

# The extremal Kirchhoff index of a class of unicyclic graphs<sup>1</sup>

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(Received July 10, 2008)

## Abstract

The Kirchhoff index  $Kf(G)$  of a graph  $G$  is the sum of resistance distances between all pairs of vertices. A fully loaded unicyclic graph is a unicyclic graph with the property that there is no vertex with degree less than 3 in its unique cycle. In this paper, we determine the minimal and maximal Kirchhoff indices among all fully loaded unicyclic graphs with  $n$  vertices, and characterize the extremal graphs.

## 1 Introduction

In 1993, Klein and Randić [1] defined resistance distance on the basis of electrical network theory. They viewed a connected graph  $G$  as an electrical

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<sup>1</sup>Project 10471037 supported by National Natural Science Foundation of China.

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network  $N$  by replacing each edge of  $G$  with a unit resistor. Let  $v_1, v_2, \dots, v_n$  be labeled vertices of  $G$ , the resistance distance between  $v_i$  and  $v_j$ , denoted by  $r_G(v_i, v_j)$ , is defined to be the effective resistance between them in  $N$ . The conventional distance between vertices  $v_i$  and  $v_j$ , denoted by  $d(v_i, v_j)$ , is the length of a shortest path between them. H. Wiener in [2] defined a famous Wiener index  $W(G) = \sum_{i < j} d(v_i, v_j)$ . Analogue to Wiener index, the Kirchhoff index  $Kf(G)$  is defined in [3]:  $Kf(G) = \sum_{i < j} r_G(v_i, v_j)$ .

As a useful structure-descriptor, Kirchhoff index is well studied in [4]. Much work has been done to compute Kirchhoff index of some classes of graphs, such as complete graphs [5], cycles [5,6], platonic solids [5,7], distance transitive graphs [11], circulant graphs [12], linear hexagonal chains [13], unicyclic graphs [14,15], and so on [8,9,10,16,17].

A fully loaded unicyclic graph is a unicyclic graph with the property that there is no vertex with degree less than 3 in its unique cycle.

For convenience, we represent a unicyclic graph  $G$  with the unique cycle  $C_l = v_1 v_2 \dots v_l v_1$  as  $G = U(C_l; T_1, T_2, \dots, T_l)$ , where  $T_i$  is the components of  $G - E(C_l)$  containing  $v_i$ ,  $1 \leq i \leq l$ . Obviously,  $T_i$  is a tree rooted at  $v_i$ , see Figure 1(a). We say  $T_i$  trivial if it is an isolated vertex. If  $G = U(C_l; T_1, T_2, \dots, T_l)$  is a fully loaded unicyclic graph, then  $T_1, T_2, \dots, T_l$  are all nontrivial.

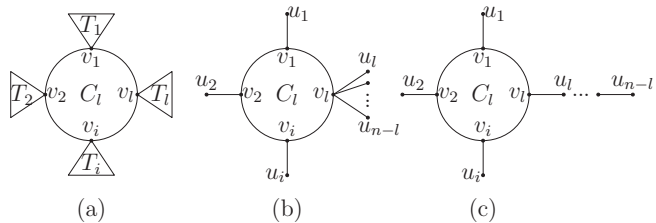


Figure 1. (a)  $U(C_l; T_1, T_2, \dots, T_l)$ ; (b)  $U(C_l; K_2, \dots, K_2, S_{n-2(l-1)})$ ; (c)  $U(C_l; K_2, \dots, K_2, P_{n-2(l-1)})$ .

Let  $\mathcal{U}(n, l)$  ( $n \geq 2l$ ) be the set of all fully loaded unicyclic graphs with  $n$

vertices and the unique cycle  $C_l$ ,  $S_n$  and  $P_n$  be the star and the path on  $n$  vertices, respectively.

In this paper, we will show that  $U(C_l; K_2, \dots, K_2, S_{n-2(l-1)})$  and  $U(C_l; K_2, \dots, K_2, P_{n-2(l-1)})$  have minimal and maximal Kirchhoff index, respectively, among  $\mathcal{U}(n, l)$ , where  $U(C_l; K_2, \dots, K_2, S_{n-2(l-1)})$  and  $U(C_l; K_2, \dots, K_2, P_{n-2(l-1)})$  are the fully loaded unicyclic graphs shown in Figure 1(b)(c).

