

# Trees with extremal Wiener indices <sup>\*</sup>

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## Abstract

The Wiener index of a connected graph is the sum of distances for all pairs of vertices. In this paper, we consider the trees with order  $n$ , diameter  $d$  or maximum degree  $\Delta$ , and extremal Wiener indices. We obtain the tree with minimum Wiener index among all the trees of order  $n$  and with diameter  $d$ , and the trees with minimum and maximum Wiener indices among all the caterpillar trees of order  $n$  and with diameter  $d$ . We also obtain the tree with maximum Wiener index among all the trees of order  $n$  and with maximum degree  $\Delta$ , and the trees with the second and the third maximum Wiener indices among all the trees of order  $n$ , whose vertices are of degree 1 or  $\Delta$ .

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## 1 Introduction

The molecular-graph-based quantity  $W$ , introduced by Harold Wiener [1] in 1947, is nowadays known as the name *Wiener index* or *Wiener number*. For a connected graph  $G$ , let  $V(G)$  denote the set of vertices and  $E(G)$  the set of edges. Then the Wiener index of  $G$ , denoted by  $W(G)$ , is defined by

$$W(G) = \sum_{\{u,v\} \subseteq V(G)} d(u,v|G) \quad (1)$$

where  $d(u,v|G)$  is the distance between vertices of  $u$  and  $v$  in  $G$ , and the summation goes over all pairs of vertices in  $V(G)$ .

Let  $T$  be a tree and  $e = uv$  an edge of  $T$ . Denote by  $n_u(e|T)$  (resp.  $n_v(e|T)$ ) the number of vertices of  $T$  lying on one side of the edge  $e$ , closer to vertex  $u$  (resp.  $v$ ). Then the Wiener index of  $T$  also satisfies the following relation [1]:

$$W(T) = \sum_{e=uv} n_u(e|T) \cdot n_v(e|T) \quad (2)$$

in which the summation goes over all edges of  $T$ .

There is a lot of mathematical and chemical literature on the Wiener index, especially on the Wiener index of trees. A survey of known results and open problems was given by Dobrynin et al. [2]. It is of great interest to identify the graphs with extremal Wiener indices for both chemical applications and mathematics, and many results have been obtained [3–11]. One of the most well known results is that [3,4] among all the trees of order  $n$ , the Wiener index is maximized by the path  $P_n$  and minimized by the star  $S_n$ .

A maximal subtree of a tree  $T$  containing a vertex  $v$  as an end vertex will be called a *branch* of  $T$  at  $v$ . A vertex of a tree  $T$ , having degree 3 or greater, is called a *branching point* of  $T$ . A tree  $T$  is said to be a *starlike tree* if exactly one of its vertices has degree greater than two, viz.  $T$  has exactly one branching point.

A *rooted tree*  $T$  has one of its vertices, called the *root*, distinguished from the others.

The *dumbbell*  $D(n, a, b)$  consists of the path  $P_{n-a-b}$  together with  $a$  independent vertices adjacent to one pendent vertex of  $P_{n-a-b}$  and  $b$  independent vertices to the other pendent vertex.

A *caterpillar tree* is a tree, the deletion of whose pendent vertices produces a path. Let  $C_\Delta(n)$  denote the caterpillar tree of order  $n$ , whose vertices are of degree 1 or  $\Delta$ .

A *dendrimer* of degree  $\Delta$  on  $n$  vertices,  $D_{n,\Delta}$ , is a tree with maximum degree  $\Delta$  defined inductively as follows. The tree  $D_{1,\Delta}$  consists of a single vertex labelled 1. The

tree  $D_{n,\Delta}$  has vertex set  $\{1, 2, \dots, n\}$  and is obtained by attaching a leaf  $n$  to the smallest numbered vertex of  $D_{n-1,\Delta}$ , which has degree  $< \Delta$ .

The diameter of a graph is an important graph-theoretical parameter. Let  $\mathcal{G}(n, d)$  denote the set of all the connected graphs of order  $n$  and with diameter  $d$ . Plesnik [11] obtained the graphs (may not be unique) with minimum Wiener index in  $\mathcal{G}(n, d)$  ( $d \leq n - 1$ ). When  $d < n - 1$ , they are cycle-containing graphs. Wagner [14] obtained the trees with maximum Wiener index among all the trees with  $n$  edges and diameter  $\leq 4$ .

Let  $\mathcal{T}(n, \Delta)$  denote the set of all the trees of order  $n$  and with maximum degree  $\Delta$ , and  $\mathcal{T}_{1,\Delta}(n)$  the set of all the trees of order  $n$ , whose vertices are of degree 1 or  $\Delta$ . Liu et al. [5] showed that the dendrimer  $D_{n,\Delta}$  is the unique tree with minimum Wiener index in  $\mathcal{T}(n, \Delta)$ . Later, by using different approaches, Fishermann et al. [6] and Jelen et al. [7] independently characterized the tree with minimum Wiener index among all the trees of order  $n$  and with maximum degree  $\leq \Delta$ . In fact, it is also the dendrimer  $D_{n,\Delta}$ . Fishermann et al. [6] also showed that the tree  $C_\Delta(n)$  is the unique tree with maximum Wiener index in  $\mathcal{T}_{1,\Delta}(n)$ .

