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Ordering of Trees with a Given Bipartition by Their Energies and Hosoya Indices¹

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Abstract

The energy of a graph G is defined as the sum of the absolute values of eigenvalues of G and the Hosoya index of a graph G is defined as the number of matchings of G. In this paper, for two given positive integers p and q ($q \ge p$) we characterize the trees with a given bipartition (p,q) which have the minimal and the second minimal energy of Hosoya index.

1. Introduction

Let T be a tree with n vertices and $V(T) = \{1, 2, \dots, n\}$ the set of vertices of T. The adjacency matrix A(T) of T is the square matrix $A(T) = (a_{ij})$ of order n, where $a_{ij} = 1$ if vertices i and j are adjacent, and 0 otherwise. The characteristic polynomial of T,

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denoted by $\phi(T)$, is defined as $\phi(T) = det(xI - A(T))$, where I is the identity matrix of order n. It is well known [2] that for a tree T with n vertices

$$\phi(T) = \sum_{k=0}^{\left[\frac{n}{2}\right]} (-1)^k \ m(T,k) \ x^{n-2k}, \tag{1}$$

where m(T,k) equals the number of k-matchings of T. The Hosoya index [5] of a graph G with n vertices, denoted by Z(G), is defined as $Z(G) = \sum_{k=0}^{\lfloor \frac{n}{2} \rfloor} m(G,k)$.

Chemists know that the experimental heats of formation of conjugated hydrocarbons are closely related to the total π -electron energy. The calculation of the total π -electron energy in a conjugated hydrocarbon can be reduced (within the framework of the HMO approximation) [5] to

$$E(T) = |\lambda_1| + |\lambda_2| + \dots + |\lambda_n|,$$

where λ_i 's are the eigenvalues of the corresponding graph T. For a tree (acyclic graph) with n vertices, this energy is also expressible in terms of the Coulson integral [4,5] as

$$E(T) = \frac{2}{\pi} \int_0^{+\infty} x^{-2} \ln[1 + \sum_{k=1}^{\left[\frac{n}{2}\right]} m(T, k) x^{2k}] dx.$$
 (2)

The fact that E(T) is a strictly monotonously increasing function of all matching numbers $m(T,k), k=0,1,2,\cdots, [\frac{n}{2}]$, provides us a way of comparing the energies of a pair of trees. Gutman [4] introduced a quasi-ordering relation " \succeq " (i.e. reflexive and transitive relation) on the set of all forests (acyclic graphs) with n vertices: if T_1 and T_2 are two forests with n vertices and with characteristic polynomials in the form (1), then

$$T_1 \succeq T_2 \Leftrightarrow m(T_1, k) \ge m(T_2, k)$$
 for all $k = 0, 1, \dots, \lfloor \frac{n}{2} \rfloor$.

If $T_1 \succeq T_2$ and there exists an integer j such that $m(T_1, j) > m(T_2, j)$, then we write $T_1 \succ T_2$.

Here, by (2) and the definition of Hosoya index, we have

$$T_1 \succ T_2 \Longrightarrow E(T_1) \ge E(T_2), \ Z(T_1) \ge Z(T_2);$$
 (3)

$$T_1 \succ T_2 \Longrightarrow E(T_1) > E(T_2), \ Z(T_1) > Z(T_2).$$
 (4)

This increasing property of E has been successfully applied in the study of the extremal values of energy in different classes of graphs (see [3,4,6-15]). Gutman [4] determined the tree with the maximal energy, namely, the path. Furthermore, he got

$$\begin{cases}
T \succ W_n \succ Z_n \succ Y_n \succ X_n, \\
E(T) > E(W_n) > E(Z_n) > E(Y_n) > E(X_n)
\end{cases} (5)$$

for any tree $T \neq X_n, Y_n, Z_n, W_n$ with n vertices, where X_n is the star $K_{1,n-1}, Y_n$ is the graph obtained by attaching a pendent edge to a pendent vertex of $K_{1,n-2}, Z_n$ is obtained by attaching two pendent edges to a pendent vertex of $K_{1,n-3}, W_n$ is obtained by attaching

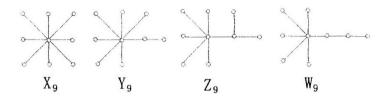


Fig. 1 The trees X_9 , Y_9 , Z_9 and W_9 .

