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# Some Graphs with Minimum Hosova Index and Maximum Merrifield-Simmons Index

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#### Abstract

The Hosoya index of a graph is defined as the total number of the matchings of the graph and the Merrifield-Simmons index of a graph is defined as the total number of the independent sets of the graph. In this paper, we obtain the graphs with minimum Hosoya index among the trees with n vertices and diameter d. The extremal graphs is the same as ones given by X. Li et al with maximum Merrifield-Simmons index among such a class of graphs. Also, we give the graphs with both minimum Hosova index and maximum Merrifield-Simmons index among the trees with n vertices and r pendant vertices

#### 1 Introduction and Results

It is well known that a topological index is a map from the set of chemical compounds represented by molecular graphs to the set of real numbers. There are more than hundred topological indices available in the literature [1]. Many topological indices are closely correlated with some physico-chemical characteristics of the underlying compounds [2]. The Hosoya index is one of the topological indices. It was introduced by Hosoya in 1971 [3] and

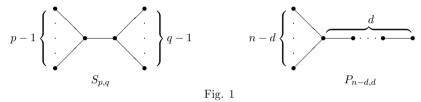
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was applied to correlations with boiling points, entropies, calculated bond orders, as well as for coding of chemical structures (see [4,5]). Since 1971, many authors have investigated the Hosoya index and many results are obtained (see [5-13]). Similar to the Hosoya index, the Merrifield and Simmons index is also a topological index whose correlation with the boiling points is shown in [4]. Its mathematical properties were studied in some details [2,13–26]. In particular, Li, Zhao and Gutman [2] gave the graphs with maximum Merrifield-Simmons index among the trees with order n and diameter d.

Recently, finding the graphs with both minimum Hosoya index and maximum Merrified-Simmons index attracted the attention of a few researchers and some results are achieved. Among these results, Gutman [27] pointed out the linear hexagonal chain is the unique hexagonal chain with minimum Hosoya index and maximum Merrifield-Simmons index among all the hexagonal chains with n hexagons. Zhang [13] noticed that the graph with minimum Hosoya index is also the graph with maximum Merrifield-Simmons index in some classes of graphs, such as hexagonal chains and catacondensed systems. Yu and Tian [28] characterized the graphs with minimum Hosoya index and maximum Merrified-Simmons index among the connected graphs with the given cyclomatic number and edgeindependence number.

In this paper, we give two classes of graphs, i.e. trees of n vertices with diameter d and trees of n vertices with r pendant vertices, in each of which the graph with minimum Hosoya index is also the graph with maximum Merrifield-Simmons index.

All graphs considered here are finite and simple. Undefined terminology and notation may refer to [29]. Let G = (V, E) be a graph of n vertices. Two edges of G are said to be independent if they are not adjacent in G. A k-matching of G is a set of k mutually independent edges. Denote by z(G, k) the number of the k-matchings of G. For convenience, let z(G, 0) = 1 for any graph G. Hosoya index of G, denoted by z(G), is defined as  $z(G) = \sum_{k=0}^{\lfloor \frac{n}{2} \rfloor} z(G, k)$ . Obviously, z(G) is equal to the total number of the matchings of the graph G. Similarly, two vertices of G are said to be independent if they are not adjacent in G. A k-independent set of G is a set of k mutually independent vertices. Denote by  $\sigma(G, k)$ the number of the k-independent sets of G. For convenience, let  $\sigma(G, 0) = 1$  for any graph G. Merrifield-Simmons index of G, denoted by  $\sigma(G)$ , is defined as  $\sigma(G) = \sum_{k=0}^{n} \sigma(G, k)$ . So  $\sigma(G)$  is equal to the total number of the independent sets of the graph G. Denoted by n(G) and D(G) the total number of vertices in G and the diameter of G, respectively. For a vertex v of G, we denote the degree of v by d(v), and define  $N_v = \{v\} \cup \{u | uv \in E(G)\}$ . Let  $V' \subset V$ , we will use G - V' to denote the graph obtained from G by deleting the vertices in V' together with their incident edges. If  $V' = \{v\}$ , we write G - v for  $G - \{v\}$ . A pendant vertex is a vertex of degree 1 and a pendant edge is an edge incident to a pendant vertex. Denoted by PV(G) the total number of pendant vertices in G. Let  $\mathscr{T}_{n,d} = \{T : T \text{ is a tree with } n \text{ vertices and diameter } d \}$  and  $\mathscr{T}_r^n = \{T : T \text{ is a tree with } n \text{ vertices}\}$ . Let  $S_{p,q}$  (See Fig 1.) denote the tree obtained from stars  $S_{p+1}$  and  $S_q$  by identifying a pendant vertex of  $S_{p+1}$  with the center of  $S_q$ . Let  $P_{n-d,d}$  (see Fig. 1) denote the tree created from path  $P_d$  by adding n - d pendant edges to an end vertex of  $P_d$ .



