the assumption that  $G \neq K_n$ , we have that  $G$  is  $K_n - e$  with  $n \geq 4$ .

**Case 3.**  $\lambda_1 = \lambda_2$ ,  $\lambda_2 > \lambda_3$ , and  $\lambda_{n-2} = \lambda_{n-1}$ .

From  $d_2 + 1 \geq a = \lambda_2 = \lambda_1 = d_1 + 1$ , we have that  $(a-1) = d_1 = d_2 = (n-1)$ . Thus  $d(x) = d(y) = (n-1)$ . Hence  $N(x) = V - \{x\}$  and  $N(y) = V - \{y\}$ . In particular,  $xy \in E$ .

Since  $G \neq K_n$  and  $\lambda_{n-1} = d_n$ , Theorem 4 implies that  $G$  is the same as the graph  $G[S] \vee (G_1 \cup G_2 \cup \dots \cup G_m)$ , where  $|S| = d_n$  and  $m \geq 2$ . In fact, from the proof of Theorem 1 in [14], we can see that  $S$  is a cutset of  $G$  and  $G_1, G_2, \dots, G_m$  are components of  $G[V-S]$ . Since  $d(x) = d(y) = (n-1)$ , both  $x$  and  $y$  are in  $S$ .

We claim that  $|S| = 2$ . Suppose, to the contrary, that  $|S| \geq 3$ . Since  $N(x) = V - \{x\}$  and  $N(y) = V - \{y\}$ ,  $G^c$  has at least four components. Notice that the eigenvalues of  $G$



are

$$(n, n, \lambda_3, \dots, \lambda_{n-1}, 0).$$

Thus the eigenvalues of  $G^c$  are

$$((n - \lambda_{n-1}), (n - \lambda_{n-2}), \dots, (n - \lambda_3), 0, 0, 0)$$

and  $G^c$  has three components, a contradiction. Hence  $|S| = 2$ .

Now we have that  $\lambda_3 = \dots = \lambda_{n-1} = d_n = |S| = 2$ . Therefore  $G^c - \{x, y\}$  has eigenvalues

$$((n - 2), (n - 2), \dots, (n - 2), 0).$$

By Theorem 8, we have that  $G^c - \{x, y\}$  is  $K_{n-2}$  or  $K_{n-2} - e$ . If  $n = 4$  and  $G^c - \{x, y\} = K_{n-2} - e$ , then  $G = K_4$ , a contradiction. If  $n \geq 5$ , since  $G^c - \{x, y\}$  and  $K_{n-2} - e$  have different sets of eigenvalues,  $G^c - \{x, y\} \neq K_{n-2} - e$ . Thus  $G^c - \{x, y\} = K_{n-2}$ . Hence  $G$  is  $K_2 \vee K_{n-2}^c$  with  $n \geq 4$ .

**Case 4.**  $\lambda_1 = \lambda_2$ ,  $\lambda_2 > \lambda_3$ , and  $\lambda_{n-2} > \lambda_{n-1}$ .

Using arguments similar to those in Case 3, we have that  $(a - 1) = d_1 = d(x) = d_2 = d(y) = (n - 1)$ ,  $N(x) = V - \{x\}$ ,  $N(y) = V - \{y\}$ , and  $xy \in E$ . Moreover,  $S = \{x, y\}$  is a cutset of  $G$  and  $G$  is the same as the graph  $G[S] \vee (G_1 \cup G_2 \cup \dots \cup G_m)$ , where  $|S| = d_n = \lambda_{n-1} = 2$  and  $m \geq 2$ .

Therefore  $G^c - \{x, y\}$  has eigenvalues

$$((n - \lambda_{n-1}), (n - \lambda_{n-2}), \dots, (n - \lambda_3), 0).$$

By Theorem 7, we have that  $G^c - \{x, y\}$  is  $K_{n-2}$ ,  $S_{n-2}$ , or  $K_{\frac{n-2}{2}, \frac{n-2}{2}}$ . Since  $(n - \lambda_{n-1}) > (n - \lambda_{n-2})$ ,  $G^c - \{x, y\}$  cannot be  $K_{n-2}$  if  $n \geq 5$ . If  $G^c - \{x, y\}$  is  $K_{\frac{n-2}{2}, \frac{n-2}{2}}$ , the minimum degree of  $G$  is  $\frac{n}{2}$ , which is not equal to  $d_n = 2$  when  $n \geq 5$ . Thus  $G^c - \{x, y\}$  cannot be  $K_{\frac{n-2}{2}, \frac{n-2}{2}}$  if  $n \geq 5$ . Notice that  $K_{n-2}$  and  $K_{\frac{n-2}{2}, \frac{n-2}{2}}$  are  $K_2$  when  $n = 4$ . Therefore  $G^c - \{x, y\} = K_2$  or  $S_{n-2}$ . Notice again that  $K_2 \vee K_2^c = K_2 \vee S_{n-2}^c$  when  $n = 4$ . Hence  $G$  is  $K_2 \vee S_{n-2}^c$  with  $n \geq 4$ .