## 2 The extremal Kirchhoff indices among $\mathcal{U}(n, l)$

**Lemma 2.1**([1]). Let  $x$  be a cut-vertex of a graph  $G$ , and let  $a$  and  $b$  be vertices in different components of  $G - x$ . Then

$$r(a, b) = r(a, x) + r(x, b).$$

For a tree, the Kirchhoff index coincides with the Wiener index. It was shown that  $P_n$  and  $S_n$  have the maximal and minimal Wiener index among all trees with  $n$  vertices, respectively. So, we have

**Lemma 2.2**([18]). Let  $T$  be a  $n$ -vertex tree different from  $P_n$  and  $S_n$ . Then

$$Kf(S_n) < Kf(T) < Kf(P_n).$$

**Lemma 2.3**([15]). Let  $G_1$  and  $G_2$  be two connected graphs with exactly one common vertex  $x$ , and  $G = G_1 \cup G_2$ . Then

$$Kf(G) = Kf(G_1) + Kf(G_2) + (|V(G_1)| - 1)Kf_x(G_2) + (|V(G_2)| - 1)Kf_x(G_1)$$

where  $Kf_x(G_i) = \sum_{y \in V(G_i)} r_{G_i}(x, y)$  is the sum of resistance distances between  $x$  and other vertices of  $G_i$ ,  $i = 1, 2$ .

**Lemma 2.4**([15]). If  $G_1, G_2$  and  $G_3$  are obtained from a connected graph  $G$  by attaching  $S_l, T_t$  and  $P_t$  to the vertex  $x$  of  $G$ , respectively, as shown in

Figure 2, where the star  $S_t$ ,  $T_t$  and the path  $P_t$  are different trees rooted at  $x$  with  $t$  vertices. Then

$$Kf(G_1) < Kf(G_2) < Kf(G_3).$$

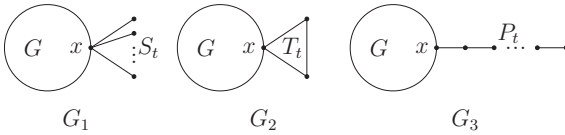


Figure 2.

**Lemma 2.5**([15]). (i) Let  $G$  be a connected graph with two pendent paths  $P_1 = u_1u_2 \cdots u_r$  and  $P_2 = v_1v_2 \cdots v_t$ , and  $r \geq 2$ ,  $t \geq 2$ , depicted in Figure 3(i). If  $Kf_{v_t}(G) \geq Kf_{u_r}(G)$ , then

$$Kf(G') > Kf(G)$$

where  $G' = G - u_ru_{r-1} + v_tv_t$ .

(ii) Let  $G$  be a connected graph with two nontrivial stars  $S_1$  and  $S_2$  attached at their centers  $x$  and  $y$ , and  $x_1$  and  $y_1$  are leaves of  $S_1$  and  $S_2$ , respectively, depicted in Figure 3(ii). If  $Kf_{x_1}(G) \leq Kf_{y_1}(G)$ , then

$$Kf(G') < Kf(G)$$

where  $G' = G - yy_1 + xy_1$ .

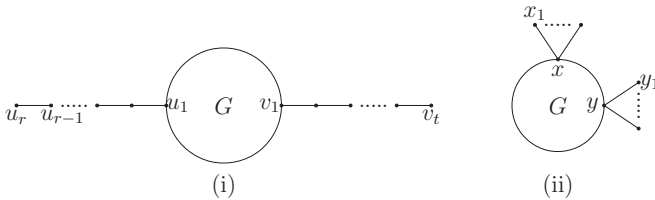


Figure 3.

**Theorem 2.6.** Let  $G \in \mathcal{U}(n, l)$ . If  $G$  is different from  $U(C_l; K_2, \dots, K_2, S_{n-2(l-1)})$  and  $U(C_l; K_2, \dots, K_2, P_{n-2(l-1)})$ , then

$$Kf(U(C_l; K_2, \dots, K_2, S_{n-2(l-1)})) < Kf(G) < Kf(U(C_l; K_2, \dots, K_2, P_{n-2(l-1)})).$$

**Proof.** Suppose that  $G = U(C_l; T_1, T_2, \dots, T_l)$  has minimal Kirchhoff index among  $\mathcal{U}(n, l)$ .

For each  $i$ ,  $T_i$  is a star with  $v_i$  as its central vertex by Lemma 2.4.