Our main results are stated in the following three theorems.

**Theorem 1.** If  $T \in \mathscr{T}_{n,d}$ , then

$$z(T) \ge (n-d+1)F_{d-1} + F_{d-2}$$

and the equality holds if and only if  $T \cong P_{n-d,d}$ .

**Theorem 2.** If  $T \in \mathscr{T}_r^n$ , then

$$z(T) \ge rF_{n-r} + F_{n-r-1}$$

and the equality holds if and only if  $T \cong P_{r-1,n-r+1}$ .

**Theorem 3.** If  $T \in \mathscr{T}_r^n$ , then

$$\sigma(T) \le 2^{r-1} F_{n-r+1} + F_{n-r}$$

and the equality holds if and only if  $T \cong P_{r-1,n-r+1}$ .

Here,  $F_n$  is the *n*-th Fibonacci number which satisfies  $F_n = F_{n-1} + F_{n-2}$  with initial conditions  $F_0 = 1$  and  $F_1 = 1$ .

The proofs of the above theorems are given in section 2.

### 2 Proofs

We only give the proof of Theorem 2. Proofs of Theorems 1 and 3 are similar to that of Theorem 2, so we omitted them here. We use some techniques in [2]. First we give some lemmas.

**Lemma 1** [10]. Let v be a vertex of G. Then

(i)  $z(G) = z(G - v) + \sum_{u} z(G - \{u, v\})$ , where the summation extends over all vertices adjacent to v.

(ii)  $\sigma(G) = \sigma(G - v) + \sigma(G - N_v).$ 

**Lemma 2** [10]. If  $G_1, G_2, \ldots, G_t$  are the components of a graph G, then

(i) 
$$z(G) = \prod_{i=1}^{t} z(G_i)$$
.

(ii) 
$$\sigma(G) = \prod_{i=1}^{t} \sigma(G_i).$$

**Proof of Theorem 2.** It is not difficult to check that  $z(P_{r-1,n-r+1}) = rF_{n-r} + F_{n-r-1}$ by Lemma 1 and  $z(P_n) = F_n$ . Now we prove if  $T \in \mathscr{T}_r^n$ , then  $z(T) \ge rF_{n-r} + F_{n-r-1}$  with equality only if  $T \cong P_{r-1,n-r+1}$ .

Since  $T \in \mathscr{T}_r^n$ , we have that PV(T) = r and  $n \ge r+1$ . We prove the theorem by double induction on r and n.

If r = 2, then  $T \cong P_n \cong P_{1,n-1}$  and the theorem holds obviously for r = 2.

If T is a tree with PV(T) = r and n(T) = r + 1, then  $T \cong S_{r+1} \cong P_{n-2,2}$  and hence there is nothing to prove. If T is a tree with PV(T) = r and n(T) = r + 2, then  $T \cong S_{p,q}$ with p + q = r + 2, and  $z(S_{p,q}) = pq + 1 \ge 2r + 1$  with equality only if  $T \cong P_{r-1,3}$ . Thus the theorem holds for PV(T) = r and n(T) = r + 2.

In the following, we assume  $r \ge 3$  and  $n \ge r+3$ . Suppose that the theorem holds for  $PV(T) \le r-1$  and  $n(T) \ge r+1$ , and for PV(T) = r and  $r+2 \le n(T) \le n-1$ . When PV(T) = r and n(T) = n, we distinguish the following two cases.

**Case 1.** There is at least one maximal path  $u_1u_2u_3 \ldots u_du_{d+1}$  in T, such that  $d(u_2) = 2$  or  $d(u_d) = 2$ . Without loss of generality, assume  $d(u_2) = 2$ . From Lemma 1, we have

$$z(T) = z(T - u_1) + z(T - \{u_1, u_2\}).$$
(1)

Now,  $n(T - u_1) = n - 1$  and  $n(T - \{u_1, u_2\}) = n - 2$ . In addition,  $PV(T - u_1) = r$  and

 $r-1 \le PV(T - \{u_1, u_2\}) \le r.$ 

By the induction hypothesis, we have

$$z(T - u_1) \ge z(P_{r-1,n-r}) = rF_{n-r-1} + F_{n-r-2}$$
(2)

with equality only if  $T - u_1 \cong P_{r-1,n-r}$ .