Let  $\mathcal{T}^*(n, d)$  (resp.  $\mathcal{C}^*(n, d)$ ) denote the set of all the trees (resp. caterpillar trees) of order  $n$  and with diameter  $d$ . Clearly,  $\mathcal{C}^*(n, d) \subseteq \mathcal{T}^*(n, d)$ .

In this paper, by using some tree transformations which strictly increase or decrease the Wiener index of trees, we obtain the tree with minimum Wiener index in  $\mathcal{T}^*(n, d)$  ( $2 \leq d \leq n - 1$ ), the trees with minimum and maximum Wiener indices in  $\mathcal{C}^*(n, d)$ , and additionally the tree with minimum Wiener index among all the trees of order  $n$  and with diameter  $\geq d$ . And we also obtain the tree with maximum Wiener index in  $\mathcal{T}(n, \Delta)$ , and the trees with the second and the third maximum Wiener indices in  $\mathcal{T}_{1,\Delta}(n)$ .

The structures of the trees with maximum Wiener indices in  $\mathcal{T}^*(n, d)$  might be quite different according to different values of  $n$  and  $d$ , and so we can't characterize them in a general way. However, for some special values of  $d$ ,  $2 \leq d \leq 4$  or  $n - 3 \leq d \leq n - 1$ , the trees with maximum Wiener indices in  $\mathcal{T}^*(n, d)$  are also determined in this paper.

## 2 Wiener index versus diameter in trees

In this section, we will characterize the trees with extremal Wiener indices in  $\mathcal{T}^*(n, d)$  and in  $\mathcal{C}^*(n, d)$ . In addition, we also obtain the trees with extremal Wiener indices among all the trees of order  $n$  and with diameter at least  $d$ .

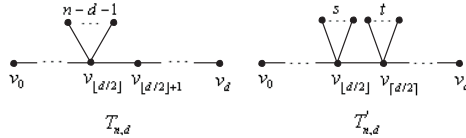


Figure 1: The trees  $T_{n,d}$  and  $T'_{n,d}$ .

Let  $n$  and  $d$  be two integers satisfying  $n > d \geq 2$ . Let  $T_{n,d}$  be the tree consisting of a path  $P = v_0v_1 \dots v_d$  together with  $n - d - 1$  independent vertices all adjacent to  $v_{[d/2]}$ . When  $d$  is odd, let  $T'_{n,d}$  be the tree consisting of a path  $P = v_0v_1 \dots v_d$  together with  $s$  independent vertices adjacent to  $v_{[d/2]}$  and  $t$  independent vertices adjacent to  $v_{[d/2]}$ , where  $s \geq 0$ ,  $t \geq 0$  and  $s + t = n - d - 1$  (see figure 1). When  $d$  is odd,  $[d/2] + 1 = \lceil d/2 \rceil$ . So if  $s = 0$  or  $t = 0$ , then  $T_{n,d} \cong T'_{n,d}$  ( $d$  is odd).

The following theorem presents the tree with minimum Wiener index in  $\mathcal{T}^*(n, d)$ .

**Theorem 2.1** If  $T$  is a tree in  $\mathcal{T}^*(n, d)$  ( $2 \leq d \leq n - 1$ ), then

$$W(T) \geq W(T_{n,d}).$$

The equality holds if and only if  $T \cong T_{n,d}$ .

In order to prove this conclusion, some preparations are needed.

**Lemma 2.2** [12] Let  $T$  be a tree of order  $n$  and  $e = v_0v_1 \in E(T)$ . Let  $T_i$ ,  $i=0,1$ , be the components of  $T - e$  containing  $v_i$  with  $|V(T_i)| = n_i$ , and let  $u_1, u_2, \dots, u_t$  be pendent vertices of  $T$ , which is adjacent to  $v_1$ . Then,  $W(T + \sum_{j=1}^t (-v_1u_j + v_0u_j)) - W(T) = t(n_1 - n_0 - t)$ .

By lemma 2.2, the following is immediate.

**Lemma 2.3** Let  $d \geq 3$  be an odd number. And let  $T_{n,d}$  and  $T'_{n,d}$  be the trees described as above. Then,  $W(T'_{n,d}) \geq W(T_{n,d})$ , with the equality if and only if  $T'_{n,d} \cong T_{n,d}$ .

Here, we need to use two tree transformations. The first one is the inner-moving transformation.

**Definition 2.4** Let  $T_{11}$  be the tree consist of a path  $u_0u_1u_2 \dots u_t$  of length  $t$  and rooted

trees  $X_i$  with roots  $u_i$ ,  $l \leq i \leq t-1$ ,  $1 \leq l < \lfloor t/2 \rfloor$ ,  $|V(X_l)| > 1$ , and  $|V(X_i)| \geq 1$  for  $l+1 \leq i \leq t-1$ . Let  $T_{12}$  be the tree obtained from  $T_{11}$  by moving the rooted tree  $X_l$  to  $u_{l+1}$  such that the root of  $X_l$  is identified with  $u_{l+1}$  (see figure 2). Then  $T_{12}$  is said to be obtained from  $T_{11}$  by a step of *inner-moving transformation* or *i.m.t.* for short.