If  $n > 2l+1$ , then all but one of the  $T_i$  are  $K_2$ . Otherwise, we suppose that there are two trees  $T_i$  and  $T_j$  such that they both have more than two vertices. By Lemma 2.4,  $T_i$  and  $T_j$  are both stars. Let  $x_1$  and  $y_1$  be leaves of  $T_i$  and  $T_j$ , respectively. Without loss of generality, assume that  $Kf_{x_1}(G) \leq Kf_{y_1}(G)$ , then  $Kf(G') < Kf(G)$  by Lemma 2.5, where  $G' = G - v_j y_1 + v_i y_1$ . This contradicts the choice of  $G$ .

So,  $U(C_l; K_2, \dots, K_2, S_{n-2(l-1)})$  is the unique graph with the minimal Kirchhoff index among  $\mathcal{U}(n, l)$ .

Similarly,  $U(C_l; K_2, \dots, K_2, P_{n-2(l-1)})$  is the unique graph with the maximal Kirchhoff index among  $\mathcal{U}(n, l)$ .

In the following, we compute the Kirchhoff indices of  $U(C_l; K_2, \dots, K_2, S_{n-2(l-1)})$  and  $U(C_l; K_2, \dots, K_2, P_{n-2(l-1)})$ .

By the definition of Kirchhoff index and Figure 1(b), we have

$$\begin{aligned} & Kf(U(C_l; K_2, \dots, K_2, S_{n-2(l-1)})) \\ &= \sum_{1 \leq i < j \leq n-l} r(u_i, u_j) + \sum_{1 \leq i < j \leq l} r(v_i, v_j) + \sum_{i=1}^l \sum_{j=1}^{n-l} r(v_i, u_j) \end{aligned}$$

$$\text{and } Kf(C_l) = \sum_{1 \leq i < j \leq l} r(v_i, v_j)$$

$$\begin{aligned} & \sum_{1 \leq i < j \leq n-l} r(u_i, u_j) \\ &= \sum_{1 \leq i < j \leq l} r(v_i, v_j) + (n-2l) \sum_{i=1}^{l-1} r(v_i, v_l) \\ & \quad + 2((n-l-1) + (n-l-2) + \dots + 2 + 1)) \end{aligned}$$

$$= Kf(C_l) + (n-2l)Kf_{v_l}(C_l) + (n-l)(n-l-1)$$

$$\begin{aligned}
 & \sum_{i=1}^l \sum_{j=1}^{n-l} r(v_i, u_j) \\
 = & \sum_{i=1}^l \sum_{j=1}^l r(v_i, v_j) + (n-2l) \sum_{i=1}^l r(v_i, v_l) + l(n-l) \\
 = & 2Kf(C_l) + (n-2l)Kf_{v_l}(C_l) + l(n-l) \\
 \text{Note that } Kf(C_l) = & \frac{l^3-l}{12} \text{ and } Kf_{v_l}(C_l) = \frac{l^2-1}{6}. \\
 & Kf(U(C_l; K_2, \dots, K_2, S_{n-2(l-1)})) \\
 = & 4Kf(C_l) + 2(n-2l)Kf_{v_l}(C_l) + (n-l)(n-l-1) + l(n-l) \\
 = & \frac{1}{3}(l^3-l) + \frac{1}{3}(n-2l)(l^2-1) + (n-1)(n-l) \\
 = & -\frac{1}{3}l^3 + \frac{1}{3}nl^2 + (\frac{4}{3}-n)l + n^2 - \frac{4}{3}n \tag{1}
 \end{aligned}$$

