

Enumeration of Alkanes as Stereoisomers

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Abstract

Alkanes are counted as 3D-trees or stereoisomers by means of Fujita's proligand method (S. Fujita, *Theor. Chem. Acc.*, **113**, 73–79, 80–86 (2005); **115**, 37–53 (2006)), where the 3D-trees are categorized into balanced and unbalanced 3D-trees according to the presence or absence of a balance-edge. Such balanced and unbalanced 3D-trees are enumerated by presuming that they are dually recognized as uninuclear and binuclear 3D-trees, where a tetrahedral skeleton of T_d -symmetry is used to generate the uninuclear 3D-trees, while a binuclear skeleton of $D_{\infty h}$ -symmetry is examined to generate the binuclear 3D-trees. The values for binuclear 3D-trees are regarded as contaminants in the enumeration of uninuclear 3D-trees so that the subtraction of the contaminants from the latter enumeration leaves unbalanced 3D-trees to be counted. The enumeration of balanced 3D-trees is conducted directly by using the binuclear skeleton of $D_{\infty h}$ -symmetry. The enumeration is based on functional equations derived from cycle indices with chirality fittingness (CI-CFs), where the functions $a(x^d)$, $c(x^d)$, and $b(x^d)$ (or their modifications) are substituted for three kinds of sphericity indices (SIs), i.e., a_d for homospheric cycles, c_d for enantiospheric cycles, and b_d for hemispheric cycles. Thus, respective functional equations for counting alkanes as well as for itemizing them into achiral and chiral ones are derived by starting from recursive functional equations for counting alkyl ligands as planted 3D-trees. They are programmed by means of the Maple programming language and executed to give respective stereoisomer numbers, which are collected in tabular forms up to carbon content 100.

1 Introduction

Pólya's theorem [1, 2] has been widely applied to chemical combinatorics, as described in reviews [3–6] and books [7–11]. However, most works on combinatorial enumeration in chemistry were concerned with constitutional (structural) isomers, which were regarded as graphs in a mathematical context.

After the proposal of the USCI (unit-subduced-cycle-index) approach [12], we have developed various tools for combinatorial enumeration in stereochemistry [13–17], which are capable of treating stereoisomers as three-dimensional (3D) objects. Throughout the development of the tools, we have pointed out the importance of the sphericities of orbits, which are characterized by three kinds of sphericity indices (SIs), i.e., a_d for a homospheric orbit, c_d for an enantiospheric orbit, and b_d for a hemispheric orbit, where the integer d represents the size of the orbit at issue.

As a more simplified version apart from but related implicitly to the USCI approach, we have developed the proligand method for counting stereoisomers, where the sphericities of orbits are modified into the sphericities of cycles [18–21]. One of the merits of Fujita's proligand method is its capability of treating inner structures of molecules in terms of the sphericities of cycles. Thereby, stereochemical problems, such as pseudoasymmetry and *meso*-compounds, are properly treated by Fujita's proligand method in contrast to Pólya's theorem that lacks the sphericity concept.

To the best of our knowledge, one of the most famous problems which were successfully solved by Pólya's theorem is the enumeration of alkanes (equivalently trees) as graph [1, 2]. This problem was first undertaken by Cayley [22, 23], treated more chemically by Henze and Blair [24, 25], and then solved systematically by Pólya [1, 2] and by Otter [26]. Although Robinson et al. [27] reported the enumeration of alkanes as stereoisomers by modifying Pólya's cycle indices (CIs), their treatment did not involve the sphericity concept so that it was incapable of treating pseudoasymmetry and *meso*-compounds properly.

To show the versatility of Fujita's proligand method, the enumeration of alkanes as stereoisomers should be studied in comparison with the enumeration of alkanes as constitutional isomers (graphs) by Pólya's theorem. However, because this study requires a strict mathematical formulation, another practical approach based on personal-computer calculations would be desirable to grasp essential features of Fujita's proligand method.

In order to accomplish the enumeration of alkanes as stereoisomers, the present paper deals with a succinct description of Fujita's proligand method and with the writing and executing of programs for counting them by using the Maple programming language. Thereby, the present paper would involve all of the three elements which *MATCH Commun. Math. Comput. Chem.* aims at.

2 Alkyl Ligands as Planted 3D-Trees

Because the present paper aims at counting alkanes, the term *trees* (or *3D-trees*) is mainly used to refer to trees of degree 4 (or 3D-trees of degree 4), where non-terminal vertices mimic carbon atoms of tetravalency. Relevant terms such as *planted 3D-trees* are also used according to this convention.

To enumerate alkanes, we should first enumerate alkyl ligands as their components. It is worthwhile here to provide some comments on Pólya's treatment of this problem. Pólya's

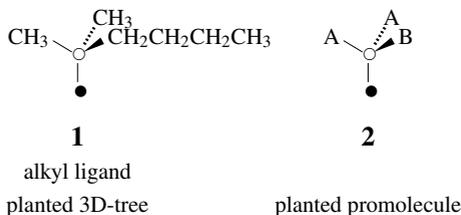


Figure 1: Alkyl ligand (2-methylhex-2-yl ligand) as a planted 3D-tree (**1**) and a planted promolecule (**2**). A solid circle (\bullet) represents a root, while an open circle (\circ) represents a principal node, which is a carbon atom carrying three substitution positions.

treatment, in which alkyl ligands (or planted trees mathematically) are regarded as graphs, has used a cycle index (CI) obtained on the basis of the symmetric group of degree 3 and order 6 ($S^{[3]}$) as follows [1, 2]:

$$\text{CI}(S^{[3]}; r_d) = \frac{1}{6}(r_1^3 + 2r_3 + 3r_1r_2). \quad (1)$$

Let R_k be the number of alkyl ligands of carbon content k (as graphs), which appears as the coefficient of the term x^k in a generating function (an isomer-counting series):

$$r(x) = \sum_{k=0}^{\infty} R_k x^k. \quad (2)$$

According to Pólya's treatment [1, 2], the nested nature of alkyl ligands (as graphs) is characterized by the following functional equation:

$$r(x) = 1 + \frac{x}{6}(r(x)^3 + 2r(x^3) + 3r(x)r(x^2)), \quad (3)$$

which is obtained by substituting $r(x^d)$ for the term r_d of the CI (eq. 1). Recursive calculations have been conducted by using eq. 3 [10].

In contrast, Fujita's prolignand method [18–21] regards alkyl ligands as 3D-objects, which are mathematically treated as planted 3D-trees or chemically as planted promolecules. For example, a 2-methylhex-2-yl ligand shown in Fig. 1 is regarded mathematically as a planted 3D-tree (**1**), which is chemically regarded as a planted promolecule (**2**), where we put A = CH₃ (methyl) and B = CH₂CH₂CH₂CH₃ (butyl). Thus, the 2-methylhex-2-yl ligand (**1** as a planted 3D-tree) is constructed by substituting a methyl ligand (A) and a butyl ligand (B) for the three positions of a C_{3v}-skeleton (i.e., the three hydrogens of a methyl ligand as a C_{3v}-skeleton). The nested character of this procedure is found in that the butyl (B) is in turn constructed by substituting one propyl ligand and two hydrogens for the three positions of a C_{3v}-skeleton.

According to Fujita's prolignand method [18–21], the three positions of the C_{3v}-skeleton construct an orbit governed by a coset representation (CR), i.e., C_{3v}/(C_s). The CR is composed of permutations, each of which is represented by a product of cycles. Each of the cycles is classified into a homospheric, enantiospheric, or hemispheric one, which is characterized by a sphericity index (SI), i.e., a_d for a homospheric cycle, c_d for an enantiospheric cycle, and b_d for a hemispheric cycle, where the integer d represents the length of the cycle at issue. Thereby, the

three positions of the C_{3v} -skeleton are characterized by the following cycle index with chirality fittingness (CI-CF):

$$CI-CF(C_{3v}; a_d, b_d, c_d) = \frac{1}{6}(b_1^3 + 2b_3 + 3a_1c_2) \quad (4)$$

where the chirality fittingness due to each sphericity index controls the transitivity of chiral or achiral ligands. Thus, the SI a_d permits the transitivity among achiral proligands of the same kind; the SI c_d (d is even) permits the transitivity among diploids of the same kind [19], which are defined as ordered sets of achiral proligands or as ordered pairs of enantiomeric proligands; and the SI b_d permits the transitivity among achiral proligands of the same kind or among chiral proligands of the same kind (the same handedness), where the two enantiomers of each pair are treated separately.

In contrast to Pólya's CI (eq. 1), Fujita's CI-CF (eq. 4) assures no recursive nature, because the evaluation of the left-hand side cannot be used in the successive evaluation of the right-hand side of eq. 4. Instead, each component of the right-hand side of eq. 4, i.e., a_d , c_d , and b_d , is expected to have recursive nature, if they are evaluated distinctly. In fact, they can be evaluated as follows:

$$CI-CF_A(C_{3v}; a_d, c_d) = a_1c_2 \quad (5)$$

$$CI-CF_D(C_3; c_d) = \frac{1}{3}(c_2^3 + 2c_6) \quad (d: \text{even}) \quad (6)$$

$$CI-CF(C_3; b_d) = \frac{1}{3}(b_1^3 + 2b_3), \quad (7)$$

where all of them assure recursive nature. Thus, the $CI-CF_A$ (eq. 5) corresponding to a_d is used to count achiral proligands; the $CI-CF_D$ (eq. 6) corresponding to c_d (d is even) is used to count diploids [19], which are defined as ordered sets of achiral proligands or as ordered pairs of enantiomeric proligands; and the $CI-CF$ (eq. 7) corresponding to b_d is used to count achiral proligands and chiral proligands, where the two enantiomers of each pair are counted separately.

Let α_k be the number of achiral alkyl ligands of carbon content k (as stereoisomers); let γ_k be the number of diploids [19], which are defined as ordered sets of achiral alkyl ligands or as ordered pairs of enantiomeric alkyl ligands; and let β_k be the number of achiral proligands and chiral proligands, where the two enantiomers of each pair are counted separately. Then, suppose that they appear as the coefficients of the term x^k in the following generating functions:

$$a(x) = \sum_{k=0}^{\infty} \alpha_k x^k \quad (8)$$

$$c(x^2) = \sum_{k=0}^{\infty} \gamma_{2k} x^{2k} \quad (9)$$

$$b(x) = \sum_{k=0}^{\infty} \beta_k x^k. \quad (10)$$

By substituting $a(x^d)$, $c(x^d)$, and $b(x^d)$ for the terms a_d , c_d , and b_d of the CI-CFs (eqs. 5–7), we obtain the following functional equations:

$$a(x) = 1 + xa(x)c(x^2) \quad (11)$$

$$c(x^2) = 1 + \frac{x^2}{3}\{c(x^2)^3 + 2c(x^6)\} \quad (12)$$

$$b(x) = 1 + \frac{x}{3}\{b(x)^3 + 2b(x^3)\}, \quad (13)$$

which can be used in recursive calculations to obtain the coefficients of the generating functions (eqs. 8–10). Note that the multiplication of x for eqs. 11 and 13 and that of x^2 for eq. 12 aim at considering the contribution of a principal vertex and the addition of 1 comes from the participation of a null vertex (a hydrogen atom). It should be emphasized that the functional equations (eqs. 11–13) for recursive calculations are concerned with three types of SIs; that is to say, the functional equation $a(x)$ is related to a homospheric cycle via the SI a_d , the functional equation $c(x^2)$ is related to an enantiospheric cycle via the SI c_d , the functional equation $b(x)$ is related to a hemispheric cycle via the SI b_d .

Once we obtain $a(x)$, $c(x^2)$, and $b(x)$ recursively, we are able to obtain $L(x)^{(AC)}$ for counting achiral and chiral ligands, $L(x)^{(A)}$ for counting achiral ligands, and $L(x)^{(C)}$ for counting chiral ligands, where the numbers are itemized with respect to carbon content k .

$$L(x)^{(AC)} = \frac{1}{2}(b(x) + a(x)) = 1 + \frac{x}{6}\{b(x)^3 + 2b(x^3) + 3a(x)c(x^2)\} \quad (14)$$

$$L(x)^{(A)} = a(x) = 1 + xa(x)c(x^2) \quad (15)$$

$$L(x)^{(C)} = \frac{1}{2}(b(x) - a(x)) = \frac{x}{6}\{b(x)^3 + 2b(x^3) - 3a(x)c(x^2)\} \quad (16)$$

It should be noted that eq. 14 based on Fujita's proligand method can be transformed into eq. 3 based on Pólya's theorem. Obviously, eq. 3 is a special case of eq. 14 in which we put $r(x) = L(x)^{(AC)}$ in the left-hand side and $r(x) = a(x) = c(x) = b(x)$ in the right-hand side. Because eq. 3 lacks the information on sphericity in contrast to eq. 14, the reverse transformation is impossible.

By replacing $b(x)$ in eq. 10 by $s(x)$, we can obtain a functional equation for counting steric trees,

$$s(x) = 1 + \frac{x}{3}\{s(x)^3 + 2s(x^3)\}, \quad (17)$$

which has the same form as obtained by Pólya's treatment [1, 2]. Note that the combination of eq. 3 and eq. 17 in Pólya's treatment is incapable of characterizing enantiomeric relationships. Obviously, eq. 3 is concerned with graphs or constitutional isomers. The use of eq. 17 results in that one enantiomer and the other enantiomer of each enantiomeric pair are counted separately. In other words, a pair of enantiomers cannot be recognized to exhibit enantiomeric nature during the enumeration of eq. 17.