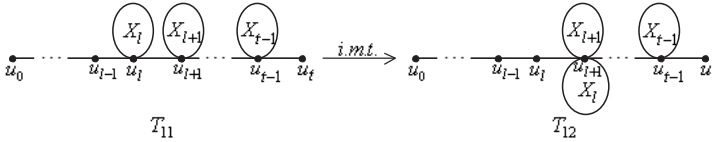


Figure 2: The inner-moving transformation of the tree  $T_{11}$ .

**Lemma 2.5** The inner-moving transformation decreases the Wiener index, viz.

$$W(T_{11}) > W(T_{12}).$$

**Proof.** Set  $a_i = |V(X_i) \setminus u_i|$  ( $l \leq i \leq t-1$ ). Then,  $a_l > 0$  and  $a_i \geq 0$  for  $l+1 \leq i \leq t-1$ . Using formula (2), we consider the difference  $W(T_{11}) - W(T_{12})$ . Comparing the structures of  $T_{11}$  and  $T_{12}$ , we can get that  $n_u(e|T_{11}) \cdot n_v(e|T_{11}) = n_u(e|T_{12}) \cdot n_v(e|T_{12})$  holds for every edge  $e = uv$  in  $E(T_{11})$  and  $E(T_{12})$ , except that of  $e = u_l u_{l+1}$ . Therefore,

$$\begin{aligned} & W(T_{11}) - W(T_{12}) \\ &= n_{u_l}(e|T_{11}) \cdot n_{u_{l+1}}(e|T_{11}) - n_{u_l}(e|T_{12}) \cdot n_{u_{l+1}}(e|T_{12}) \quad (\text{where } e = u_l u_{l+1}) \\ &= n_{u_l}(e|T_{11}) \cdot n_{u_{l+1}}(e|T_{11}) - (n_{u_l}(e|T_{11}) - a_l) \cdot (n_{u_{l+1}}(e|T_{11}) + a_l) \\ &= a_l \cdot (n_{u_{l+1}}(e|T_{11}) - n_{u_l}(e|T_{11}) + a_l) \end{aligned}$$

Clearly,  $n_{u_l}(e|T_{11}) - a_l = l + 1$ . Noticing that  $a_i \geq 0$  ( $l + 1 \leq i \leq t - 1$ ), we have  $n_{u_{l+1}}(e|T_{11}) \geq t - l$ . So,  $n_{u_{l+1}}(e|T_{11}) - n_{u_l}(e|T_{11}) + a_l \geq (t - l) - (l + 1) = t - 2l - 1$ .

As  $1 \leq l < \lfloor t/2 \rfloor \leq t/2$ , we have  $l \leq t/2 - 1$ . Then,  $t - 2l - 1 \geq 1 > 0$ . And so  $n_{u_{l+1}}(e|T_{11}) - n_{u_l}(e|T_{11}) + a_l > 0$ . Note that  $a_l > 0$ . Therefore,  $W(T_{11}) > W(T_{12})$ . ■

The second tree transformation we need is the edge-growing transformation, which had been used by Dong and Guo [12] for ordering trees by their Wiener indices.

**Definition 2.6** Let  $T_{21}$  be a tree of order  $n$  and  $T_{21} \neq S_n$ . Let  $e = uv$  be a non-pendent edge of  $T_{21}$ , and  $T_1$  and  $T_2$  be the two components of  $T_{21} - e$ ,  $u \in T_1$ ,  $v \in T_2$ .  $T_{22}$  is the tree obtained from  $T_{21}$  in the following way:

(1) Contract the edge  $e = uv$ ;

(2) Add a pendent edge to the vertex  $u(=v)$ ;

The procedures (1) and (2) are called the *edge-growing transformation* of  $T_{21}$  (on edge  $e$ ) or *e.g.t.* of  $T_{21}$  (on edge  $e$ ) for short (see figure 3).

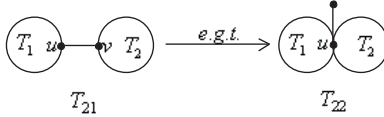


Figure 3: The edge-growing transformation of the tree  $T_{21}$ .

**Lemma 2.7** [12] The edge-growing transformation decreases the Wiener index, viz.

$$W(T_{21}) > W(T_{22}).$$

Now we come to the proof of Theorem 2.1.

**Proof of Theorem 2.1.** Let  $T$  be a tree in  $\mathcal{T}^*(n, d)$ , and let  $P = v_0v_1 \cdots v_d$  be a longest path in  $T$ . Clearly,  $d_T(v_0) = d_T(v_d) = 1$ . Let  $X_i$  be the component of  $T - E(P)$  containing  $v_i$ ,  $i = 1, 2, \dots, d - 1$ , which is a rooted tree with root  $v_i$ .

By *e.g.t.* for all the non-pendent edges of  $T$  not on  $P$ ,  $T$  can be transformed to a caterpillar tree  $T^*$  such that every rooted tree  $X_i$  becomes a star (see figure 4). By lemma 2.7,  $W(T) \geq W(T^*)$ , with the equality if and only if  $T \cong T^*$ .

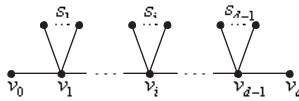


Figure 4: A caterpillar tree in the proof of theorem 2.1.