If  $T - \{u_1, u_2\} \in \mathscr{T}_{r-1}^{n-2}$ , by the induction hypothesis and  $n \ge r+3$ , we have

$$z(T - \{u_1, u_2\}) \geq z(P_{r-2, n-r}) = (r-1)F_{n-r-1} + F_{n-r-2}$$
  
>  $rF_{n-r-2} + F_{n-r-3} = z(P_{r-1, n-r-1}).$  (3)

If  $T - \{u_1, u_2\} \in \mathscr{T}_r^{n-2}$ , by the induction hypothesis, we have

$$z(T - \{u_1, u_2\}) \ge z(P_{r-1, n-r-1}) = rF_{n-r-2} + F_{n-r-3}.$$
(4)

Hence, by  $(1) \sim (4)$ , we have

$$\begin{aligned} z(T) &= z(T-u_1) + z(T - \{u_1, u_2\}) \\ &\geq z(P_{r-1,n-r}) + z(P_{r-1,n-r-1}) \\ &= rF_{n-r-1} + F_{n-r-2} + rF_{n-r-2} + F_{n-r-3} \\ &= rF_{n-r} + F_{n-r-1} \end{aligned}$$

with equality only if  $T \cong P_{r-1,n-r+1}$ .

**Case 2.**  $d(u_2) \ge 3$  and  $d(u_d) \ge 3$  for each longest path  $u_1u_2u_3 \ldots u_du_{d+1}$  in *T*. Suppose that  $d(u_2) = t + 1 \ge 3$ . From Lemma 1, we have

$$z(T) = z(T - u_1) + z(T - \{u_1, u_2\}).$$
(5)

Now,  $T - u_1$  is an (n - 1)-vertex tree with r - 1 pendant vertices. Then, by the induction hypothesis,

$$z(T - u_1) \ge z(P_{r-2,n-r+1}) = (r-1)F_{n-r} + F_{n-r-1}$$
(6)

with equality only if  $T-u_1 \cong P_{r-2,n-r+1}$ . On the other hand, there is a tree H such that  $T-\{u_1, u_2\} = (t-1)K_1 \cup H$  (otherwise, we can obtain a contradiction to that  $u_1u_2u_3 \ldots u_du_{d+1}$  is a longest path in T). Obviously,  $2 \leq t \leq r-2$ , n(H) = n-t-1 < n and  $r-t \leq PV(H) \leq r-t+1$ .

If PV(H) = r - t, by the induction hypothesis,  $t \le r - 2$  and  $n \ge r + 3$ , then

$$z(H) \geq z(P_{r-t-1,n-r}) = (r-t)F_{n-r-1} + F_{n-r-2}$$
  
>  $(r-t+1)F_{n-r-2} + F_{n-r-3}.$  (7)

If PV(H) = r - t + 1, by the induction hypothesis, then

$$z(H) \ge z(P_{r-t,n-r-1}) = (r-t+1)F_{n-r-2} + F_{n-r-3}$$
(8)

with equality only if  $H \cong P_{r-t,n-r-1}$ .

By (5)~(8), Lemma 2,  $t \le r-2$  and  $n \ge r+3$ , we have

$$\begin{aligned} z(T) &= z(T-u_1) + z(T - \{u_1, u_2\}) \\ &= z(T-u_1) + z(H) \\ &\geq (r-1)F_{n-r} + F_{n-r-1} + (r-t+1)F_{n-r-2} + F_{n-r-3} \\ &\geq (r-1)F_{n-r} + F_{n-r-1} + 3F_{n-r-2} + F_{n-r-3} \\ &= (r+1)F_{n-r} \\ &> rF_{n-r} + F_{n-r-1}. \end{aligned}$$

This completes the proof of Theorem 2.

### 3 Conclusion

By Theorem 1 in this paper and Theorem 1 in [2],  $P_{n-d,d}$  has both minimum Hosoya index and maximum Merrifield-Simmons index among the trees of n vertices and diameter d. Similarly, by Theorems 2 and 3,  $P_{r-1,n-r+1}$  has the two extremal indices just mentioned among the trees of n vertices with r pendant vertices.

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