The sphericity index $b(x)$ of Fujita's proligand method takes account of the difference between achiral and chiral proligands in combination with $a(x)$ and $c(x)$. In contrast, the dummy variables $r(x)$ (eq. 3) and $s(x)$ (eq. 17) of Pólya's treatment implicitly disregards the difference between atoms (or achiral ligands) and chiral ligands in determining geometrical configurations. Although Pólya's theorem was used to discuss the effect of asymmetric carbon atoms [1, 2], it did not properly treated pseudoasymmetric cases and *meso*-compounds.

3 Uninuclear and Binuclear 3D-Trees

Trees as graphs and 3D-trees as 3D-objects are composed of vertices and edges. Hence, any tree or 3D-tree is dually characterized by a set of uninuclear trees or 3D-trees and by a set of binuclear trees or 3D-trees. The present section is devoted to clarify the difference between uninuclear 3D-trees and binuclear 3D-trees.

3.1 Alkanes as Uninuclear and Binuclear 3D-trees

To emphasize a viewpoint from vertices, we coin the terms *uninucleus* and *binucleus*, which are used to define the terms *uninuclear 3D-trees* and *binuclear 3D-trees*. In particular, we put a focus on the terminal vertices of an edge by using the term *binucleus*. Alkanes as 3D-objects can be dually recognized as uninuclear and binuclear 3D-trees, both of which are constructed by substituting appropriate sets of alkyl ligands, as illustrated in Fig. 2.

Suppose that one vertex (carbon atom) of 2-methylhexane (**3**) is regarded as a uninucleus (\bullet), which is tentatively selected as a special vertex. Then, the alkane of carbon content 7 is expressed by a tetrahedral formula (**4**) having two hydrogens, an isopropyl ligand ($\text{CH}(\text{CH}_3)_2$), and a propyl ligand ($\text{CH}_2\text{CH}_2\text{CH}_3$). This expression (**4**) is called a *uninuclear 3D-tree*.

When we put $X_1 = \text{CH}(\text{CH}_3)_2$ and $X_2 = \text{CH}_2\text{CH}_2\text{CH}_3$, we obtain a further simplified formula (**6**), which is called a *uninuclear promolecule*, where the X_1 and the X_2 regarded as structureless objects with achirality are called *proligands*.

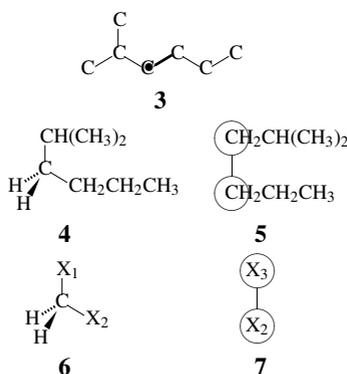


Figure 2: Dual recognition of an alkane (**3**) as a uninuclear (**4**) and a binuclear 3D-tree (**5**), which are further regarded as a uninuclear (**6**) and a binuclear promolecule (**7**).

On the other hand, let us regard one bond of 2-methylhexane (**3**) as a binucleus (marked by a bold line), which we tentatively select as a special edge. Then, the alkane of carbon content 7 is expressed by a pair of dumbbells (**5**) having an isobutyl ligand ($\text{CH}_2\text{CH}(\text{CH}_3)_2$) and a propyl ligand ($\text{CH}_2\text{CH}_2\text{CH}_3$). This expression (**5**) is called a *binuclear 3D-tree*.

When we put $X_3 = \text{CH}_2\text{CH}_2\text{CH}_3$ and $X_2 = \text{CH}_2\text{CH}(\text{CH}_3)_2$, we obtain a further simplified formula (**7**), which is called a *binuclear promolecule*, where the X_2 and the X_3 regarded as structureless objects with achirality are again called *proligands*.

3.2 Enumeration of Uninuclear and Binuclear 3D-Trees

3.2.1 Uninuclear 3D-Trees for Evaluating Gross Numbers

To enumerate such uninuclear 3D-trees as **4**, we consider a tetrahedral skeleton (**8**), where the four positions numbered 1 to 4 accommodate a set of proligands. The resulting promolecule is used to determine achirality/chirality. It is further converted into the corresponding 3D-tree by

the subsequent procedure in which the proligands are replaced by ligands (e.g., $X_1 = \text{CH}(\text{CH}_3)_2$ and $X_2 = \text{CH}_2\text{CH}_2\text{CH}_3$).

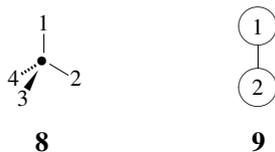


Figure 3: Uninuclear and binuclear skeletons.

Let $G_k^{(AC)}$ be the number of uninuclear 3D-trees of carbon content k , where the number of achiral ones and the number of enantiomeric pairs of chiral ones are summed up. Because the number $G_k^{(AC)}$ has a contribution of redundant 3D-trees as exemplified below, it is called the *gross number* of 3D-trees. The gross number $G_k^{(AC)}$ is the coefficient of the term x^k appearing in a generating function:

$$G(x)^{(AC)} = \sum_{k=1}^{\infty} G_k^{(AC)} x^k. \quad (18)$$

Because the uninuclear skeleton (**8**) belongs to \mathbf{T}_d -symmetry, the four substitution positions are governed by a CR \mathbf{T}_d ($/\mathbf{C}_{3v}$) according to the USCI approach [12] and the proligand method [18, 19, 20]. Theorem 1 of [20] is applied to this case so as to give the following CI-CF:

$$\text{CI-CF}(\mathbf{T}_d; a_d, b_d, c_d) = \frac{1}{24}(b_1^4 + 3b_2^2 + 8b_1b_3 + 6a_1^2c_2 + 6c_4), \quad (19)$$

which counts achiral 3D-trees (promolecules) and enantiomeric pairs of chiral 3D-trees (promolecules), where each pair of enantiomers is counted just once.

Let us consider the substitution of the alkyl ligands (the planted promolecules) which have been counted by eqs. 8–10 (eqs. 11–13). This means the replacement of a_d , c_d , and b_d by $a(x^d)$, $c(x^d)$, and $b(x^d)$, which converts eq. 19 into the following functional equation:

$$G(x)^{(AC)} = \frac{x}{24} \{ b(x)^4 + 3b(x^2)^2 + 8b(x)b(x^3) + 6a(x)^2c(x^2) + 6c(x^4) \}. \quad (20)$$

where the variable x is multiplied to evaluate the central carbon atom of the tetrahedral skeleton (**8**).

Although the gross number of alkanes evaluated by $G(x)^{(AC)}$ (eq. 20) is concerned with uninuclear 3D-trees (promolecules) of each carbon content k , it suffers from some redundancy. For example, 2-methylhexane (**3**) shown in Fig. 2 is otherwise regarded as a tetrahedral skeleton having two methyl ligands (CH_3) and one butyl ligand ($\text{CH}_2\text{CH}_2\text{CH}_2\text{CH}_3$). This contributes to the enumeration result of $G(x)^{(AC)}$ as a redundant 3D-tree (i.e., a contaminant), because it is not congruent with the 3D-tree (**4**) under the action of the point group \mathbf{T}_d . Because the point group \mathbf{T}_d is incapable of determining the congruence between them, we should develop an alternative way to exclude the redundancy after discussing binuclear 3D-trees below.

3.2.2 Binuclear 3D-Trees for Evaluating Contaminants

To enumerate such binuclear 3D-trees as **5**, we consider a binuclear skeleton (**9**), where the two positions 1 and 2 accommodate a set of proligands. The resulting promolecule is used to determine achirality/chirality. It is further converted into the corresponding 3D-tree by the subsequent procedure in which the proligands are replaced by ligands (e.g., $X_3 = \text{CH}_2\text{CH}(\text{CH}_3)_2$ and $X_2 = \text{CH}_2\text{CH}_2\text{CH}_3$).

Let $C_k^{(AC)}$ be the number of binuclear 3D-trees (promolecules) of carbon content k , where the number of achiral ones and the number of enantiomeric pairs of chiral ones are summed up. The number $C_k^{(AC)}$ is the coefficient of the term x^k appearing in a generating function:

$$C(x)^{(AC)} = \sum_{k=1}^{\infty} C_k^{(AC)} x^k. \quad (21)$$

Because the binuclear skeleton (**9**) belongs to $\mathbf{D}_{\infty h}$ -symmetry, the two substitution positions are governed by a CR $\mathbf{D}_{\infty h}/(\mathbf{C}_{\infty v})$, which is isomorphic to a CR $\mathbf{C}_{2v}/(\mathbf{C}_s)$, inclusive of the sphericities of relevant cycles [18–20]. Theorem 1 of [20] is applied to this case so as to give the following CI-CF:

$$\text{CI-CF}(\mathbf{D}_{\infty h}; a_d, b_d, c_d) = \frac{1}{4}(b_1^2 + b_2 + a_1^2 + c_2), \quad (22)$$

which counts achiral 3D-trees (promolecules) and enantiomeric pairs of chiral 3D-trees (promolecules), where each pair of enantiomers is counted just once.

Let us consider the substitution of the alkyl ligands (the planted promolecules) which have been counted by eqs. 8–10 (eqs. 11–13). This procedure means the replacement of a_d , c_d , and b_d by $a(x^d) - 1$, $c(x^d) - 1$, and $b(x^d) - 1$, where the first term 1 (x^0) is subtracted from each of eqs. 11–13, because a null vertex (a hydrogen atom) is not permitted. Thereby, eq. 22 is converted into the following functional equation:

$$C(x)^{(AC)} = \frac{1}{4}\{(b(x) - 1)^2 + (b(x^2) - 1) + (a(x) - 1)^2 + (c(x^2) - 1)\}. \quad (23)$$

4 Balanced and Unbalanced 3D-Trees

4.1 New Dichotomy for Classifying 3D-Trees

As shown in Subsection 3.2, the number of alkanes (3D-trees) evaluated by $G(x)^{(AC)}$ (eq. 20) is contaminated by redundant uninuclear 3D-trees. The aim of this section is to show that such contaminants can be evaluated by the numbers of binuclear 3D-trees. These are in turn evaluated by $C(x)^{(AC)}$ (eq. 23), as shown in Fig. 4. For the purpose of evaluating such contaminants, we shall examine the subtraction $G(x)^{(AC)} - C(x)^{(AC)}$ in detail.

There are two cases in the evaluation of the subtraction represented by $G(x)^{(AC)} - C(x)^{(AC)}$, so that we shall propose a new dichotomy between balanced 3D-trees and unbalanced 3D-trees. This dichotomy is more essential to evaluate the subtraction than the two conventional dichotomies reported by Jordan [28], i.e., the dichotomies between central and bicentral trees and between centroidal and bicentroidal trees, even if these are extended to cover 3D-trees.

To introduce the new dichotomy, we shall first define a balance-edge. A *balance-edge* is defined as an edge of which two terminals accommodate planted 3D-trees (planted promolecules)

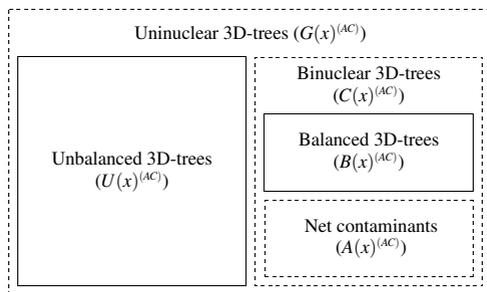


Figure 4: The dichotomy of balanced/unbalanced 3D-trees and the dual recognition as uninuclear 3D-trees and binuclear 3D-trees. The total number of trees is obtained by summing up the number of unbalanced 3D-trees and the number of balanced 3D-trees, i.e., $N(x)^{(AC)} = U(x)^{(AC)} + B(x)^{(AC)}$.

congruent under symmetry operations. This means that the two half branches generated by deleting the balance-edge are of the same kind or of an enantiomeric relationship. Obviously, any 3D-tree has at most one balance-edge. That is to say, any 3D-tree contains zero or one balance-edge. Thereby, 3D-trees are classified into two categories: *balanced 3D-trees* with a balance-edge and *unbalanced 3D-trees* with no balance-edge.

The present methodology based on the dichotomy between balanced trees and unbalanced trees as well as on the dual recognition as uninuclear trees and binuclear trees provides us a succinct foundation for understanding the mechanism of enumerating 3D-trees. The relationship between the new terms coined in the present methodology is summarized in Fig. 4.

4.2 Enumeration of Unbalanced Trees

4.2.1 Full Cancellation of Balanced 3D-Trees

The effect of a balanced tree on the enumeration result by $G(x)^{(AC)}$ is shown in Fig. 5, where the boldfaced edge of a balanced tree **10** is a balance-edge, which is differentiated from other edges called *slant-edges*. In the evaluation of **10** by $G(x)^{(AC)}$, each vertex (carbon atom) can be selected as the central atom of the tetrahedral skeleton (**8**). When we select vertices (\circ) other than the terminals (\bullet) of the balance-edge, we obtain the formulas shown as **11–16**, which are not congruent under T_d -symmetry. Once we select **10** as a 3D-tree to be counted, the uninuclear 3D-trees (**11–16**) are regarded as contaminants to be excluded.