In addition, by *i.m.t.*,  $T^*$  can be transformed into either  $T_{n,d}$  or  $T'_{n,d}$  (if  $d$  is odd). Now it follows from lemmas 2.3 and 2.5 that  $W(T) \geq W(T^*) \geq W(T_{n,d})$ , with the equality if and only if  $T \cong T^* \cong T_{n,d}$ . ■

**Corollary 2.8** If  $T$  is a tree of order  $n$  and with diameter at least  $d$  ( $2 \leq d < n$ ), then

$$W(T_{n,d}) \leq W(T) \leq W(P_n). \quad (*)$$

The lower bound is realized if and only if  $T \cong T_{n,d}$  and the upper bound if and only if  $T \cong P_n$ .

**Proof.** Note that the diameter of the path  $P_n$  is  $n - 1 \geq d$ . As  $P_n$  maximizes the Wiener index among all the trees of order  $n$ , the right-hand side inequality in  $(*)$  holds obviously.

Now we prove the left-hand side inequality in  $(*)$ . If  $T$  is a tree with diameter greater than  $d$ , then  $T$  can be transformed into a tree (say  $T'$ ) with diameter  $d$  by a number of *e.g.t.*. By lemma 2.7,  $W(T) > W(T')$ . So, we can conclude that if  $T$  minimizes the Wiener index among all the trees of order  $n$  and with diameter  $\geq d$ , then  $T$  must be a tree of order  $n$  and with diameter  $d$ . Then, the left-hand side inequality in  $(*)$  follows from theorem 2.1. ■

Now, we consider the trees in  $\mathcal{C}^*(n, d)$ . Clearly,  $\mathcal{C}^*(n, d) \subseteq \mathcal{T}^*(n, d)$ , and  $T_{n,d} \in \mathcal{C}^*(n, d)$ . The tree with minimum Wiener index in  $\mathcal{C}^*(n, d)$  is also the tree  $T_{n,d}$ . In addition, the tree with maximum Wiener index in  $\mathcal{C}^*(n, d)$  can also be characterized.

Let  $\mathcal{L}(n, l)$  ( $2 \leq l \leq n - 1$ ) denote the set of all the trees of order  $n$  and with  $l$  pendent vertices. The following lemma gives the tree with maximum Wiener index in  $\mathcal{L}(n, l)$ , which was obtained by Shi [8] and later independently by Entringer [9].

**Lemma 2.9** [8,9] If  $T$  is a tree in  $\mathcal{L}(n, l)$  ( $2 \leq l \leq n - 1$ ), then

$$W(T) \leq W(D(n, \lfloor l/2 \rfloor, \lceil l/2 \rceil)).$$

The equality holds if and only if  $T \cong D(n, \lfloor l/2 \rfloor, \lceil l/2 \rceil)$ .

The above lemma can be used to obtain the tree with maximum Wiener index in  $\mathcal{C}^*(n, d)$ .

**Theorem 2.10** If  $T$  is a tree in  $\mathcal{C}^*(n, d)$  ( $2 \leq d \leq n - 1$ ), then

$$W(T_{n,d}) \leq W(T) \leq W(D(n, \lfloor (n-d+1)/2 \rfloor, \lceil (n-d+1)/2 \rceil)). \quad (*)$$

The lower bound is realized if and only if  $T \cong T_{n,d}$  and the upper bound if and only if  $T \cong D(n, \lfloor (n-d+1)/2 \rfloor, \lceil (n-d+1)/2 \rceil)$ .

**Proof.** The left-hand side inequality in  $(*)$  follows immediately from theorem 2.1.

Now we prove the right-hand side inequality in  $(*)$ . By lemma 2.9,  $D(n, \lfloor (n-d+1)/2 \rfloor, \lceil (n-d+1)/2 \rceil)$  is the unique tree with maximum Wiener index in  $\mathcal{L}(n, n-d+1)$ . It is not difficult to see that  $D(n, \lfloor (n-d+1)/2 \rfloor, \lceil (n-d+1)/2 \rceil) \in \mathcal{C}^*(n, d) \subseteq \mathcal{L}(n, n-d+1)$ . So,  $D(n, \lfloor (n-d+1)/2 \rfloor, \lceil (n-d+1)/2 \rceil)$  is also the unique tree with maximum Wiener index in  $\mathcal{C}^*(n, d)$ . Thus, the right-hand side inequality in  $(*)$  also holds. ■

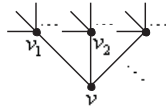
Now we consider the trees with maximum Wiener index in  $\mathcal{T}^*(n, d)$  ( $2 \leq d \leq n - 1$ ).

Here, the trees with maximum Wiener index in  $\mathcal{T}^*(n, d)$  are determined for  $2 \leq d \leq 4$  or  $n - 3 \leq d \leq n - 1$ .

When the diameter  $d = \bar{d} \in \{2, 3, n - 1, n - 2\}$ , the trees in  $\mathcal{T}^*(n, \bar{d})$  are all caterpillar trees, viz.  $\mathcal{T}^*(n, \bar{d}) = \mathcal{C}^*(n, \bar{d})$ . Then, by theorem 2.10, the unique tree with maximum Wiener index in  $\mathcal{T}^*(n, \bar{d})$  is the tree  $D(n, \lfloor (n - \bar{d} + 1)/2 \rfloor, \lceil (n - \bar{d} + 1)/2 \rceil)$ .