**Case 5.**  $\lambda_1 > \lambda_2$ ,  $\lambda_2 = \lambda_3$ , and  $\lambda_{n-2} = \lambda_{n-1}$ .

By Theorem 7 and the assumptions that  $G \neq K_n$  and  $G \neq S_n$ , we have that  $G$  is  $K_{d_1, d_1}$ . Since  $d_1 = (n - 1)$ ,  $G$  must be  $K_2$ , contradicting the assumption that  $n \geq 4$ . Hence this case is impossible.

**Case 6.**  $\lambda_1 > \lambda_2$ ,  $\lambda_2 = \lambda_3$ , and  $\lambda_{n-2} > \lambda_{n-1}$ .

From  $d_1 = (n - 1)$ , we have that  $d(x) = (n - 1)$  and  $N(x) = V - \{x\}$ . Since  $G$  is not  $K_n$  and  $\lambda_{n-1} = d_n$ , Theorem 4 implies that  $G$  is the same as the graph  $G[S] \vee (G_1 \cup G_2 \cup \dots \cup G_m)$ , where  $|S| = d_n$  and  $m \geq 2$ . Clearly,  $x$  is in  $S$ .

We claim that  $|S| = 1$ . Suppose, to the contrary, that  $|S| \geq 2$ . Since  $N(x) = V - \{x\}$ ,  $G^c$  has at least three components. Notice that the eigenvalues of  $G$  are

$$(n, \lambda_2, \lambda_3, \dots, \lambda_{n-1}, 0).$$

Thus the eigenvalues of  $G^c$  are

$$((n - \lambda_{n-1}), (n - \lambda_{n-2}), \dots, (n - \lambda_2), 0, 0)$$

and therefore  $G^c$  has two components, a contradiction. Hence  $|S| = 1$ .

Now we have that  $\lambda_{n-1} = d_n = |S| = 1$ . Therefore  $G^c - \{x\}$  has eigenvalues

$$((n - 1), (n - \lambda_{n-2}), \dots, (n - \lambda_2), 0).$$

By Theorem 7, we have that  $G^c - \{x\}$  is  $K_{n-1}$ ,  $S_{n-1}$ , or  $K_{\frac{n-1}{2}, \frac{n-1}{2}}$ . Since  $(n - 1) = (n - \lambda_{n-1}) > (n - \lambda_{n-2})$ ,  $G^c - \{x\}$  cannot be  $K_{n-1}$ . If  $G^c - \{x\}$  is  $K_{\frac{n-1}{2}, \frac{n-1}{2}}$ , the minimum degree of  $G$  is  $\frac{n-1}{2}$ , which is not equal to  $d_n = 1$  when  $n \geq 4$ . Thus  $G^c - \{x\}$  cannot be  $K_{\frac{n-1}{2}, \frac{n-1}{2}}$ . Therefore  $G^c - \{x\} = S_{n-1}$ . Hence  $G$  is  $K_1 \vee S_{n-1}^c$  with  $n \geq 4$ .

**Case 7.**  $\lambda_1 > \lambda_2$ ,  $\lambda_2 > \lambda_3$ , and  $\lambda_{n-2} = \lambda_{n-1}$ .

Again, since  $d_1 = (n - 1)$ , we have that  $d(x) = (n - 1)$  and  $N(x) = V - \{x\}$ . Since  $G$  is not  $K_n$  and  $\lambda_{n-1} = d_n$ , Theorem 4 implies that  $G$  is the same as the graph  $G[S] \vee (G_1 \cup G_2 \cup \dots \cup G_m)$ , where  $|S| = d_n$  and  $m \geq 2$ . Clearly,  $x$  is in  $S$ .