Let us alternatively regard the uninuclear 3D-trees (**11–13**) as binuclear 3D-trees, where the boldfaced edges (slant-edges) are taken into consideration. Note that each of the boldfaced edges corresponds to each of the vertices (\circ) selected for evaluating the uninuclear 3D-trees (**11–13**). It follows that each of the uninuclear 3D-trees (**11–13** with a nucleus represented by the symbol \circ) corresponds to each of the binuclear 3D-trees (**11–13** with a binucleus represented by a boldfaced edge).

By examining each of the 3D-trees (**11–13**) as a binuclear 3D-tree, the ligand at the right-handed terminal contains the balance-edge selected for **10**. Such a ligand as containing a balance-edge is called a *superior ligand*. Once we select **10** as a 3D-tree to be counted, each

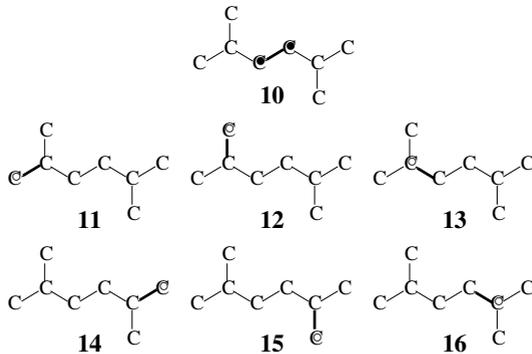


Figure 5: Balanced 3D-tree. Cancellation between uninuclear 3D-trees and binuclear 3D-trees leaves no balanced 3D-tree.

superior ligand can be regarded as being fixed. This condition means that the enumeration as uninuclear 3D-trees is the same thing as the enumeration as binuclear 3D-trees. Hence, cancellation for the 3D-trees (**11–13**) occurs so that the subtraction $G(x)^{(AC)} - C(x)^{(AC)}$ does not contain the 3D-trees (**11–13**).

This discussion holds true for the 3D-trees (**14–16**), which are dually recognized as uninuclear and binuclear 3D-trees. Note that the 3D-trees (**14–16**) are based on the right-half branch, while the 3D-trees (**11–13**) are based on the left-half branch.

Let us then examine the balanced 3D-tree (**10**) to be counted. A set of the two terminal vertices of a balance-edge is called a *twin-core* in order to emphasize a viewpoint of vertices. Note that a balanced-edge is regarded as a special binucleus, which is in turn recognized to be a twin-core from a viewpoint of vertices. The two terminal vertices characterized by the twin-core are equivalent so that it is sufficient to treat either one as a special uninucleus. In this meaning, the term *twin-core* for balanced 3D-trees corresponds to the term *core* for unbalance 3D-trees.

The enumeration of uninuclear 3D-trees by adopting the *left-handed* terminal (\bullet) of the twin-core (i.e., the balance-edge) as a uninucleus gives the result represented by $G(x)^{(AC)}$. On the same line, the enumeration of uninuclear 3D-trees by adopting the *right-handed* terminal (\bullet) of the twin-core as a uninucleus also gives the result represented by $G(x)^{(AC)}$. Note that these results are identical with each other even under the point group T_d , because of the equivalence of the two terminals.

On the other hand, the enumeration of binuclear 3D-trees by adopting the balance-edge (\bullet — \bullet) as a binucleus gives the result represented by $C(x)^{(AC)}$. This enumeration has the same effect as that of $G(x)^{(AC)}$ with respect to the balanced 3D-tree (**10**). Hence, the cancellation for the 3D-tree (**10**), which is dually recognized to be a uninuclear and a binuclear tree, takes place so that the subtraction $G(x)^{(AC)} - C(x)^{(AC)}$ does not contain the 3D-tree (**10**).

Concretely speaking, the balanced 3D-tree (**10**) recognized by $G(x)^{(AC)}$ is a uninuclear 3D-tree having an isopropyl ligand ($-\text{CH}(\text{CH}_3)_2$), an isobutyl ligand ($-\text{CH}_2\text{CH}(\text{CH}_3)_2$), and two hydrogens, i.e., isopropylisobutylmethane. On the other hand, the balanced 3D-tree (**10**) recognized by $C(x)^{(AC)}$ is a binuclear 3D-tree having two isobutyl ligands, i.e., biisobutyl. Hence,

the cancellation mechanism for the 3D-tree **(10)** stems from the fact that the isopropylisobutyl-methane by $G(x)^{(AC)}$ and the biisobutyl by $C(x)^{(AC)}$ represent the same balanced 3D-tree **(10)**.

When a tree or 3D-tree has v vertices and e edges, it satisfies the relationship $v = e + 1$ in general. The discussion for Fig. 5 is based on the modified relationship $v - 2 = e - 1$, where the subtrahend 2 in the left-hand side ($v - 2$) corresponds to the twin-core of the 3D-tree **(10)**, while the subtrahend 1 in the right-hand side ($e - 1$) corresponds to the balance-edge of the 3D-tree **(10)**. As a result, the right-hand side ($e - 1$) indicates the number of slant-edges in the balanced 3D-tree **(10)**. Remember the correspondence between a twin-core from a viewpoint of vertices and a balance-edge from a viewpoint of edges.

Consequently, the cancellation between uninuclear 3D-trees and binuclear 3D-trees leaves no balanced 3D-tree, as shown in Fig. 5. This cancellation holds true for any balanced 3D-trees so that the subtraction $G(x)^{(AC)} - C(x)^{(AC)}$ does not contain balanced 3D-trees in general (cf. Fig. 4).

4.2.2 Partial Cancellation of Unbalanced 3D-Trees

The effect of an unbalanced tree on the enumeration result by $G(x)^{(AC)}$ is exemplified in Fig. 6, where there is no balanced edge. Let us select an unbalanced 3D-tree **(3)** to be counted. In the evaluation of **3** by $G(x)^{(AC)}$, however, each vertex (carbon atom) can be selected as the central atom of the tetrahedral skeleton **(8)**. When we select vertices (\circ) other than the core (\bullet) of **3**, we obtain the formulas shown as **17–22**. Once we select **3** as a 3D-tree to be counted, the uninuclear 3D-trees **(17–22)** are regarded as contaminants to be excluded. Note that each of the uninuclear 3D-trees **(17–22)** is not congruent to the 3D-tree **(3)** under the action of T_d .

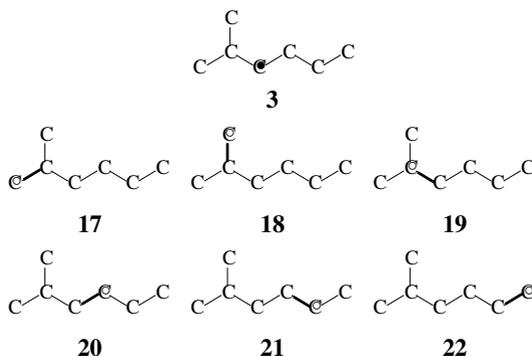


Figure 6: Unbalanced 3D-tree. Cancellation between uninuclear 3D-trees and binuclear 3D-trees leaves an unbalanced 3D-tree **(3)**.

By examining each of the 3D-trees **(17–22)** as a binuclear 3D-tree, we take account of a boldfaced edge incident to each uninucleus (\circ), where the other terminal vertex of the boldfaced edge accommodates a ligand containing the core (\bullet) of **3**. Such a ligand as containing a balance-edge is called a *superior ligand* for an unbalanced 3D-tree. Because the presence of such a superior ligand means that the edge at issue is not balanced, it is called a *slant-edge*. Once we select **3** as a 3D-tree to be counted, each superior ligand can be regarded as being fixed. On the

same line as pointed out in the discussions for Fig. 5, this condition means that the enumeration as uninuclear 3D-trees is the same thing as the enumeration as binuclear 3D-trees. Hence, the cancellation of the 3D-trees (17–22) takes place so that the subtraction $G(x)^{(AC)} - C(x)^{(AC)}$ cancels the 3D-trees (17–22) out, but leaves **3** as an unbalanced 3D-tree to be counted.

The discussion for Fig. 6 is based on the relationship $v - 1 = e$, which holds true for trees or 3D-trees in general. The subtrahend 1 in the left-hand side ($v - 1$) corresponds to the core of the 3D-tree (**3**). No subtrahend in the right-hand side (e) implies that the 3D-tree (**3**) has no balance-edge. As a result, the right-hand side (e) indicates the number of slant-edges in the unbalanced 3D-tree (**3**).

It should be noted that the retained unbalanced 3D-tree (**3**) is uniquely determined because the core (**●**) can be selected to be identical with its centroid or with either one vertex of its bicenter. In general, such a core (**●**) in an unbalanced 3D-tree can be selected to be identical with a centroid or either one vertex of its bicentroid; or with a center or either one vertex of its bicenter. The selection of such a core is assured by the dichotomy of centroidal and bicentroidal 3D-trees or by the dichotomy of central and bicentral 3D-trees.

4.2.3 Unbalanced 3D-Trees as Residual 3D-Trees

The discussions developed for balanced 3D-trees (Fig. 5) and unbalanced 3D-trees (Fig. 6) show that the subtraction $G(x)^{(AC)} - C(x)^{(AC)}$ leaves unbalanced 3D-trees to be counted. See Fig. 4.

Let $U_k^{(AC)}$ be the number of unbalanced 3D-trees of carbon content k , where the number of achiral ones and the number of enantiomeric pairs of chiral ones are summed up. The number $U_k^{(AC)}$ is the coefficient of the term x^k appearing in a generating function:

$$U(x)^{(AC)} = \sum_{k=1}^{\infty} U_k^{(AC)} x^k. \quad (24)$$

This generating function is evaluated by the following relationship:

$$U(x)^{(AC)} = G(x)^{(AC)} - C(x)^{(AC)}. \quad (25)$$

Because of eq. 20 for $G(x)^{(AC)}$ and eq. 23 for $C(x)^{(AC)}$, eq. 25 is converted into a functional equation:

$$U(x)^{(AC)} = \frac{x}{24} \{b(x)^4 + 3b(x^2)^2 + 8b(x)b(x^3) + 6a(x)^2c(x^2) + 6c(x^4)\} - \frac{1}{4} \{(b(x) - 1)^2 + (b(x^2) - 1) + (a(x) - 1)^2 + (c(x^2) - 1)\}. \quad (26)$$

By using the coefficients of $G(x)^{(AC)}$ and $C(x)^{(AC)}$, we obtain the following relationship:

$$U_k^{(AC)} = G_k^{(AC)} - C_k^{(AC)}, \quad (27)$$

where the right-hand side is derived by using $G_k^{(AC)}$ (eq. 18) and $C_k^{(AC)}$ (eq. 21).

4.3 Enumeration of Balanced 3D-Trees

Let $B_k^{(AC)}$ be the number of balanced 3D-trees of carbon content k , where the number of achiral ones and the number of enantiomeric pairs of chiral ones are summed up. The number $B_k^{(AC)}$ is

the coefficient of the term x^k appearing in a generating function:

$$B(x)^{(AC)} = \sum_{k=1}^{\infty} B_k^{(AC)} x^k. \quad (28)$$

Because a balanced 3D-tree is regarded as a symmetric uninuclear 3D-tree (promolecule), it has a set of two achiral ligands of the same kind (A—A), a set of two chiral ligands of the same kind ($p-p/\bar{p}-\bar{p}$), or a pair of enantiomeric ligands ($p-\bar{p}$). In order to satisfy these modes of chirality fittingness, the terms b_2 and c_2 are selected among the terms contained in the right-hand side of eq. 22 so as to give the following CI-CF:

$$\text{CI-CF}_S(\mathbf{D}_{\text{osh}}; b_d, c_d) = \frac{1}{4}(b_2 + c_2), \quad (29)$$

which counts achiral balanced 3D-trees (promolecules) and enantiomeric pairs of chiral balanced 3D-trees, where each pair of enantiomers is counted just once.

Let us consider the derivation of balanced 3D-trees by the substitution of the alkyl ligands (the planted promolecules) which have been counted by eqs. 8–10 (eqs. 11–13). This procedure means the replacement of c_d and b_d by $c(x^d) - 1$ and $b(x^d) - 1$, where the first term 1 (x^0) is subtracted from each of eqs. 11–13, because a null vertex (a hydrogen atom) is not permitted. Thereby, eq. 29 is converted into the following functional equation:

$$B(x)^{(AC)} = \frac{1}{2}\{(b(x^2) - 1) + (c(x^2) - 1)\}. \quad (30)$$

By combining eq. 30 with eq. 23, net contaminants are evaluated by the following functional equation:

$$\begin{aligned} A(x)^{(AC)} &= C(x)^{(AC)} - B(x)^{(AC)} \\ &= \frac{1}{4}\{(b(x) - 1)^2 - (b(x^2) - 1) + (a(x) - 1)^2 - (c(x^2) - 1)\}. \end{aligned} \quad (31)$$

4.4 Enumeration of 3D-Trees

Let $N_k^{(AC)}$ be the total number of 3D-trees of carbon content k , where the number of achiral ones and the number of enantiomeric pairs of chiral ones are summed up. The number $N_k^{(AC)}$ is the coefficient of the term x^k appearing in a generating function:

$$N(x)^{(AC)} = \sum_{k=1}^{\infty} N_k^{(AC)} x^k. \quad (32)$$

The generating function can be evaluated by summing up $U(x)^{(AC)}$ and $B(x)^{(AC)}$ or by subtracting $A(x)^{(AC)}$ from $G(x)^{(AC)}$. By summing up eq. 26 and eq. 30, we obtain the following functional equation:

$$\begin{aligned} N(x)^{(AC)} &= G(x)^{(AC)} - A(x)^{(AC)} \\ &= U(x)^{(AC)} + B(x)^{(AC)} \\ &= \frac{x}{24}\{b(x)^4 + 3b(x^2)^2 + 8b(x)b(x^3) + 6a(x)^2c(x^2) + 6c(x^4)\} \\ &\quad - \frac{1}{4}\{(b(x) - 1)^2 - (b(x^2) - 1) + (a(x) - 1)^2 - (c(x^2) - 1)\}, \end{aligned} \quad (33)$$

which gives the total number of 3D-trees of carbon content k as the coefficient of the term x^k .