When  $d = 4$ , the trees with maximum Wiener index in  $\mathcal{T}^*(n, 4)$  can be obtained from the result of Theorem 3 in Wagner [14]. But there is a little mistake in it (see the remark after Theorem 2.12). Here, we will restate the result as Theorem 2.12.

**Definition 2.11** [14] Let  $(c_1, c_2, \dots, c_t)$  be a partition of  $n - 1$  ( $n > 1$ ). A tree with diameter  $\leq 4$  assigned to this partition is the tree



where  $v_1, v_2, \dots, v_t$  have degrees  $c_1, c_2, \dots, c_t$  respectively. It has exactly  $n - 1$  edges (viz.  $n$  vertices). The tree itself is denoted by  $S(c_1, c_2, \dots, c_t)$ .

Set  $k = \lfloor \sqrt{n - 1} \rfloor$  ( $n > 1$ ). If  $k^2 + k \geq n - 1$ , set  $T_m = S(\underbrace{k, \dots, k}_{k^2+k-n+1}, \underbrace{k+1, \dots, k+1}_{n-k^2-1})$ .  
 If  $k^2 + k \leq n - 1$ , set  $T'_m = S(\underbrace{k, \dots, k}_{k^2+2k-n+2}, \underbrace{k+1, \dots, k+1}_{n-k^2-k-1})$ . Notice that when  $k^2 + k = n - 1$ ,  $T_m$  and  $T'_m$  are non-isomorphic trees with  $W(T_m) = W(T'_m) = 2k^3(k + 1)$ .

**Theorem 2.12** [14] Let  $T$  be a tree with  $n$  vertices and diameter  $\leq 4$ . Set  $k = \lfloor \sqrt{n - 1} \rfloor$ .

- (1) If  $k^2 + k > n - 1$ , then  $W(T) \leq W(T_m)$ , with the equality if and only if  $T \cong T_m$ .
- (2) If  $k^2 + k < n - 1$ , then  $W(T) \leq W(T'_m)$ , with the equality if and only if  $T \cong T'_m$ .
- (3) If  $k^2 + k = n - 1$ , then  $W(T) \leq W(T_m) = W(T'_m)$ , with the equality if and only if  $T \cong T_m$  or  $T \cong T'_m$ .

**Remark:** From the result of Theorem 3 in [14], we get that when  $k^2 + k = n - 1$ , the tree with maximum Wiener index among all the trees with  $n$  vertices and diameter  $\leq 4$  is the tree  $T'_m$ . But, in fact, when  $k^2 + k = n - 1$ ,  $T_m$  and  $T'_m$  are both the trees with maximum Wiener index. In other words, Theorem 3 of [14] misses out the tree  $T_m$  for the case when  $k^2 + k = n - 1$ .

It is not difficult to see that, if  $n \geq 5$ ,  $k = \lfloor \sqrt{n - 1} \rfloor \geq 2$ , and  $T_m$  and  $T'_m$  are trees with diameter 4. From theorem 2.12, we can conclude that the trees with maximum Wiener index in  $\mathcal{T}^*(n, 4)$  are either the tree  $T_m$  if  $k^2 + k > n - 1$ , or the tree  $T'_m$  if



$k^2 + k < n - 1$ , or the trees  $T_m$  and  $T'_m$  if  $k^2 + k = n - 1$ .

For a tree  $T$  in  $\mathcal{T}^*(n, n - 3)$  with diameter  $d = n - 3 \geq 5$ , let  $P$  be a path of length  $n - 3$  in  $T$ . Then, there are only two vertices in  $T$ , say  $u$  and  $v$ , that are not on the path  $P$ . We partition the trees in  $\mathcal{T}^*(n, n - 3)$  into two classes:  $\mathcal{C}^*(n, n - 3)$  and  $\bar{\mathcal{C}}^*(n, n - 3) = \mathcal{T}^*(n, n - 3) \setminus \mathcal{C}^*(n, n - 3)$ . Then any tree in  $\bar{\mathcal{C}}^*(n, n - 3)$  must be the tree  $T_{n-3}^i$  (for some  $2 \leq i \leq n - 5$ ) shown in figure 5.

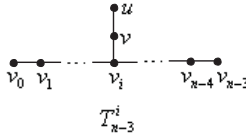


Figure 5: The tree  $T_{n-3}^i$  in  $\bar{\mathcal{C}}^*(n, n - 3)$ .

By theorem 2.10, we know that the tree with maximum Wiener index in  $\mathcal{C}^*(n, n - 3)$  is the tree  $D(n, 2, 2)$ . By the inverse *i.m.t.*, we can get that the tree with maximum Wiener index in  $\bar{\mathcal{C}}^*(n, n - 3)$  is the tree  $T_{n-3}^2$  (isomorphic to  $T_{n-3}^{n-5}$ ). Therefore, in order to obtain the tree with maximum Wiener index in  $\mathcal{T}^*(n, n - 3)$ , we only need to compare  $W(D(n, 2, 2))$  and  $W(T_{n-3}^2)$ . By formula (1) or (2), we have  $W(D(n, 2, 2)) - W(T_{n-3}^2) = 2n - 14 > 0$  for  $n - 3 \geq 5$ , and so the tree with maximum Wiener index in  $\mathcal{T}^*(n, n - 3)$  ( $n \geq 8$ ) is the tree  $D(n, 2, 2)$ .