$$\begin{aligned}
 & Kf(U(C_l; K_2, \dots, K_2, P_{n-2(l-1)})) \\
 = & \sum_{1 \leq i < j \leq n-l} r(u_i, u_j) + \sum_{1 \leq i < j \leq l} r(v_i, v_j) + \sum_{i=1}^l \sum_{j=1}^{n-l} r(v_i, u_j) \\
 \text{and} \\
 & \sum_{1 \leq i < j \leq n-l} r(u_i, u_j) \\
 = & \sum_{1 \leq i < j \leq l} r(v_i, v_j) + (n-2l) \sum_{i=1}^{l-1} r(v_i, v_l) \\
 & + l(l-1) + \frac{1}{2}(l-1)(n-2l)(n-2l+5) + \sum_{l \leq i < j \leq n-l} r(u_i, u_j) \\
 = & Kf(C_l) + (n-2l)Kf_{v_l}(C_l) + l(l-1) \\
 & + \frac{1}{2}(l-1)(n-2l)(n-2l+5) + Kf(P_{n-2l+1}) \\
 & \sum_{i=1}^l \sum_{j=1}^{n-l} r(v_i, u_j) \\
 = & \sum_{i=1}^l \sum_{j=1}^l r(v_i, v_j) + (n-2l) \sum_{i=1}^l r(v_i, v_l) + l(l + \frac{1}{2}(n-2l)(n-2l+3)) \\
 = & 2Kf(C_l) + (n-2l)Kf_{v_l}(C_l) + l^2 + \frac{1}{2}l(n-2l)(n-2l+3) \\
 \text{Note that } Kf(P_{n-2l+1}) = & \frac{1}{6}((n-2l+1)^3 - (n-2l+1)),
 \end{aligned}$$

$$\begin{aligned}
 & Kf(U(C_i; K_2, \dots, K_2, P_{n-2(l-1)})) \\
 = & 4Kf(C_i) + 2(n-2l)Kf_{v_i}(C_i) + Kf(P_{n-2l+1}) + 2l^2 - l \\
 & + \frac{1}{2}(l-1)(n-2l)(n-2l+5) + \frac{1}{2}l(n-2l)(n-2l+3) \\
 = & \frac{7}{3}l^3 - (\frac{5}{3}n+6)l^2 + (4n+\frac{11}{3})l + \frac{1}{6}n^3 - \frac{5}{2}n \quad (2)
 \end{aligned}$$

Combining the two equations (1) and (2) with Theorems 2.6, we have

**Theorem 2.7.** Let  $G \in \mathcal{U}(n, l)$ . If  $G$  is different from  $U(C_i; K_2, \dots, K_2, S_{n-2(l-1)})$  and  $U(C_i; K_2, \dots, K_2, P_{n-2(l-1)})$ , then

$$-\frac{1}{3}l^3 + \frac{1}{3}nl^2 + (\frac{4}{3} - n)l + n^2 - \frac{4}{3}n < Kf(G) < \frac{7}{3}l^3 - (\frac{5}{3}n+6)l^2 + (4n + \frac{11}{3})l + \frac{1}{6}n^3 - \frac{5}{2}n.$$

### 3 The extremal Kirchhoff indices among all loaded unicyclic graphs

In this section, we will determine the smallest and largest Kirchhoff indices among all loaded unicyclic graphs with  $n \geq 6$  vertices and characterize the extremal graphs. By Theorem 2.7, we only need to find  $Kf_{min} = \min\{f(l) | 3 \leq l \leq [\frac{n}{2}]\}$  and  $Kf_{max} = \max\{g(l) | 3 \leq l \leq [\frac{n}{2}]\}$ , where  $f(l) = -\frac{1}{3}l^3 + \frac{1}{3}nl^2 + (\frac{4}{3} - n)l + n^2 - \frac{4}{3}n$  and  $g(l) = \frac{7}{3}l^3 - (\frac{5}{3}n+6)l^2 + (4n+\frac{11}{3})l + \frac{1}{6}n^3 - \frac{5}{2}n$ .

Firstly, the derivative of  $f(l)$  is

$$f'(l) = -l^2 + \frac{2}{3}nl - n + \frac{4}{3}.$$

**Case 1.** If  $6 \leq n \leq 7$ , then  $[\frac{n}{2}] = 3$  and  $l = 3$ .  $Kf_{min} = f(3) = Kf(G(C_3; K_2, K_2, S_{n-4}))$ .