We claim again that  $|S| = 1$ . Suppose, to the contrary, that  $|S| \geq 2$ . Since  $N(x) = V - \{x\}$ ,  $G^c$  has at least three components. Since the eigenvalues of  $G$  are

$$(n, \lambda_2, \lambda_3, \dots, \lambda_{n-1}, 0),$$

the eigenvalues of  $G^c$  are

$$((n - d_n), (n - d_n), \dots, (n - d_n), (n - \lambda_2), 0, 0).$$

Since  $n - \lambda_2 = \lambda_1 - \lambda_2 > 0$ ,  $G^c$  has two components, a contradiction. Hence  $|S| = 1$ .

Now we have that  $\lambda_{n-1} = d_n = |S| = 1$ . Therefore  $G^c$  has eigenvalues

$$((n - 1), (n - 1), \dots, (n - 1), (n - \lambda_2), 0, 0),$$

and  $G^c - \{x\}$  has eigenvalues

$$((n - 1), (n - 1), \dots, (n - 1), (n - \lambda_2), 0).$$

By Theorem 8, we have that  $G^c - \{x\}$  is  $K_{n-1}$  or  $K_{n-1} - e$ . Since  $(n - 1) = (n - d_n) > (n - \lambda_2)$ ,  $G^c - \{x\}$  cannot be  $K_{n-1}$ . Therefore  $G^c - \{x\} = K_{n-1} - e$ . Hence  $G$  is  $S_n + e$  with  $n \geq 4$ .

**Case 8.**  $\lambda_1 > \lambda_2$ ,  $\lambda_2 > \lambda_3$ , and  $\lambda_{n-2} > \lambda_{n-1}$ .

Using arguments similar to those in Case 7, we have that  $d(x) = (n - 1)$ ,  $N(x) = V - \{x\}$ ,  $G$  is the same as the graph  $G[S] \vee (G_1 \cup G_2 \cup \dots \cup G_m)$  with  $|S| = d_n = \lambda_{n-1} = 1$  and  $m \geq 2$ , and  $x$  is in  $S$ .

Since  $d_n = 1$ ,  $v_n$  is only adjacent to  $x$  in  $G$  and  $v_n$  is adjacent to each vertex of  $V - \{x\}$  in  $G^c$ . Since the eigenvalues of  $G$  are

$$(n, \lambda_2, \dots, \lambda_{n-2}, \lambda_{n-1}, 0),$$

the eigenvalues of  $G^c$  are

$$((n - 1), (n - \lambda_{n-2}), \dots, (n - \lambda_3), (n - \lambda_2), 0, 0).$$

This implies that the eigenvalues of  $G^c - \{x\}$  are

$$((n - 1), (n - \lambda_{n-2}), \dots, (n - \lambda_3), (n - \lambda_2), 0).$$

Therefore the eigenvalues of  $(G^c - \{x\})^c$  are

$$((n - 1) - (n - \lambda_2), (n - 1) - (n - \lambda_3), \dots, (n - 1) - (n - \lambda_{n-2}), 0, 0),$$

which further implies that the eigenvalues of  $(G^c - \{x\})^c - \{v_n\}$ , i.e.,  $G - \{x, v_n\}$ , are

$$((\lambda_2 - 1), (\lambda_3 - 1), \dots, (\lambda_{n-2} - 1), 0).$$

Since  $(\lambda_3 - 1) = \dots = (\lambda_{n-2} - 1)$ , we, by Theorem 7, have that  $(G^c - \{x\})^c - \{v_n\} = G - \{x, v_n\}$  is  $K_{n-2}$ ,  $S_{n-2}$ , or  $K_{\frac{n-2}{2}, \frac{n-2}{2}}$ . Since  $\lambda_2 - 1 > \lambda_3 - 1$ ,  $G - \{x, v_n\}$  cannot be  $K_{n-2}$  when  $n \geq 5$ . If  $G - \{x, v_n\}$  is  $K_{\frac{n-2}{2}, \frac{n-2}{2}}$ , then  $\lambda_2 = d_2^* = (n - 1) \neq \frac{n}{2} + 1 = a$  when  $n \geq 5$ . Thus  $G - \{x, v_n\}$  cannot be  $K_{\frac{n-2}{2}, \frac{n-2}{2}}$  when  $n \geq 5$ . Notice that  $K_{n-2}$  and  $K_{\frac{n-2}{2}, \frac{n-2}{2}}$  are  $K_2$  when  $n = 4$ . Therefore  $G - \{x, v_n\} = K_2$  or  $S_{n-2}$ . Notice again that  $K_1 \vee (K_1 \cup K_2) = K_1 \vee (K_1 \cup S_{n-2})$  when  $n = 4$ . Hence  $G$  is  $K_1 \vee (K_1 \cup S_{n-2})$  with  $n \geq 4$ .

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