4.5 Implementation of a Program for Counting 3D-Trees

The functional equations $U(x)^{(AC)}$ (eq. 26), $B(x)^{(AC)}$ (eq. 30), and $N(x)^{(AC)}$ (eq. 33) are programmed by means of the Maple programming language to give the following code, which is stored in a file named “NUB-AC1-100.mpl” tentatively.

A Maple program for counting alkanes, “NUB-AC1-100.mpl”:

```
"Functional Equations for Alkyl Ligands";
ax := 1 + x*a1*c2;
cx := 1+ (1/3)*x^2*c2^3 + (2/3)*x^2*c6;
bx := 1 + (1/3)*x*b1^3 + (2/3)*x*b3;

"Initial Values";
a1 := 1; a2 := 1;
b1 := 1; b2 := 1; b3 := 1;
c2 := 1; c4 := 1; c6 := 1;

"Recursive Calculation";
for ccntt from 1 to 100 by 1 do
ccntt:
Cbx:= coeff(bx,x^ccntt):
Cax:= coeff(ax,x^ccntt):
Ccx:= coeff(cx,x^(ccntt*2)):
a1 := a1 + Cax*x^ccntt:
a2 := a2 + Cax*x^(ccntt*2):
b1 := b1 + Cbx*x^ccntt:
b2 := b2 + Cbx*x^(ccntt*2):
b3 := b3 + Cbx*x^(ccntt*3):
c2 := c2 + Ccx*x^(ccntt*2):
c4 := c4 + Ccx*x^(ccntt*4):
c6 := c6 + Ccx*x^(ccntt*6):
end do;

"Achiral Alkanes + Enantiomeric Pairs";
UxAC := (x/24)*(b1^4 + 3*b2^2 + 8*b1*b3 + 6*a1^2*c2 + 6*c4)
- (1/4)*((b1-1)^2 + (b2-1) + (a1-1)^2 + (c2-1)):
BxAC := (1/2)*((b2-1) + (c2-1)):
NxAC := (x/24)*(b1^4 + 3*b2^2 + 8*b1*b3 + 6*a1^2*c2 + 6*c4)
- (1/4)*((b1-1)^2 - (b2-1) + (a1-1)^2 - (c2-1)):

"Print-Out of Results";
for ccntt from 1 to 100 by 1 do
printf("%d & %d & %d & %d \\\n",
ccntt,
coeff(UxAC,x^ccntt),
coeff(BxAC,x^ccntt),
coeff(NxAC,x^ccntt));
end do;
```

In this code, the abbreviated symbols for functional equations are used as follows: a1 for $a(x)$, a2 for $a(x^2)$, b1 for $b(x)$, and so on. The first paragraph (“Functional Equations for Alkyl Ligands”) declares three functional equations (eqs. 11–13). In the 2nd paragraph (“Initial Values”), the initial values for the initial (trivial) planted 3D-tree are set to be $\alpha_0 = 1$, $\gamma_0 = 1$, and $\beta_0 = 1$ by encoding a1 := 1; a2 := 1; and so on. The 3rd paragraph (“Recursive

Calculation”) involves a `do` loop for calculating α_k , γ_k , and β_k recursively ($1 \leq k \leq 100$) by using a Maple command `coeff`. After escaping from the `do` loop, the 4th paragraph (“Achiral Alkanes + Enantiomeric Pairs”) declares the calculation of `UxAC` for $U(x)^{(AC)}$ (eq. 26), `BxAC` for $B(x)^{(AC)}$ (eq. 30), and `NxAC` for $N(x)^{(AC)}$ (eq. 33). The 5th paragraph (the final `do` loop named “Print-Out of Results”) shows the print-out step of the calculation results.

The code is executed by inputting the following command on the Maple inputting window:

```
read "NUB-AC1-100.mpl";
```

Thereby, we obtain the coefficients $U_k^{(AC)}$ for eq. 24, $B_k^{(AC)}$ for eq. 28, and $N_k^{(AC)}$ for eq. 32, which are collected in Table 1.

5 Achiral and Chiral 3D-Trees

5.1 Itemization into Achiral and Chiral 3D-Trees

The methodology described for evaluating the total number of 3D-Trees (Fig. 4) is modified in order to itemize 3D-trees into achiral and chiral ones. The functional equation $\widehat{G}(x)^{(A)}$ for counting achiral uninuclear 3D-trees the functional equation $\widehat{G}(x)^{(C)}$ for counting chiral uninuclear 3D-trees exhibit irregular behaviors, which should be corrected to realize the achiral/chiral itemization, as shown in Fig. 7. The dichotomy between achiral balanced 3D-trees and achiral unbalanced ones as well as the dichotomy between chiral balanced 3D-trees and chiral unbalanced ones are useful guides to the itemized calculations.

5.2 Achiral 3D-Trees

5.2.1 Achiral Unbalanced 3D-Trees

To evaluate the gross number of achiral uninuclear 3D-trees, the first proposition of Theorem 4 for the enumeration of achiral ligands [20] is used to derive the following CI-CF_A:

$$\begin{aligned} \text{CI-CF}_A(\mathbf{T}_d; a_d, b_d, c_d) &= 2\text{CI-CF}(\mathbf{T}_d; a_d, b_d, c_d) - \text{CI-CF}(\mathbf{T}; b_d) \\ &= \frac{1}{2}(a_1^2 c_2 + c_4), \end{aligned} \quad (34)$$

which counts achiral promolecules only. By substituting $a(x^d)$, $c(x^d)$, and $b(x^d)$ for a_d , c_d , and b_d in eq. 34, we obtain the following functional equation:

$$G(x)^{(A)} = \frac{x}{2} \{a(x)^2 c(x^2) + c(x^4)\}. \quad (35)$$

However, eq. 34 and the corresponding functional equation (eq. 35) underestimate *meso*-cases, which should be corrected as follows:

$$\frac{1}{2}(c_2 - a_2). \quad (36)$$

Note that the sphericity index c_2 evaluates a set of achiral ligands of the same kind along with a pair of enantiomeric ligands (i.e., a pseudoasymmetric case), while the sphericity index a_2 alternatively evaluates the set of achiral ligands of the same kind. Hence, the subtraction $\frac{1}{2}(c_2 -$

Table 1: Numbers of 3D-Trees or Alkanes as Stereoisomers

k	$U_k^{(AC)}$ (Unbalanced 3D-trees)	$B_k^{(AC)}$ (Balanced 3D-trees)	$N_k^{(AC)}$ (Total 3D-trees)
1	1	0	1
2	1	0	1
3	1	0	1
4	1	1	2
5	3	0	3
6	3	2	5
7	9	5	14
8	14	9	23
9	38	11	49
10	77	11	88
11	203	0	203
12	481	28	509
13	1299	0	1299
14	3385	74	3459
15	9347	0	9347
16	25691	199	25890
17	72505	0	72505
18	205326	551	205877
19	589612	0	589612
20	1702022	1553	1703575
21	4954686	0	4954686
22	14497672	4436	14502108
23	334714436	0	334714436
24	126167658	12832	126180490
25	374749447	0	374749447
26	117468456	37496	117505952
27	334714436	0	334714436
28	10045038039	110500	10045148539
29	30264120901	0	30264120901
30	91449349786	328092	91449677878
31	277096805630	0	277096805630
32	841782853026	980491	841783833517
33	2563418291362	0	2563418291362
34	7823940717019	2946889	7823943663908
35	2391052067297	0	2391052067297
36	7354582429219	8901891	73545833181110
37	225226025743122	0	225226025743122
38	692862443612081	27012286	692862470624367
39	21510923926173	0	21510923926173
40	659022353710379	82300275	6590223616010654
41	2037287680255143	0	2037287680255143
42	63073132299024179	251670563	6307313255694742
43	195544793394384827	0	195544793394384827
44	607057683359184557	772160922	60705768413134547
45	1886989279103128211	0	1886989279103128211
46	5712733573973665254	2376294040	5712733574142957574
47	18298681742426380229	0	18298681742426380229
48	57080340536901942743	7333282754	5708034054423225497
49	178246302614039769705	0	178246302614039769705
50	557189473879833624598	22688455980	55718947390252108078
51	174345977870305954708	0	174345977870305954708
52	5460633705720107834856	70361242924	546063370579046907780
53	171186065005381104931615	0	171186065005381104931615
54	57112728231114765739820	218679264772	571127282313344050474
55	16867682717745824624500	0	16867682717745824624500
56	530139017193401579206086	681018679604	530139017194082597885690
57	166750704410639670614662	0	166750704410639670614662
58	524900797643891426270265	2124842137550	5249007976441039104092815
59	16535111535321800418856805	0	16535111535321800418856805
60	52125067168066138719692353	6641338630714	52125067168092780058323067
61	16443169100469092819398001	0	16443169100469092819398001
62	51905586854802779128818096	207920031836	519055868548048583292112362
63	163954420628876258253253718	0	163954420628876258253253718
64	5182076144231327740207559121	65193446172901	51820761442313292933653732022
65	1638881993489362771145916767	0	1638881993489362771145916767
66	5186170597581423492821877183	204079353135917	518617059758162820217501310
67	164207682036849477130738064324	0	164207682036849477130738064324
68	52021203276897073791768872113	643665829838369	5202120327689713815818560502
69	1648923729893987703415359750119	0	1648923729893987703415359750119
70	5229435060893541830817748427471	522943506089354385729120295637	522943506089354385729120295637
71	16592589875613236009091193392608	0	16592589875613236009091193392608
72	52673849989526617417137489471653	6387637263287353	52673849989526623804774612799886
73	16729542446420421785279087528744	0	16729542446420421785279087528744
74	53158844468052486488061177525111	20157546705808565	531588444680524885046078833676
75	1689911835064564750230170718349855	0	1689911835064564750230170718349855
76	5374578409356516213138182375831610	63680191033811326	537457840935651627681837409642936
77	1710060975107414421717560007768366	0	1710060975107414421717560007768366
78	544328178143052045919817954475080	201379876145388644	5443281781430520479357804909966372
79	173354687781637615715568474781956550	0	173354687781637615715568474781956550
80	5708623288721356734203840438520448	63745629596779429	5708623288721356740668624483349738570
81	175976731349647795590136185436237113	0	175976731349647795590136185436237113
82	5610324950610530124139712724123471796	2019689893743646699	5610324950610530126159411713497882495
83	1789292498780326824799846194126147310	0	1789292498780326824799846194126147310
84	5708623288721356734203840438520448	6404799147037290651	5708623288721356740668624483349738570
85	18219415779365570479423743891139390912	0	18219415779365570479423743891139390912
86	581683783004984677184425843366928845727	20327740716521351562	58168378300498467720475358408350197289
87	18577422803386734675742633721200301589	0	18577422803386734675742633721200301589
88	5935080927091835897387031849198524048	6456851030128910678	5935080927091835897387031849198524048
89	18967370991790038697065759667824906974	0	18967370991790038697065759667824906974
90	6063512216934093263151262843012991399522	205250829465372138276	606351221693409326317365136274836353779
91	1938985542293044700115167526347844155235	0	1938985542293044700115167526347844155235
92	620233644123045351108741203849918982185642	625930625323502669516	62023364412304535110939754901624483349738570
93	1984557489015003230954102094738322440355924	0	1984557489015003230954102094738322440355924
94	635180183882354210998858485281948822621989	65180183882354210999066369525525977369139	635180183882354210999066369525525977369139
95	20335387509472990198674865937576370002014764	0	20335387509472990198674865937576370002014764
96	651219043363723272791101693480154226478543	6621122347418605999236	65121904336372327279110939754901624483349738570
97	208602785663181655583000176804601552968239008	0	208602785663181655583000176804601552968239008
98	66838603557599743228429883664761081725668098	21105194400328603264540	66838603557599743228640956268076436778534488
99	21421364502989211476169148152426333333333333333	0	21421364502989211476169148152426333333333333333
100	686715954591644768644215639742188075033333333333	67315567136179501083166	68671595459164476864422371259801692982441885

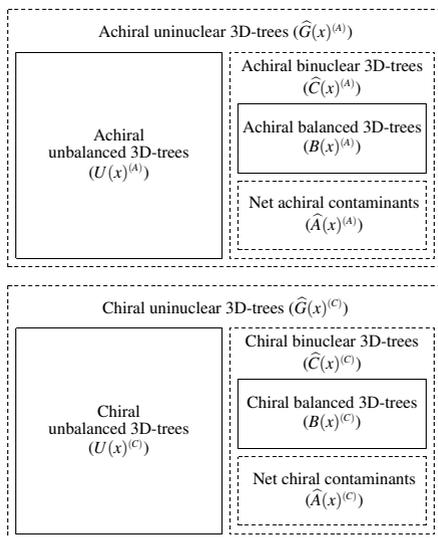


Figure 7: The dichotomy of balanced/unbalanced 3D-trees and for the dual recognition as uninuclear 3D-trees and binuclear 3D-trees. The total number of achiral or chiral trees is obtained by summing up the number of unbalanced 3D-trees and the the number of 3D-balanced trees, i.e., $N(x)^{(A)} = U(x)^{(A)} + B(x)^{(A)}$ or $N(x)^{(C)} = U(x)^{(C)} + B(x)^{(C)}$.

a_2) leaves each pair of enantiomeric ligands, where the average by 1/2 is necessary because such a pair of enantiomeric ligands is doubly counted in terms of the enantiospheric character of c_2 . This underestimation will be exemplified in the discussion of Fig. 8 later.