**Case 2.** If  $n \geq 11$ , then  $f'(l) > 0$  for  $3 \leq l \leq [\frac{n}{2}]$  since  $l_1 < 3$  and  $l_2 > [\frac{n}{2}]$ , where  $l_1 < l_2$  are the real roots of  $f'(l) = 0$ . So,  $Kf_{min} = f(3) = Kf(G(C_3; K_2, K_2, S_{n-4}))$ .

**Case 3.** If  $8 \leq n \leq 10$ , computing indirectly,

- (i)  $f(3) = \frac{145}{3} > f(4) = 48$  for  $n = 8$ ;
- (ii)  $f(3) = 64 < f(4) = 65$  for  $n = 9$ ;
- (iii)  $f(3) = \frac{245}{3} < f(4) = 84 < f(5) = 85$  for  $n = 10$ .

$$Kf_{min} = \begin{cases} f(4), & n = 8; \\ f(3), & n = 9, 10. \end{cases}$$

So, we have

**Theorem 3.1.** Let  $G$  be a loaded unicyclic graph with  $n \geq 6$  vertices.

Then

$$Kf(G) \geq \begin{cases} n^2 - 16 = 48, & n = 8; \\ n^2 - \frac{4}{3}n - 5, & n \neq 8 \end{cases}$$

with equation if and only if  $G = U(C_4; K_2, K_2, K_2, K_2)$  for  $n = 8$  and  $G = U(C_3; K_2, K_2, S_{n-4})$  for  $n \neq 8$ .

Secondly, the derivative of  $g(l)$  is

$$g'(l) = 7l^2 - \left(\frac{10}{3}n + 12\right)l + 4n + \frac{11}{3}.$$

The two real roots of  $g'(l) = 0$  are

$$l_1 = \frac{(10n + 36) - \sqrt{100n^2 - 288n + 372}}{42}$$

$$l_2 = \frac{(10n + 36) + \sqrt{100n^2 - 288n + 372}}{42}.$$

It is obvious that  $l_1 < 3$  and  $l_2 > 3$  for  $n \geq 6$ .

**Case 1.** If  $l_2 \geq [\frac{n}{2}]$ , then  $g'(l) < 0$  for  $3 \leq l \leq [\frac{n}{2}]$ , and  $Kf_{max} = g(3)$ .

**Case 2.** If  $l_2 < [\frac{n}{2}]$ , then  $g'(l) < 0$  for  $3 \leq l < l_2$  and  $g'(l) > 0$  for  $l_2 < l \leq [\frac{n}{2}]$ , and  $Kf_{max} = \max\{g(3), g([\frac{n}{2}])\}$ .

Now, we compare  $g(3)$  with  $g([\frac{n}{2}])$ . Let  $h(n) = g(3) - g([\frac{n}{2}])$ .

If  $n$  is even, then  $h(n) = g(3) - g(\frac{n}{2}) = \frac{1}{8}n^3 - \frac{1}{2}n^2 - \frac{29}{6}n + 20$ .  $h(n) \geq h(6) = 0$  since  $h'(n) = \frac{3}{8}(n - \frac{4}{3})^2 - \frac{11}{2} > 0$  for  $n \geq 6$ . So,  $g(3) \geq g(\frac{n}{2})$  and  $Kf_{max} = g(3)$  with equation if and only if  $n = 6$  (i.e.,  $l = 3$ ).

If  $n$  is odd, then  $h(n) = g(3) - g(\frac{n-1}{2}) = \frac{1}{8}n^3 - \frac{11}{24}n^2 - \frac{151}{24}n + \frac{189}{8}$ .  $h(n) \geq h(7) = 0$  since  $h'(n) = \frac{3}{8}(n - \frac{11}{9})^2 - \frac{185}{27} > 0$  for  $n \geq 7$ . So,  $g(3) \geq g(\frac{n-1}{2})$ , and  $Kf_{max} = g(3)$  with equation if and only if  $n = 7$  (i.e.,  $l = 3$ ).

So, we have



**Theorem 3.2.** Let  $G$  be a loaded unicyclic graph with  $n \geq 6$  vertices. Then

$$Kf(G) \leq \frac{1}{6}n^3 - \frac{11}{2}n + 20.$$

with equation if and only if  $G = U(C_3; K_2, K_2, P_{n-4})$ .

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