Now, the trees with maximum Wiener indices in  $\mathcal{T}^*(n, d)$  ( $2 \leq d \leq 4$  or  $5 \leq n - 3 \leq d \leq n - 1$ ) have all been determined.

For the cases  $5 \leq d \leq n - 4$ , it is difficult to characterize the trees with maximum Wiener indices in  $\mathcal{T}^*(n, d)$ . For a fixed value of  $d$  and different values of  $n$ , the trees with maximum Wiener indices may have different structures. To illustrate this fact, we list the trees with maximum Wiener indices in  $\mathcal{T}^*(n, d)$  for some values of  $n$  and  $d$ .

Let  $T_{n,d}^i$  ( $i = 1, 2, \dots$ ) denote the trees with maximum Wiener indices in  $\mathcal{T}^*(n, d)$ . Figure 6 shows the trees with maximum Wiener indices in  $\mathcal{T}^*(10, 5)$ ,  $\mathcal{T}^*(10, 6)$ ,  $\mathcal{T}^*(11, 5)$  and  $\mathcal{T}^*(11, 6)$ , the Wiener indices of which are respectively as follows,  $W(T_{10,5}^1) = W(T_{10,5}^2) = 127$ ,  $W(T_{10,6}^1) = 139$ ,  $W(T_{11,5}^1) = W(T_{11,5}^2) = 160$ ,  $W(T_{11,6}^1) = W(T_{11,6}^2) = 176$ .

From the above examples, it seems to be impossible to give a universal characterization for the trees with maximum Wiener indices in  $\mathcal{T}^*(n, d)$  for  $5 \leq d \leq n - 4$ .

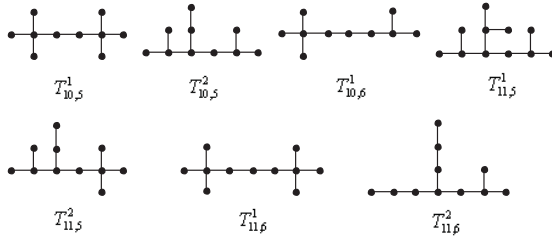


Figure 6: Some trees with maximum Wiener indices in  $\mathcal{T}^*(n, d)$ ,  $5 \leq d \leq n - 4$ .

### 3 Wiener index versus maximum degree in trees

In this section, we will characterize the tree with maximum Wiener index in  $\mathcal{T}(n, \Delta)$ , and the trees with the second and the third maximum Wiener indices in  $\mathcal{T}_{1,\Delta}(n)$ .

In order to obtain the tree with maximum Wiener index in  $\mathcal{T}(n, \Delta)$ , we need the following tree transformation, which had been used by Gutman et al. [13].

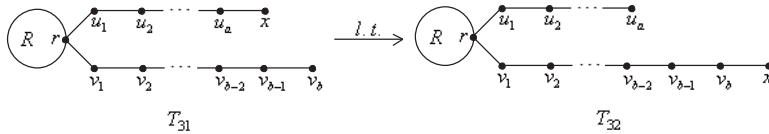


Figure 7: The lengthening transformation of the tree  $T_{31}$ .

Let  $T_{31}$  and  $T_{32}$  be the trees depicted in figure 7, where  $a$  and  $b$  are two integers satisfying  $b > a \geq 0$ , and  $R$  is a rooted tree with root  $r$  and of order greater than 1. For convenience, we call the transformation  $T_{31} \rightarrow T_{32}$  *the lengthening transformation of  $T_{31}$* , or *the l.t. of  $T_{31}$*  for short.

The following lemma is an immediate result of Theorem 2 in Gutman et al. [13].

**Lemma 3.1** [13] The lengthening transformation increases the Wiener index, viz.

$$W(T_{31}) < W(T_{32}).$$

**Theorem 3.2** If  $T$  is a tree in  $\mathcal{T}(n, \Delta)$  ( $\Delta \geq 3$ ), then

$$W(T) \leq W(D(n, \Delta - 1, 1))$$

The equality holds if and only if  $T \cong D(n, \Delta - 1, 1)$ .

**Proof.** As  $T \in \mathcal{T}(n, \Delta)$ , there is at least one vertex, say  $v$ , such that  $d_T(v) = \Delta$ . Viz. there are  $\Delta$  branches of  $T$  at the vertex  $v$ . Suppose  $T \not\cong D(n, \Delta - 1, 1)$ . If  $T$  is not a starlike tree, then there exist some branches of  $T$  at  $v$  that are not paths. Then, by repeatedly carrying out the *l.t.* on each of such branches, one can transform  $T$  into a starlike tree (say  $S^*$ ) with  $v$  as the unique branching point. If  $S^* \not\cong D(n, \Delta - 1, 1)$ , then  $S^*$  can be transformed into  $D(n, \Delta - 1, 1)$  by a number of *l.t.* between different branches of  $S^*$  at  $v$ . By lemma 3.1,  $W(T) < W(D(n, \Delta - 1, 1))$ . Thus, the result holds. ■

As referred above, Fishermann et al. [6] showed that the tree  $C_{\Delta}(n)$  is the unique tree with maximum Wiener index in  $\mathcal{T}_{1,\Delta}(n)$ . Now, we define a tree transformation that can be used to obtain the trees with the second and the third maximum Wiener indices in  $\mathcal{T}_{1,\Delta}(n)$ .