Let $\widehat{G}_k^{(A)}$ be the gross number of achiral uninuclear 3D-trees of carbon content k , which appears as each coefficient of the following generating function:

$$\widehat{G}(x)^{(A)} = \sum_{k=0}^{\infty} \widehat{G}_k^{(A)} x^k. \quad (37)$$

By substituting $a(x^d)$, $c(x^d)$, and $b(x^d)$ for a_d , c_d , and b_d in eq. 34 as well as by substituting $a(x^d) - 1$ and $c(x^d) - 1$ for a_d and c_d in eq. 36, we obtain the following functional equation:

$$\widehat{G}(x)^{(A)} = \frac{x}{2} \{a(x)^2 c(x^2) + c(x^4)\} + \frac{1}{2} \{(c(x^2) - 1) - (a(x^2) - 1)\}, \quad (38)$$

in which the underestimation due to *meso*-cases has been corrected by adding the term shown in the second pair of braces. Note that, because eq. 34 for CI-CF_A(**T**_d; a_d, b_d, c_d) ignores the nucleus of the parent promolecule tentatively (cf. **8**), the term in the first pair of braces of the functional equation (eq. 38) is obtained by multiplying by x .

To evaluate achiral binuclear 3D-trees as contaminants, the first proposition of Theorem 4 for the enumeration of achiral ligands [20] is applied to this case so as to derive the following

CI-CF_A:

$$\begin{aligned} \text{CI-CF}_A(\mathbf{D}_{\text{ach}}; a_d, b_d, c_d) &= 2\text{CI-CF}(\mathbf{D}_{\text{ach}}; a_d, b_d, c_d) - \text{CI-CF}(\mathbf{D}_{\infty}; b_d) \\ &= \frac{1}{2}(a_1^2 + c_2), \end{aligned} \quad (39)$$

which counts achiral uninuclear 3D-trees only.

Let $\widehat{C}_k^{(A)}$ be the number of achiral binuclear 3D-trees of carbon content k :

$$\widehat{C}(x)^{(A)} = \sum_{k=1}^{\infty} \widehat{C}_k^{(A)} x^k \quad (40)$$

By substituting $a(x^d) - 1$ and $c(x^d) - 1$ for a_d and c_d in eq. 39, we obtain the following functional equation:

$$\widehat{C}(x)^{(A)} = \frac{1}{2} \{ (a(x) - 1)^2 + (c(x^2) - 1) \}. \quad (41)$$

Let $U_k^{(A)}$ be the number of achiral unbalanced 3D-trees of carbon content k , which appears as each coefficient of the following subtraction:

$$U(x)^{(A)} = \sum_{k=0}^{\infty} U_k^{(A)} x^k. \quad (42)$$

According to the relationship shown in Fig. 7, eq. 42 is evaluated by the following functional equation:

$$U(x)^{(A)} = \widehat{G}(x)^{(A)} - \widehat{C}(x)^{(A)}. \quad (43)$$

By introducing eq. 38 and eq. 41 into eq. 43, we obtain the following functional equation:

$$\begin{aligned} U(x)^{(A)} &= \frac{x}{2} \{ a(x)^2 c(x^2) + c(x^4) \} + \frac{1}{2} \{ (c(x^2) - 1) - (a(x^2) - 1) \} \\ &\quad - \frac{1}{2} \{ (a(x) - 1)^2 + (c(x^2) - 1) \} \\ &= \frac{x}{2} \{ a(x)^2 c(x^2) + c(x^4) \} - \frac{1}{2} \{ (a(x) - 1)^2 + (a(x^2) - 1) \}. \end{aligned} \quad (44)$$

The underestimation of *meso*-cases by eq. 34 or by the corresponding functional equation $G(x)^{(A)}$ (eq. 35) is exemplified by Fig. 8, where *meso*-3,4-dimethylhexane is depicted in terms of the dual recognition as uninuclear and binuclear 3D-trees. Note that the *meso*-3,4-dimethylhexane is an achiral balanced 3D-tree.

Although *meso*-3,4-dimethylhexane is achiral, the formulas (24–29) are recognized to be chiral uninuclear 3D-trees so that they do not contribute to $G(x)^{(A)}$ (eq. 35) nor to $\widehat{G}(x)^{(A)}$ (eq. 38). At the same time, the formulas (24–29) are recognized to be chiral binuclear 3D-trees so that they do not contribute to $\widehat{C}(x)^{(A)}$ (eq. 40). As the result, the cancellation mechanism shown in Fig. 7 works well in eq. 43, where even the incorrect determination of the achirality/chirality for 24–29 causes no erroneous effects.

In contrast, the formula (23) causes an irregular effect. The formula (23) is irregularly determined to be chiral if it is recognized as a uninuclear 3D-tree. This means that it does not contribute to the evaluation of achiral stereoisomers by $G(x)^{(A)}$ (eq. 35) so as to cause the underestimation of $G(x)^{(A)}$ (eq. 35) by one unit. Hence, the functional equation $G(x)^{(A)}$ should

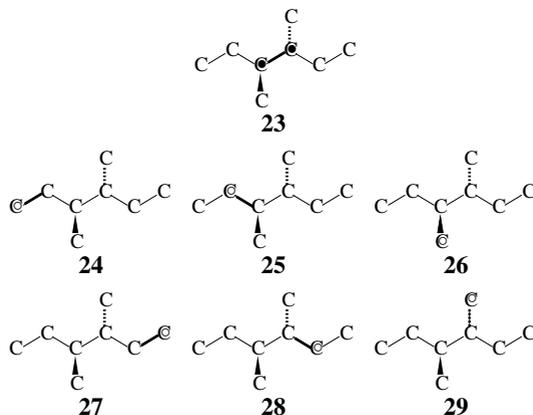


Figure 8: Balanced 3D-tree of *meso*-type. Cancellation between uninuclear 3D-trees and binuclear 3D-trees causes an irregular effect because the achirality/chirality of **23** is recognized irregularly.

be corrected to cover the underestimation by 1 due to each of such *meso*-cases as **23**, so that the correction of $G(x)^{(A)}$ (eq. 35) results in $\widehat{G}(x)^{(A)}$ (eq. 38).

If it is recognized as a binuclear tree, on the other hand, the formula (**23**) is determined to be achiral (i.e., as a pseudoasymmetric case). Hence, it contributes by 1 to $\widehat{C}(x)^{(A)}$ (eq. 40). It follows that the functional equation $U(x)^{(A)}$ (eq. 43) gives correct values of achiral unbalanced 3D-trees only when $\widehat{G}(x)^{(A)}$ is corrected as found in eq. 38.

5.2.2 Achiral Balanced 3D-Trees

Achiral balanced 3D-trees are symmetric binuclear 3D-trees represented by X—X ($\mathbf{D}_{\infty h}$) or p— \bar{p} ($\mathbf{C}_{\infty h}$), which are characterized by 2-cycles (i.e., a_2 and c_2). Although we here omit the details of the derivation, we obtain the following CI-CF:

$$\frac{1}{2}(a_2 + c_2), \quad (45)$$

where the top fraction (1/2) represents the average of the results due to the two terms at issue.

Let $B_k^{(A)}$ be the number of achiral balanced 3D-trees of carbon content k , which appears as the coefficient of x^k in the following generating function:

$$B(x)^{(A)} = \sum_{k=1}^{\infty} B_k^{(A)} x^k. \quad (46)$$

By substituting $a(x^d) - 1$ and $c(x^d) - 1$ for a_d and c_d in the right-hand side of eq. 45, we obtain the corresponding functional equation:

$$B(x)^{(A)} = \frac{1}{2} \{ (a(x^2) - 1) + (c(x^2) - 1) \}. \quad (47)$$

By combining eq. 41 with eq. 47, net contaminants are evaluated by the following functional equation:

$$\begin{aligned}\widehat{A}(x)^{(A)} &= \widehat{C}(x)^{(A)} - B(x)^{(A)} \\ &= \frac{1}{4}\{(a(x) - 1)^2 - (a(x^2) - 1)\}.\end{aligned}\quad (48)$$

See Fig. 7 again to grasp this relationship.

5.2.3 Enumeration of Achiral 3D-Trees

Let $N_k^{(A)}$ be the total number of achiral 3D-trees of carbon content k , where the number of achiral 3D-trees and the number of enantiomeric pairs of chiral ones are summed up. The number $N_k^{(A)}$ is the coefficient of the term x^k appearing in a generating function:

$$N(x)^{(A)} = \sum_{k=1}^{\infty} N_k^{(A)} x^k. \quad (49)$$

The generating function can be evaluated by summing up $U(x)^{(A)}$ and $B(x)^{(A)}$ or by subtracting $\widehat{A}(x)^{(A)}$ from $\widehat{G}(x)^{(A)}$. By summing up eq. 44 and eq. 47 or by subtracting eq. 48 from eq. 38, we obtain the following functional equation:

$$\begin{aligned}N(x)^{(A)} &= \widehat{G}(x)^{(A)} - \widehat{A}(x)^{(A)} \\ &= U(x)^{(A)} + B(x)^{(A)} \\ &= \frac{x}{2}\{a(x)^2 c(x^2) + c(x^4)\} - \frac{1}{2}\{(a(x) - 1)^2 - (c(x^2) - 1)\}.\end{aligned}\quad (50)$$

which gives the total number of achiral 3D-trees of carbon content k as the coefficient of the term x^k .

5.2.4 Implementation of a Program for Counting Achiral 3D-Trees

The functional equations $U(x)^{(A)}$ (eq. 44), $B(x)^{(A)}$ (eq. 47), and $N(x)^{(A)}$ (eq. 50) are programmed by means of the Maple programming language to give the following code, which is stored in a file named "NUB-A1-100.mpl" tentatively.

A Maple program for counting achiral alkanes, "NUB-A1-100.mpl":

```
"Functional Equaitons for Alkyl Ligands";
(omitted)

"Initial Values";
(omitted)

"Recursive Calculation";
(omitted)

"Achiral Alkanes";
UxA := (x/2)*(a1^2*c2 + c4) - (1/2)*((a1-1)^2 + (a2-1));
BxA := (1/2)*((a2-1) + (c2-1));
NxX := (x/2)*(a1^2*c2 + c4) - (1/2)*((a1-1)^2 - (c2-1));
```

Table 2: Numbers of Achiral 3D-Trees or Alkanes as Stereoisomers

k	$U_k^{(A)}$ (Achiral unbalanced 3D-trees)	$B_k^{(A)}$ (Achiral balanced 3D-trees)	$N_k^{(A)}$ (Total Achiral 3D-trees)
1	1	1	0
2	0	0	1
3	1	1	1
4	1	0	1
5	3	0	2
6	3	0	3
7	7	2	5
8	10	4	7
9	21	4	14
10	32	8	21
11	61	8	40
12	100	18	40
13	186	0	118
14	311	44	186
15	567	0	355
16	970	111	567
17	1755	0	1081
18	3029	296	1755
19	5454	0	3325
20	9495	811	5454
21	17070	0	10306
22	29857	2279	17070
23	53628	0	32136
24	94184	6520	53628
25	169175	0	100704
26	297941	18933	169175
27	535267	0	316874
28	944956	55568	535267
29	1698322	0	1000524
30	3003887	164613	1698322
31	5400908	0	316874
32	9568596	491227	5400908
33	1721368	0	1005923
34	30535339	1475197	1721368
35	54947147	0	32010736
36	97605577	4453995	54947147
37	175702378	0	10205972
38	312451188	13511597	175702378
39	562645937	0	325902785
40	1001535506	41159667	562645937
41	1804088396	0	1042695173
42	3214198000	125852346	1804088396
43	5791497722	0	334050346
44	10326520690	386110379	5791497722
45	18611821161	0	10712631069
46	33210027848	1188200648	18611821161
47	59870273288	0	3439823496
48	106901100844	3666735665	59870273288
49	192762694240	0	110567836509
50	344398254827	11344397151	192762694240
51	621145058010	0	355742651978
52	1110394578250	35180919588	621145058010
53	2003060193783	0	1145575494838
54	3582665399751	109340167653	2003060193783
55	6464001746606	0	3692005567404
56	11567121483420	340510285076	6464001746606
57	20873421744469	0	11907631768496
58	37369250525887	1062422767060	20873421744469
59	67445191538640	0	38431673292947
60	120796806131358	3320672319811	67445191538640
61	218049903481679	0	124117478451169
62	390689886666232	10396007051826	218049903481679
63	705330165952872	0	40108593718058
64	1254240667134616	32596732656028	705330165952872
65	2282686396696017	0	1296837399790644
66	4092934323957245	102354693779227	2282686396696017
67	7391016289967130	0	4195289017736472
68	13256686348542663	321832945456486	7391016289967130
69	23941657967808209	0	1357831929399149
70	4295539449512661	1013230740858730	23941657967808209
71	77586381466034947	0	43968770190371391
72	139244197528118594	319381872952320	77586381466034947
73	251528935349306793	0	142438016257370897
74	451543239869815911	10078773528606385	251528935349306793
75	815741140338068227	0	461622013398422296
76	1464796231938726842	31840095829362305	815741140338068227
77	264648996299591485	0	1496636327768089147
78	4753381883431698309	100689938635340259	264648996299591485
79	8588824555666539622	0	4854071822067038568
80	1543008848865345892	318728148984934750	8588824555666539622
81	27882748457230290862	0	1574881665768293462
82	50103432788380077848	1009849496491319969	27882748457230290862
83	9556649262546391521	0	5113282284871397817
84	16273970806078971847	3202399576732860390	9556649262546391521
85	294122275423916054352	0	165942107637768089147
86	52873814518531982974	10163870364052173503	294122275423916054352
87	9556649262546391521	0	53890201554931996477
88	1718317030140838398434	32284255160971103895	9556649262546391521
89	3105981799108470323147	0	1750601285301809502329
90	558368365158564442875	102625414751297888081	3105981799108470323147
91	10678552739916704811839	0	5688309066336942330956
92	18161635403115174163032	326465312694964146234	10678552739916704811839
93	32832697580700874763572	0	1848810071581035579296
94	5906560284022573478256	1039285352950447646863	32832697580700874763572
95	10678552739916704811839	0	60104861193173021125119
96	192137075503251426124774	3310561173816204194750	10678552739916704811839
97	347387515383940750498193	0	195447636677067630319524
98	625144758967206852442222	10552597200357064320094	347387515383940750498193
99	1130336590391716286393568	0	635697356167563916762316
100	2034413226413485412716350	33657783568434148965711	1130336590391716286393568
			2068071009981919561681921

```

for cntt from 1 to 100 by 1 do
printf("%d & %d & %d & %d \\\n",
cntt,
coeff(UxA, x^cntt),
coeff(BxA, x^cntt),
coeff(NxA, x^cntt));
end do;

```

In this code, the three paragraphs for “Functional Equations for Alkyl Ligands”, “Initial Values”, and “Recursive Calculation” are omitted because they are the same as those the code described above (“NUB-AC1-100.mpl”). The 4th paragraph (“Achiral Alkanes”) declares the calculation of U_{xA} for $U(x)^{(A)}$ (eq. 44), B_{xA} for $B(x)^{(A)}$ (eq. 47), and N_{xA} for $N(x)^{(A)}$ (eq. 50). The 5th paragraph (the final `do` loop named “Print-Out of Results”) shows the print-out of the calculation results.

The code is executed by inputting from the Maple inputting window. Thereby, we obtain the coefficients $U_k^{(A)}$ for eq. 42, $B_k^{(A)}$ for eq. 46, and $N_k^{(A)}$ for eq. 49, which are collected in Table 2 up to carbon content 100.

5.3 Chiral 3D-Trees

5.3.1 Chiral Unbalanced 3D-Trees

To evaluate the gross number of chiral 3D-trees, the second proposition of Theorem 4 for the enumeration of chiral ligands [20] is used to obtain the following CI-CF_C:

$$\begin{aligned}
 \text{CI-CF}_C(\mathbf{T}_d; a_d, b_d, c_d) &= \text{CI-CF}(\mathbf{T}, b_d) - \text{CI-CF}(\mathbf{T}_d, a_d, b_d, c_d) \\
 &= \frac{1}{24}(b_1^4 + 3b_2^2 + 8b_1b_3 - 6a_1^2c_2 - 6c_4), \tag{51}
 \end{aligned}$$

which counts chiral promolecules only, where each pair of enantiomers is counted just once. By substituting $a(x^d)$, $c(x^d)$, and $b(x^d)$ for a_d , c_d , and b_d in eq. 51, we can obtain the following functional equation:

$$G(x)^{(C)} = \frac{x}{24} \{ b(x)^4 + 3b(x^2)^2 + 8b(x)b(x^3) - 6a(x)^2c(x^2) - 6c(x^4) \}. \tag{52}$$

In contrast to eqs. 34 and 35, eq. 51 and the corresponding functional equation $G(x)^{(C)}$ (eq. 52) overestimate *meso*-cases, which should be reversely corrected by means of eq. 36. Remember **23** (Fig. 8), which reversely causes the overestimation of $G(x)^{(C)}$.

Let $\widehat{G}_k^{(C)}$ be the gross number of chiral uninuclear 3D-trees, where each pair of two enantiomers is counted just once. The corresponding generating function for enumerating them is represented as follows:

$$\widehat{G}(x)^{(C)} = \sum_{k=0}^n \widehat{G}_k^{(C)} x^k. \tag{53}$$

By substituting $a(x^d) - 1$, $c(x^d) - 1$, and $b(x^d) - 1$ for a_d , c_d , and b_d in 36 and by substituting $a(x^d)$, $c(x^d)$, and $b(x^d)$ for a_d , c_d , and b_d in eq. 51, we can obtain the following functional equation:

$$\begin{aligned}
 \widehat{G}(x)^{(C)} &= \frac{x}{24} \{ b(x)^4 + 3b(x^2)^2 + 8b(x)b(x^3) - 6a(x)^2c(x^2) - 6c(x^4) \} \\
 &\quad - \frac{1}{2} \{ (c(x^2) - 1) - (a(x^2) - 1) \}. \tag{54}
 \end{aligned}$$

To estimate chiral binuclear 3D-trees, the second proposition of Theorem 4 for the enumeration of chiral ligands [20] can be applied to obtain the following CI-CF_C:

$$\begin{aligned} \text{CI-CF}_C(\mathbf{D}_{\infty h}; a_d, b_d, c_d) &= \text{CI-CF}(\mathbf{D}_{\infty}; b_d) - \text{CI-CF}(\mathbf{D}_{\infty h}; a_d, b_d, c_d) \\ &= \frac{1}{4} (b_1^2 + b_2 - a_1^2 - c_2), \end{aligned} \quad (55)$$

which counts chiral promolecules only.

Let $\widehat{C}_k^{(c)}$ be the number of binuclear 3D-trees of carbon content k , which are enantiomeric pairs of chiral ones:

$$\widehat{C}(x)^{(c)} = \sum_{k=1}^{\infty} \widehat{C}_k^{(c)} x^k. \quad (56)$$

By replacing a_d , c_d , and b_d by $a(x^d) - 1$, $c(x^d) - 1$, and $b(x^d) - 1$ respectively, eq. 55 is converted into the corresponding functional equation as follows:

$$\widehat{C}(x)^{(c)} = \frac{1}{4} \{ (b(x) - 1)^2 + (b(x^2) - 1) - (a(x) - 1)^2 - (c(x^2) - 1) \}. \quad (57)$$

Let $U_k^{(c)}$ be the number of chiral unbalanced 3D-trees, where each pair of two enantiomers is counted just once. The corresponding generating function for enumerating them is represented as follows:

$$U(x)^{(c)} = \sum_{k=0}^n U_k^{(c)} x^k. \quad (58)$$

According to the methodology shown in Fig. 7, the subtraction of eq. 57 from eq. 54 gives the following functional equation:

$$\begin{aligned} U(x)^{(c)} &= \widehat{G}(x)^{(c)} - \widehat{C}(x)^{(c)} \\ &= \frac{x}{24} (b(x)^4 + 3b(x^2)^2 + 8b(x)b(x^3) - 6a(x)^2 c(x^2) - 6c(x^4)) \\ &\quad - \frac{1}{2} \{ (c(x^2) - 1) - (a(x^2) - 1) \} \\ &\quad - \frac{1}{4} \{ (b(x) - 1)^2 + (b(x^2) - 1) - (a(x) - 1)^2 - (c(x^2) - 1) \}. \\ &= \frac{x}{24} (b(x)^4 + 3b(x^2)^2 + 8b(x)b(x^3) - 6a(x)^2 c(x^2) - 6c(x^4)) \\ &\quad - \frac{1}{4} \{ (b(x) - 1)^2 + (b(x^2) - 1) - (a(x) - 1)^2 + (c(x^2) - 1) - 2(a(x^2) - 1) \}. \end{aligned} \quad (59)$$

5.3.2 Chiral Balanced 3D-Trees

Chiral balanced 3D-trees are asymmetric binuclear trees represented by $p\text{---}p/\overline{p}\text{---}\overline{p}$ (\mathbf{D}_{∞}), which are characterized by 2-cycles (i.e., b_2 minus a_2). Although we here omit the details of the derivation, we obtain the following CI-CF:

$$\frac{1}{2} (b_2 - a_2), \quad (60)$$

where the top fraction (1/2) represents the average of the results due to the two terms at issue.

Let $B_k^{(c)}$ be the number of achiral balanced 3D-trees of carbon content k , which appears as the coefficient of x^k in the following generating function:

$$B(x)^{(c)} = \sum_{k=1}^{\infty} B_k^{(c)} x^k. \quad (61)$$

By substituting $a(x^d) - 1$ and $b(x^d) - 1$ for a_d and b_d in the right-hand side of eq. 61, we obtain the corresponding functional equation:

$$B(x)^{(c)} = \frac{1}{2} \{ (b(x^2) - 1) - (a(x^2) - 1) \}. \quad (62)$$

By combining eq. 57 with eq. 62, net contaminants are evaluated by the following functional equation:

$$\begin{aligned} \widehat{A}(x)^{(c)} &= \widehat{C}(x)^{(c)} - B(x)^{(c)} \\ &= \frac{1}{4} \{ (b(x) - 1)^2 - (b(x^2) - 1) - (a(x) - 1)^2 - (c(x^2) - 1) + 2(a(x^2) - 1) \}. \end{aligned} \quad (63)$$

In order to grasp this relationship, refer to the bottom part of Fig. 7.

5.3.3 Enumeration of Chiral 3D-Trees

Let $N_k^{(c)}$ be the total number of chiral 3D-trees of carbon content k , where each enantiomeric pair of chiral 3D-trees is counted just once. The number $N_k^{(c)}$ is the coefficient of the term x^k appearing in a generating function:

$$N(x)^{(c)} = \sum_{k=1}^{\infty} N_k^{(c)} x^k. \quad (64)$$

The generating function is evaluated by summing up $U(x)^{(c)}$ (eq. 59) and $B(x)^{(c)}$ (eq. 62) or by subtracting $\widehat{A}(x)^{(c)}$ (eq. 63) from $\widehat{G}(x)^{(c)}$ (eq. 54). Thereby, we obtain the following functional equation:

$$\begin{aligned} N(x)^{(c)} &= \widehat{G}(x)^{(c)} - \widehat{A}(x)^{(c)} \\ &= U(x)^{(c)} + B(x)^{(c)} \\ &= \frac{x}{24} (b(x)^4 + 3b(x^2)^2 + 8b(x)b(x^3) - 6a(x)^2c(x^2) - 6c(x^4)) \\ &\quad - \frac{1}{4} \{ (b(x) - 1)^2 - (b(x^2) - 1) - (a(x) - 1)^2 + (c(x^2) - 1) \}. \end{aligned} \quad (65)$$

which gives the total number of chiral 3D-trees of carbon content k as the coefficient of the term x^k .

5.3.4 Implementation of a Program for Counting Chiral 3D-Trees

The functional equations $U(x)^{(c)}$ (eq. 59), $B(x)^{(c)}$ (eq. 62), and $N(x)^{(c)}$ (eq. 65) are programmed by means of the Maple programming language. The resulting code is stored in a file named "NUB-C1-100.mpl" tentatively as follows.