**Definition 3.3** Let  $\Delta, a, b$  be integers satisfying  $\Delta \geq 3, b > a \geq 0$ . And let  $T_{41}$  be the tree depicted in figure 8, where  $T_0$  is a rooted tree with root  $r_0$  and  $|V(T_0)| > \Delta - 1$ .  $T_{42}$  is the tree obtained from  $T_{41}$  in the following way:

- (1) Delete all the edges  $xx_i$  ( $1 \leq i \leq \Delta - 1$ );
- (2) Add all the edges  $yx_i$  ( $1 \leq i \leq \Delta - 1$ ).

The procedures (1) and (2) are called the  $(\Delta - 1)$ -regular-lengthening transformation of  $T_{41}$  or  $(\Delta - 1)$ -*r.l.t.* of  $T_{41}$  for short.

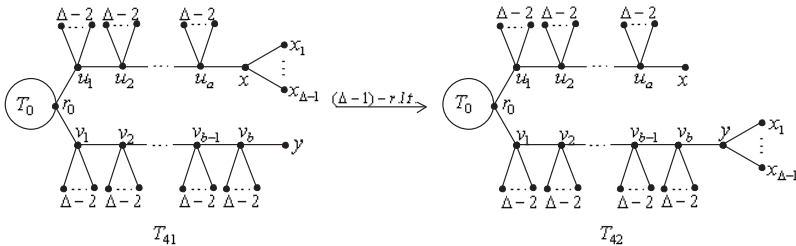


Figure 8: The  $(\Delta - 1)$ -regular-lengthening transformation of the tree  $T_{41}$ .

**Lemma 3.4** The  $(\Delta - 1)$ -*r.l.t.* increases the Wiener index, viz.

$$W(T_{41}) < W(T_{42}).$$

**Proof.** Let  $E_1$  (resp.  $E_2$ ) denote the set of all the pendent edges of  $T_{41}$  (resp.  $T_{42}$ ) not in  $T_0$ . Then,  $|E_1| = |E_2| = (a + b)(\Delta - 2) + \Delta$ . Set the paths  $P_1^1 = u_1 u_2 \cdots x$  and

$P_1^2 = r_0 v_1 \cdots v_b$  in  $T_{41}$ . And set  $P_2^1 = r_0 u_1 \cdots u_a$  and  $P_2^2 = v_1 v_2 \cdots y$  in  $T_{42}$ . Comparing the structures of  $T_{41}$  and  $T_{42}$ , we can get the following equalities:

$$\begin{aligned} \sum_{e=uv \in E(T_0)} n_u(e|T_{41}) \cdot n_v(e|T_{41}) &= \sum_{e=uv \in E(T_0)} n_u(e|T_{42}) \cdot n_v(e|T_{42}); \\ \sum_{e=uv \in E_1} n_u(e|T_{41}) \cdot n_v(e|T_{41}) &= \sum_{e=uv \in E_2} n_u(e|T_{42}) \cdot n_v(e|T_{42}); \\ \sum_{e=uv \in E(P_1^1)} n_u(e|T_{41}) \cdot n_v(e|T_{41}) &= \sum_{e=uv \in E(P_2^1)} n_u(e|T_{42}) \cdot n_v(e|T_{42}); \\ \sum_{e=uv \in E(P_1^2)} n_u(e|T_{41}) \cdot n_v(e|T_{41}) &= \sum_{e=uv \in E(P_2^2)} n_u(e|T_{42}) \cdot n_v(e|T_{42}). \end{aligned}$$

The edges not involved in the above equalities are the edges  $r_0 u_1$  in  $T_{41}$  and  $r_0 v_1$  in  $T_{42}$ . Therefore, we have

$$\begin{aligned} &W(T_{42}) - W(T_{41}) \\ &= n_{r_0}(r_0 v_1|T_{42}) \cdot n_{v_1}(r_0 v_1|T_{42}) - n_{r_0}(r_0 u_1|T_{41}) \cdot n_{u_1}(r_0 u_1|T_{41}) \\ &= [b(\Delta - 1) + \Delta][n - b(\Delta - 1) - \Delta] - [a(\Delta - 1) + \Delta][n - a(\Delta - 1) - \Delta] \\ &= (\Delta - 1)(b - a)[n - (a + b)(\Delta - 1) - 2\Delta] \end{aligned}$$

Note that  $|V(T_0)| > \Delta - 1$ .  $n = |V(T_0)| + (a + b)(\Delta - 1) + \Delta + 1 > (\Delta - 1) + (a + b)(\Delta - 1) + \Delta + 1 = (a + b)(\Delta - 1) + 2\Delta$ . That is  $n - (a + b)(\Delta - 1) - 2\Delta > 0$ . Furthermore,  $\Delta \geq 3$  and  $b > a$ . So,  $W(T_{42}) - W(T_{41}) > 0$ , viz.  $W(T_{41}) < W(T_{42})$ . ■

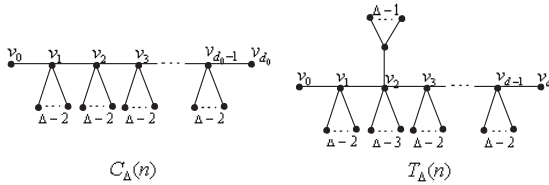


Figure 9: The trees  $C_\Delta(n)$  and  $T_\Delta(n)$  in  $\mathcal{T}_{1,\Delta}(n)$ .