A Maple program for counting chiral alkanes, "NUB-C1-100.mpl":

Table 3: Numbers of Chiral 3D-Trees or Alkanes as Stereoisomers

k	$U_k^{(C)}$ (Chiral unbalanced 3D-trees)	$B_k^{(C)}$ (Chiral balanced 3D-trees)	$N_k^{(C)}$ (Total Chiral 3D-trees)
1	0	0	0
2	0	0	0
3	0	0	0
4	0	0	0
5	0	0	0
6	0	0	0
7	2	0	2
8	4	1	5
9	17	0	17
10	45	3	48
11	142	0	142
12	381	10	391
13	1113	0	1113
14	3074	0	3074
15	8780	0	8780
16	24721	88	24809
17	70750	0	70750
18	202297	255	202552
19	584158	0	584158
20	1692527	742	1693269
21	4937056	0	4937056
22	14467815	2157	14469972
23	42617881	0	42617881
24	126073474	6312	126079786
25	374580272	0	374580272
26	1117170515	18563	1117189078
27	3344179169	0	3344179169
28	10044093083	54932	10044148015
29	3006242579	0	3006242579
30	91446345899	163479	91446509378
31	277091404722	0	277091404722
32	84177328430	489264	84177376630
33	2563401079994	0	2563401079994
34	7823910181480	1471692	7823911653172
35	23930997120150	0	23930997120150
36	73545726746642	4447896	7354573119538
37	225225850040744	0	225225850040744
38	692862131160893	13500689	692862144661582
39	213510867616236	0	213510867616236
40	659022532174873	41140608	659022537315481
41	20372874776166747	0	20372874776166747
42	63073129084826179	125818217	63073129210644396
43	195544787602887105	0	195544787602887105
44	60705767303266387	380605043	607057673418714410
45	186989260491307050	0	186989260491307050
46	587273370656363706	118809392	587273370775129098
47	182968616825610694	0	182968616825610694
48	570803403000841899	3666547089	570803403667389898
49	178246302421277075465	0	178246302421277075465
50	55718947353543369771	11344058820	5571894735467356000
51	174345977249140896998	0	174345977249160896998
52	5460633704609713259606	35180323336	546063370464893582942
53	1711860649853050299382	0	1711860649853050299382
54	63024007976401545012666378	10933909711	63024007976402667431636868
55	16867682717099424498994	0	16867682717099424498994
56	530139017181834457722666	34058394528	530139017182174966117194
57	166750704408552378870213	0	166750704408552378870213
58	5249007976401545012666378	1062419370490	524900797640176431636868
59	165351153525435227318165	0	165351153525435227318165
60	52125067167965349113560995	3320666319003	5212506716796862579871898
61	164431691004472878290416331	0	164431691004472878290416331
62	51905586854763710140244664	10395996250010	51905586854764797398394674
63	1639544206288057228087300846	0	1639544206288057228087300846
64	5182076144230063499540424505	32596713156873	518207614423006996253941378
65	163881993489134508062471050	0	163881993489134508062471050
66	5186170559757733054897919938	102354659356690	51861705597743291315727628
67	16420768203684208614448097194	0	16420768203684208614448097194
68	52021203276895748123154179450	321832884381903	52021203276895748123154179450
69	16489237298939637617573919410	0	16489237298939637617573919410
70	52293540608934987527829859810	101323063064436	522935406089349988850892994226
71	166925898756131589453172735741	0	166925898756131589453172735741
72	53745784035651474831950437104768	3193818534055050	537457840356514780120456157088
73	1672954244640399025634738221951	0	1672954244640399025634738221951
74	53158844468052413344821307709200	10078773177202180	5315884446805244232594484911380
75	16899118350645639344890380281628	0	16899118350645639344890380281628
76	53745784035651474831950437104768	31840095204449021	537457840356514780120456157088
77	1710060975107441570684646508176881	0	1710060975107441570684646508176881
78	544328178143051998381628922776771	100688937510048379	5443281781430519993950627032825156
79	17335468778163758926743919095416828	0	17335468778163758926743919095416828
80	55218805969078403744020251784936995	318728146981844679	55218805969078404602930162766781674
81	1759767313496477928067387728205946251	0	1759767313496477928067387728205946251
82	561032495061053007403627993574339948	1009849492883144730	561032495061053007403627993574339948
83	17892949878033267425380912198486012	0	17892949878033267425380912198486012
84	5708623288721356057146415930760339772	320239957030430261	570862328872135605746665588774770033
85	18219415779365570450011159486723336560	0	18219415779365570450011159486723336560
86	5816837830049846766536798181609022753	1016870352469178059	58168378300498467666581568534078200812
87	1857742801386734666017624435659914633	0	18577428013867346660176244435659914633
88	593508092709183589568714814836960125614	3228425514031800267	5935080927091835895909966977278128293
89	1896737709917900386586465579756977846527	0	1896737709917900386586465579756977846527
90	60635122169340923625947812734648467	102625414714074250195	60635122169340923626044561194846012
91	193898554229304469910544845715428219435	0	193898554229304469910544845715428219435
92	6202336441230453510908275896045802610	326465312628541252328	62023364412304535109090224208673199275892
93	198457489015003230921260939179621565592352	0	198457489015003230921260939179621565592352
94	63518018382354210992951924997952249143733	10329583283070100287	63518018382354210993058827892803761217740815
95	2033538750947299019856808038483453337202925	0	2033538750947299019856808038483453337202925
96	6512199033637272727295879859301902794521009	313056117360240180486	6512199033637272727260190420475505196325495
97	2086227856318655365278929930761271740815	0	2086227856318655365278929930761271740815
98	66838603557399743228217843607508901230126686	10552597199971538044446	668386035573997432282399624070887285907132
99	21421364502899214796056302954058265427126951	0	21421364502899214796056302954058265427126951
100	686715954591644768644012198419546726491061939	3365778367545352117955	6867159545916447686440155641979035010262736934

```

"Functional Equaitons for Alkyl Ligands";
(omitted)

"Initial Values";
(omitted)

"Recursive Calculation";
(omitted)

"Chiral Alkanes";
UxC := (x/24)*(b1^4 + 3*b2^2 + 8*b1*b3 - 6*a1^2*c2 - 6*c4)
- (1/4)*((b1-1)^2 + (b2-1) - (a1-1)^2 + (c2-1) - 2*(a2-1));
BxC := (1/2)*((b2-1) - (a2-1));
NxC := (x/24)*(b1^4 + 3*b2^2 + 8*b1*b3 - 6*a1^2*c2 - 6*c4)
- (1/4)*((b1-1)^2 - (b2-1) - (a1-1)^2 + (c2-1));

for ccntt from 1 to 100 by 1 do
printf("%d & %d & %d & %d \\\n",
ccntt,
coeff(UxC,x^ccntt),
coeff(BxC,x^ccntt),
coeff(NxC,x^ccntt));
end do;

```

Because the three paragraphs for “Functional Equations for Alkyl Ligands”, “Initial Values”, and “Recursive Calculation” are the same as described above (“NUB-AC1-100.mpl”), they are omitted. The 4th paragraph (“Chiral Alkanes”) declares the calculation of U_{xC} for $U(x)^{(C)}$ (eq. 59), B_{xC} for $B(x)^{(C)}$ (eq. 62), and N_{xC} for $N(x)^{(C)}$ (eq. 65). The 5th paragraph (the final `do` loop named “Print-Out of Results”) shows the print-out step of the calculation results.

The code is executed on the Maple inputting window. Thereby, we obtain the coefficients $U_k^{(A)}$ for eq. 58, $B_k^{(A)}$ for eq. 61, and $N_k^{(A)}$ for eq. 64. They are collected in Table 3 up to carbon content 100.

6 Discussions

6.1 Cores vs. Twin-Cores

In connection with Fig. 4, the dichotomy between balanced and unbalanced 3D-trees is understandable in terms of the distinct effects of cores vs. twin-cores, as summarized in Table 4. It should be emphasized that a twin-core corresponds to a balance-edge (cf. Fig. 5), while a core corresponds to no edges (cf. Fig. 6).

1. Each of balanced 3D-trees is characterized by a balance-edge, which is contained in a representative binuclear 3D-tree (cf. Fig. 5). From a viewpoint of vertices, each balanced 3D-tree, which is to be counted just once, has a twin-core and the remaining uninuclei. From a view point of edges, each balanced 3D-tree has slant-edges and a balanced edge.

The two viewpoints are correlated to each other by means of the correspondence between the slant-edges and the uninuclei (except the twin-core). This correspondence causes the cancellation between uninuclear 3D-trees and binuclear 3D-trees in the evaluation of balanced 3D-trees (cf. Fig. 5). Moreover, the twin-core corresponds to the balance-edge in one-to-one fashion so that the uninuclear 3D-tree (for the twin-core) and the

Table 4: Vertices and Edges in Balanced and Unbalanced 3D-Trees

dichotomy	vertices		edges
balanced 3D-trees	a twin-core + uninuclei	↔	slant-edges + a balance-edge
unbalanced 3D-trees	a core + uninuclei	↔	slant-edges (none)
	uninuclear 3D-Trees		binuclear 3D-Trees

binuclear 3D-tree (for the balance-edge) cancel out each other (cf. Fig. 5). It follows that $G(x)^{(AC)} - C(x)^{(AC)}$ leaves no balanced 3D-trees, as shown in Fig. 4.

- Each of unbalanced 3D-trees is characterized by a core, which is contained in a representative uninuclear 3D-tree selected from a set of uninuclear 3D-trees (cf. Fig. 6). From a viewpoint of vertices, each unbalanced 3D-tree, which is to be counted just once, has a core and the remaining uninuclei. Thereby, each unbalanced 3D-tree can be regarded as a kind of rooted or planted 3D-tree, if its core is regarded as a root or as a principal vertex incident to a root. From a view point of edges, each unbalanced 3D-tree has slant-edges and no balanced edges.

The two viewpoints are correlated to each other by means of the correspondence between the slant-edges and the uninuclei (except the core). This correspondence causes the cancellation between uninuclear 3D-trees and binuclear 3D-trees in the evaluation of unbalanced 3D-trees (cf. Fig. 5). However, the core does not correspond to any edge so that the uninuclear 3D-tree (for the core) is retained to be counted as an unbalanced 3D-tree (cf. Fig. 6). It follows that $G(x)^{(AC)} - C(x)^{(AC)}$ leaves unbalanced 3D-trees to be counted, as shown in Fig. 4.

6.2 Chirality and Achirality During Cancellation

An edge other than a balance-edge is called a slant-edge whether it is contained in balanced or unbalanced 3D-trees. Binuclear 3D-trees based on a slant-edge are categorized into four types shown in Fig. 9, if we take account of the superiority of the terminal vertices.

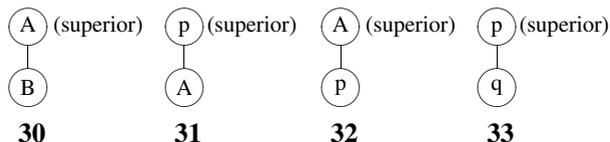


Figure 9: Binuclear 3D-trees based on a slant-edge. The symbols A and B represent achiral proligands, while p and q represent chiral proligands.

The chirality/achirality of a binuclear 3D-tree based on a slant-edge is identical with the chirality/achirality of the corresponding uninuclear 3D-trees.

1. Suppose that a binuclear 3D-tree based on a slant-edge is regarded as being chiral. Then, it is represented by either of the formulas **31–33**. Let us consider the terminal vertex other than the superior vertex of each formula as a uninucleus for generating uninuclear 3D-trees. If the resulting 3D-trees are achiral, the A of A–p should be chiral (\bar{p} for a *meso*-case), the p of p–A should be achiral, and the q of q–p should \bar{p} for a *meso*-case. These conclusions are inconsistent with the original presumption. Hence, the resulting uninuclear 3D-trees are concluded to be chiral.
2. Suppose that a binuclear tree based on a slant-edge is regarded as being achiral. Then, it is represented by the formula **30**. Let us consider the terminal vertex other than the superior vertex of each formula as a uninucleus for generating uninuclear 3D-trees. The resulting uninuclear 3D-trees are concluded to be achiral.

Binuclear 3D-trees based on a balance-edge are categorized into three types shown in Fig. 10. The irregular assignments pointed out in Fig. 7 are ascribed to *meso*-compounds of type p– \bar{p} .

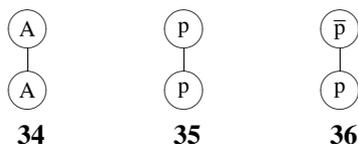


Figure 10: Binuclear 3D-trees based on a balance-edge. The symbol A represents an achiral proligand which p and \bar{p} represent a enantiomeric pair of chiral proligands.

1. An achiral binuclear 3D-tree of type A—A (**34**) can be regarded as a uninuclear 3D-tree if the upper A is fixed and the lower A contains a uninucleus to be taken into consideration. So long as the lower A covers achiral proligands, the resulting uninuclear 3D-trees are achiral. Note that the two A's are not equalized under the action of \mathbf{T}_d generating the uninuclear 3D-trees.
2. A chiral binuclear 3D-tree of type p—p/ \bar{p} — \bar{p} (**35**) can be regarded as a uninuclear 3D-tree if the upper p (or \bar{p}) is fixed and the lower p (or \bar{p}) contains a uninucleus to be taken into consideration. So long as the lower p covers chiral proligands, the resulting uninuclear 3D-trees are chiral. Note that the two p's (or \bar{p} 's) are not equalized under the action of \mathbf{T}_d generating the uninuclear 3D-trees.
3. With respect to achiral binuclear trees of type p— \bar{p} (**36**), we have discussed in terms of *meso*-cases in Fig. 8. The discussion for Fig. 8 can be extended to cover general cases. Although the p and the \bar{p} are equalized under the action of $\mathbf{D}_{\infty h}$ for binuclear 3D-trees, they are not equalized under the action of \mathbf{T}_d for the corresponding uninuclear 3D-tree. This means that the uninuclear 3D-tree is recognized to be chiral under the action of \mathbf{T}_d .

Consequently, the *meso*-cases (**36**) cause the underestimation of $\widehat{G}(x)^{(A)}$ (eq. 38) and the relevant overestimation of $\widehat{G}(x)^{(C)}$ (eq. 51).

6.3 Illustrative Examples of Balanced 3D-Trees

Because a chiral balanced 3D-tree of the type $p-p$ (or $\bar{p}-\bar{p}$) corresponds to an achiral balanced 3D-tree of the special type $p-\bar{p}$ (i.e., a *meso*-compound), the existence of the former one assures the occurrence of the latter one. By examining the $B_k^{(C)}$ -column of Table 3, the non-zero values of $B_k^{(C)}$ (for $k \geq 8$) indicate that the number $B_k^{(C)}$ of the corresponding *meso*-compounds are involved in the number $B_k^{(A)}$ of achiral balanced 3D-trees.