From the definition of  $C_\Delta(n)$ , we can easily get that  $C_\Delta(n) \in \mathcal{T}_{1,\Delta}(n)$  is the tree shown in figure 9, where  $d_0 = \frac{n-2}{\Delta-1} + 1$ . Let  $T_\Delta(n) \in \mathcal{T}_{1,\Delta}(n)$  be the tree depicted in figure 9, where  $d = d_0 - 1 = \frac{n-2}{\Delta-1} \geq 4$ . The following theorem gives the tree with the second maximum Wiener index in  $\mathcal{T}_{1,\Delta}(n)$ .

**Theorem 3.5** If  $T$  is a tree in  $\mathcal{T}_{1,\Delta}(n) \setminus \{C_\Delta(n), T_\Delta(n)\}$  ( $\Delta \geq 3, n \geq 4\Delta - 2$ ), then

$$W(T) < W(T_\Delta(n)) < W(C_\Delta(n)).$$

**Proof.** Note that  $T \neq C_\Delta(n)$  and  $T \neq T_\Delta(n)$ . By a number of  $(\Delta - 1)$ -*r.l.t.*,  $T$  can be transformed into the tree  $T_\Delta(n)$ . By lemma 3.4,  $W(T) < W(T_\Delta(n))$ . Furthermore,  $T_\Delta(n)$  can be transformed into  $C_\Delta(n)$  by a step of  $(\Delta - 1)$ -*r.l.t.*. So by lemma 3.4,  $W(T_\Delta(n)) < W(C_\Delta(n))$ . Therefore, the result holds. ■

Let  $T'_\Delta(n), T''_\Delta(n) \in \mathcal{T}_{1,\Delta}(n)$  be the trees depicted in figure 10, where  $d = \frac{n-2}{\Delta-1} \geq 6$ . The following theorem presents the tree with the third maximum Wiener index in  $\mathcal{T}_{1,\Delta}(n)$ .

**Theorem 3.6** If  $T$  is a tree in  $\mathcal{T}_{1,\Delta}(n) \setminus \{C_\Delta(n), T_\Delta(n)\}$  ( $\Delta \geq 3, n \geq 6\Delta - 4$ ), then  $W(T) \leq W(T'_\Delta(n))$ . The equality holds if and only if  $T \cong T'_\Delta(n)$ .

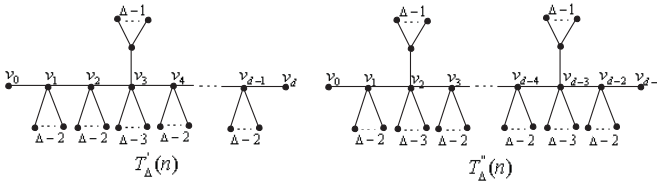


Figure 10: The trees  $T'_\Delta(n)$  and  $T''_\Delta(n)$  in  $\mathcal{T}_{1,\Delta}(n)$ .

**Proof.** Note that  $T \in \mathcal{T}_{1,\Delta}(n) \setminus \{C_\Delta(n), T_\Delta(n)\}$ . If  $T \not\cong T'_\Delta(n)$  and  $T \not\cong T''_\Delta(n)$ , then  $T$  can be transformed into  $T'_\Delta(n)$  by a number of  $(\Delta - 1)$ -*r.l.t.*. By lemma 3.4,  $W(T) < W(T'_\Delta(n))$ .

Let  $P'$  (resp.  $P''$ ) be the  $(v_3 - v_{d-4})$ -path in  $T'_\Delta(n)$  (resp.  $T''_\Delta(n)$ ), and let  $E_1$  (resp.  $E_2$ ) be the set of all the pendent edges of  $T'_\Delta(n)$  (resp.  $T''_\Delta(n)$ ). Clearly,  

$$\sum_{e=uv \in E(P')} n_u(e|T'_\Delta(n)) \cdot n_v(e|T'_\Delta(n)) = \sum_{e=uv \in E(P'')} n_u(e|T''_\Delta(n)) \cdot n_v(e|T''_\Delta(n)),$$

$$\sum_{e=uv \in E_1} n_u(e|T'_\Delta(n)) \cdot n_v(e|T'_\Delta(n)) = \sum_{e=uv \in E_2} n_u(e|T''_\Delta(n)) \cdot n_v(e|T''_\Delta(n)).$$
 Comparing the other edges in  $T'_\Delta(n)$  and  $T''_\Delta(n)$ , we have that  $W(T'_\Delta(n)) - W(T''_\Delta(n)) = 2(2\Delta - 1)[n - (2\Delta - 1)] - \Delta(n - \Delta) - (3\Delta - 2)[n - (3\Delta - 2)] = 2(\Delta - 1)^2 > 0$ . So  $W(T'_\Delta(n)) > W(T''_\Delta(n))$ .

Thus, the result holds. ■

It becomes more and more complicated to determine the trees with the 4th, 5th, ..., maximum Wiener indices in  $\mathcal{T}_{1,\Delta}(n)$ . Other than the  $(\Delta - 1)$ -*r.l.t.*, much discussion and comparison are also needed for determining the tree with the 4th maximum Wiener index in  $\mathcal{T}_{1,\Delta}(n)$ . Here, we would not discuss it any more.

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