In order to exemplify the discussion in the preceding paragraph, let us examine balanced 3D-trees (alkanes) of carbon content 10. There exist eleven balanced 3D-trees, as found by the value $B_{10}^{(AC)} = 11$ (Table 1), which is partitioned into $B_{10}^{(A)} = 8$ for achiral stereoisomers (Table 2) and $B_{10}^{(C)} = 3$ for chiral stereoisomers (Table 3). The eight achiral balanced 3D-trees of carbon content 10 are depicted in Fig. 11, while the three chiral balanced 3D-trees of carbon content 10 are depicted in Fig. 12.

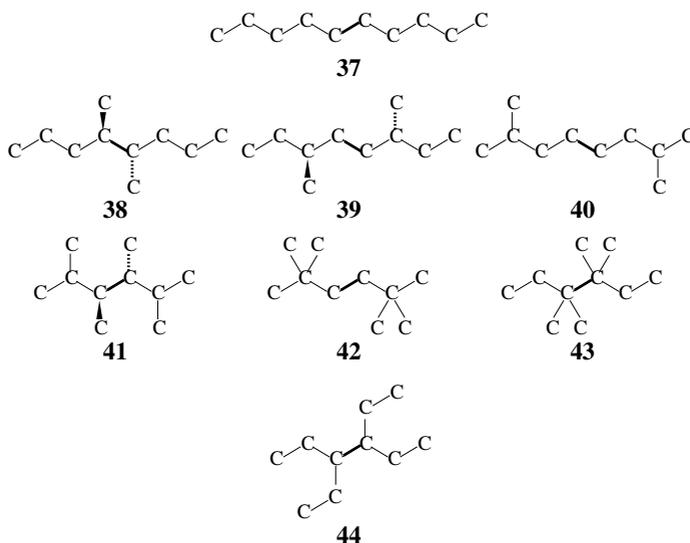


Figure 11: Achiral balanced alkanes (3D-trees) of carbon content 10. Among them, **38**, **39**, and **41** are *meso*-compounds. A boldfaced edge is a balance-edge.

Among the eight achiral balanced 3D-trees depicted in Fig. 11, three achiral 3D-trees, i.e., **38**, **39**, and **41**, are *meso*-compounds ($p-\bar{p}$). They respectively correspond to three chiral 3D-trees ($p-p$), i.e., **45**, **46**, and **47**, as depicted in Fig. 12. Note that $p-p$ ($\bar{p}-\bar{p}$) and $p-\bar{p}$ are identical as graphs, where the pair $p-p$ and $\bar{p}-\bar{p}$ represents a single constitutional isomer, e.g., a pair of **38** and **45**, a pair of **39** and **46**, and a pair of **41** and **47**.

6.4 Comments on an Earlier Accomplishment

Several comments on an earlier report by Robinson et al. [27] should be added in order to emphasize the effect of the sphericity concept. If we follows the present notations, their equation

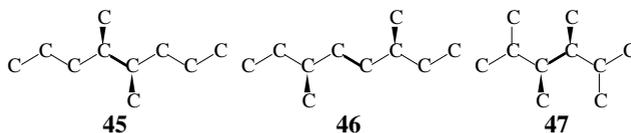


Figure 12: Chiral balanced alkanes (3D-trees) of carbon content 10. Either one is depicted as a representative of each pair of enantiomeric alkanes. A boldfaced edge is a balance-edge.

for evaluating the number of achiral isomers (eq. 19 of [27]) is represented by the following equation:

$$T(x)^{(a)} = \frac{x}{2} \{a(x)^2 s(x^2) + s(x^4)\}, \quad (66)$$

where the variables $s(x^2)$ and $s(x^4)$ without sphericity were used in place of the present component functions $c(x^2)$ and $c(x^4)$, which are used in eq. 35 on the basis of the corresponding SIs (c_2 and c_4). The disregard of the sphericity in their treatment implies that the following ligand inventories were used:

$$a(x^d) = A^d + B^d + X^d + Y^d \quad (67)$$

$$s(x^d) = A^d + B^d + X^d + Y^d + p^d + \bar{p}^d, \quad (68)$$

if achiral alkyl ligands are represented by the symbols A, B, X, Y; and an enantiomeric pair of chiral alkyl ligands is represented by p and \bar{p} . Note that the right-hand side of eqs. 67 and 68 can be expressed in the form of series of x after expansion. The introduction of eqs. 67 and 68 into eq. 66 and the subsequent expansion of the resulting equation give the following equation:

$$\begin{aligned} T = & [xA^4 + xB^4 + xX^4 + xY^4] + [xA^3B + xAB^3 + \cdots + xBY^3] \\ & + [xA^2B^2 + xA^2X^2 + \cdots + xX^2Y^2] + [xABX^2 + xABY^2 + \cdots + xXYB^2] \\ & + [(xABp^2 + xAB\bar{p}^2) + \cdots + (xXYp^2 + xXY\bar{p}^2)] \\ & + [(\frac{1}{2}xA^2p^2 + \frac{1}{2}xA^2\bar{p}^2) + \cdots + (\frac{1}{2}xY^2p^2 + \frac{1}{2}xY^2\bar{p}^2)] + [xp^2\bar{p}^2], \end{aligned} \quad (69)$$

where the terms in each pair of brackets represent isomers of the same type.

The terms $xABp^2$ and $xAB\bar{p}^2$ in eq. 69 respectively correspond to **48** and $\overline{48}$, which are chiral as shown in Fig. 13. Note that **48** and $\overline{48}$ are not recognized to be enantiomeric, because the variable $s(x^2)$ contained in $a(x)^2s(x^2)$ (eq. 66) represents the transitivity of two equivalent ligands p and p (or \bar{p} and \bar{p}) and does not represent the transitivity between p and \bar{p} . Thus, the two chiral isomers (**48** and $\overline{48}$) are counted separately in place of two diastereomers of pseudoasymmetry (**49** and **50**) to be counted. Hence, the enumeration result is inconsistent with the original purpose of eq. 66 for counting achiral isomers.

Moreover, the terms $\frac{1}{2}xA^2p^2$ and $\frac{1}{2}xA^2\bar{p}^2$ in eq. 69 show the irregularity of the enumeration using eq. 66. Because the terms can be combined into the term $\frac{1}{2}(xA^2p^2 + xA^2\bar{p}^2)$ which is correlated to an enantiomeric pair of chiral isomers, a pair of chiral isomers (**51** and $\overline{51}$) is counted just once in place of the corresponding achiral *meso*-like isomer (**52**) to be counted. Hence, the enumeration result is again inconsistent with the original purpose of eq. 66 for counting achiral isomers.

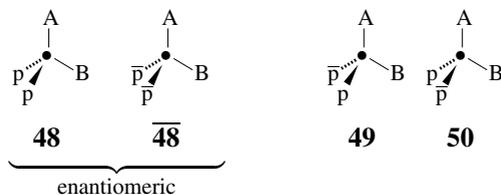


Figure 13: Enantiomeric pair and pseudoasymmetric cases.

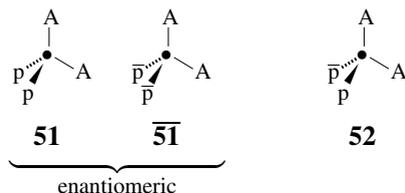


Figure 14: Enantiomeric pair and a *meso*-like case.

It should be emphasized that eq. 69 does not contain terms for representing pseudoasymmetric cases such as $xABp\bar{p}$, which correspond to **49** and **50**; nor terms for representing *meso*-like cases such as $xA^2p\bar{p}$, which correspond to **52**. These results imply that such pseudoasymmetric cases as **49** and **50** and such *meso*-like cases as **52** are erroneously recognized to be chiral in the treatment by Robinson et al.

On the other hand, the present approach uses eq. 35 and the following ligand inventories:

$$a(x^d) = A^d + B^d + X^d + Y^d \quad (70)$$

$$c(x^d) = A^d + B^d + X^d + Y^d + 2p^{d/2}\bar{p}^{d/2} \quad (71)$$

$$b(x^d) = A^d + B^d + X^d + Y^d + p^d + \bar{p}^d \quad (72)$$

according to Fujita's proligand method [18–21]. After the introduction of eqs. 70–72 into eq. 35, the resulting equation is expanded to give the following equation:

$$\begin{aligned} G = & [xA^4 + xB^4 + xX^4 + xY^4] + [xA^3B + xAB^3 + \dots + xBY^3] \\ & + [xA^2B^2 + xA^2X^2 + \dots + xX^2Y^2] + [xABX^2 + xABY^2 + \dots + xXYB^2] \\ & + [2xABp\bar{p} + 2xAXp\bar{p} + \dots + 2xXYp\bar{p}] \\ & + [xA^2p\bar{p} + xB^2p\bar{p} + xX^2p\bar{p} + xY^2p\bar{p}] + [xp^2\bar{p}^2]. \end{aligned} \quad (73)$$

This equation does not contain terms for representing chiral isomers such as the terms $xABp^2$ (or $xAB\bar{p}^2$) and $\frac{1}{2}xA^2p^2$ (or $\frac{1}{2}xA^2\bar{p}^2$). Moreover, it correctly contains terms for representing such pseudoasymmetric cases as $xABp\bar{p}$, which correspond to **49** and **50**, as well as terms for representing such *meso*-like cases as $xA^2p\bar{p}$, which corresponds to **52**. Note that the component $c(x^2)$ contained in $a(x)^2c(x^2)$ (eq. 35) represents the transitivity between p and \bar{p} so that **49** (or **50** or **52**) is recognized to be achiral. The enantiomeric pair of p and \bar{p} satisfies the enantiosphericity characterized by the $SI\ c_2$ through the component $c(x^2)$.

To emphasize the difference between T (eq. 69) and G (eq. 73), we calculate the subtraction $G - T$ as follows:

$$\begin{aligned}
 G - T &= [2xABp\bar{p} + 2xAXp\bar{p} + \cdots + 2xXYp\bar{p}] \\
 &\quad - [(xABp^2 + xAB\bar{p}^2) + \cdots + (xXYp^2 + xXY\bar{p}^2)] \\
 &\quad + [xA^2p\bar{p} + xB^2p\bar{p} + xX^2p\bar{p} + xY^2p\bar{p}] \\
 &\quad - [(\frac{1}{2}xA^2p^2 + \frac{1}{2}xA^2\bar{p}^2) + \cdots + (\frac{1}{2}xY^2p^2 + \frac{1}{2}xY^2\bar{p}^2)]. \quad (74)
 \end{aligned}$$

The first and second lines of the right-hand side of eq. 74 indicate the correspondence between the term $2xABp\bar{p}$ and the combined term $(xABp^2 + xAB\bar{p}^2)$ and so on. Obviously, the term $2xABp\bar{p}$ for representing pseudoasymmetric cases (**49** and **50**) becomes equal to the combined term $(xABp^2 + xAB\bar{p}^2)$ for representing **48** and **48** (cf. Fig. 13), only if their carbon contents alone are taken into consideration. The same situation holds true for the third and fourth lines of the right-hand side of eq. 74, which indicate the correspondence between the term $xA^2p\bar{p}$ and the combined term $\frac{1}{2}(xA^2p^2 + xA^2\bar{p}^2)$ and so on (cf. Fig. 14). In fact, we can obtain $G - T = 0$ by putting $p = \bar{p} = x^d$ in eq. 74. This is directly confirmed by examining eqs. 71 and 72, which can be equalized to eq. 68 (i.e., $c(x^d) = b(x^d) = s(x^d)$) because the relationship $p = \bar{p}$ results in $2p^{d/2}\bar{p}^{d/2} = p^d + \bar{p}^d$.

In conclusion, the treatment of Robinson et al. [27] was based on the presumption that such terms as $2xABp\bar{p}$ can be equalized to such combined terms as $(xABp^2 + xAB\bar{p}^2)$. In other words, their approach enumerates the two enantiomers (**48** and **48**) separately in place of the two diastereomers of pseudoasymmetry (**49** and **50**). It follows that their approach took no account of the sphericity concept, although their calculation results up to carbon content 14 were fortunately identical with the present results except some typesetting errors.

7 Conclusion

Alkanes are counted as 3D-trees or stereoisomers by means of Fujita's proligand method [18–20]. By starting from enumeration of alkyl ligands as planted 3D-trees, their substitution on a tetrahedral skeleton of T_d -symmetry is examined to generate uninuclear 3D-trees; at the same time, their substitution on a binuclear skeleton of $D_{\infty h}$ -symmetry is examined to generate binuclear 3D-trees. They are enumerated by using functional equations derived from cycle indices with chirality fittingness (CI-CFs), where the functions $a(x^d)$, $c(x^d)$, and $b(x^d)$ (or their modifications) are substituted for three kinds of sphericity indices (SIs), i.e., a_d for homospheric cycles, c_d for enantiospheric cycles, and b_d for hemispheric cycles. The values for binuclear 3D-trees are regarded as contaminants in the enumeration of uninuclear 3D-trees so that the subtraction of the contaminants from the latter enumeration leaves unbalanced 3D-trees to be counted. The enumeration of balanced 3D-trees is conducted distinctly by using the binuclear skeleton of $D_{\infty h}$ -symmetry. After the derivation of respective functional equations for counting alkanes as well as for itemizing them into achiral and chiral ones, they are programmed by means of the Maple programming language and executed up to carbon content 100.

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