a P_3 (here P_m denotes the path with m vertices) to a pendent vertex of $K_{1,n-3}$ (Fig. 1 shows the trees X_9, Y_9, Z_9 and W_9). Zhang et al [13] characterized the trees with perfect matching having the minimal and the second minimal energies, which solved the conjectures proposed by Gutman [3], that is, they proved that $E(F_n) < E(B_n) < E(T)$ for any tree $T \neq F_n, B_n$ with n vertices having perfect matching, where F_n is the tree with n vertices obtained by adding a pendent edge to each vertex of the star $K_{1,\frac{n}{2}-1}$ (see Fig. 2), and B_n is the tree obtained from F_{n-2} by attaching a P_2 to the 2-degree vertex of a pendent edge (see Fig. 2). On the other hand, for a given positive integer d, Yan et al [12] characterized the tree with the minimal energy having diameter at least d. They proved that if T is a tree with n vertices having diameter at least d, then $E(T) \geq E(B_{n,d})$ with

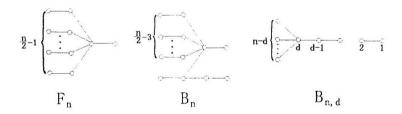


Fig.2 The trees F_n , B_n and $B_{n,d}$.

equality if and only if $T = B_{n,d}$, where $B_{n,d}$ is the tree with n vertices obtained from the path P_d by attaching n-d pendent edges to an end vertex u of P_d (see Fig. 2). In order to formulate our results, we need to introduce some notation in the following. Let G be a connected bipartite graph with n vertices. Hence its vertex set can be uniquely partitioned into two subsets V_1 and V_2 such that each edge joins a vertex in V_1 with a vertex in V_2 . Suppose that V_1 has p vertices and V_2 has q vertices, where p+q=n. Then we say that G has a (p,q)-bipartition. Consider a star with p+1 vertices. Attach q-1

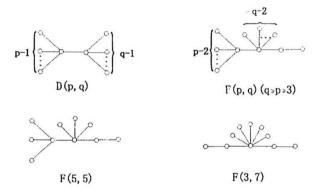


Fig.3 The trees $D_{p,q}$ and $F_{p,q}$.

pendent edges to a non-central vertex of the star. The resulting tree with p+q vertices has a (p,q)-bipartition. Denote the resulting tree by D(p,q) (see Fig. 3). We call D(p,q) a double-star (see Brualdi et al [1]). If $q \ge p \ge 3$, we suppose that F(p,q) is the tree obtained from D(p-1,q) by attaching a pendent edge to one of the vertices of degree one which join the vertex of degree q in D(p-1,q) (see Fig. 3). If $q \ge p = 2$, we suppose that F(2,q) is the tree obtained from the path P_4 by attaching q-2 pendent edges to an end vertex of P_4 (see Fig. 4).

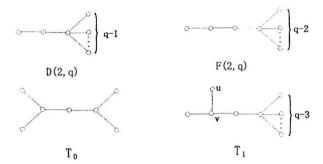


Fig.4 The trees D(2,q), F(2,q), T_0 and T_1 .

Now we are in the position to formulate our main results.

Theorem 1.1 Let T be a tree with a (p,q)-bipartition $(p,q \ge 1, p+q \ge 3)$. Then

(1)
$$E(T) \ge \sqrt{2(p+q-1) + 2\sqrt{(p+q-1)^2 - 4(p-1)(q-1)}} + \sqrt{2(p+q-1) - 2\sqrt{(p+q-1)^2 - 4(p-1)(q-1)}};$$

$$(2) Z(T) \ge pq + 1$$

with all equalities if and only if T is the double-star D(p,q).

Theorem 1.2 Let p and q be two positive integers such that $q \ge p \ge 2$, and let T be a tree with a (p,q)-bipartition such that $T \ne D(p,q)$. Then

$$E(T) \ge E(F(p,q))$$
 and $Z(T) \ge Z(F(p,q)) = 2pq - p - 2q + 3$

with all equalities if and only if T = F(p, q).

2. Lemmas

Let G be a graph and uv an edge of G. We denote by G - uv (resp. G - u) the graph obtained from G by deleting the edge uv (resp. the vertex u and the edges adjacent to u).

Lemma 2.1[2] Let T be a tree with a pendent vertex u, and let v be the unique vertex of T adjacent to u. Then

$$\phi(T) = x\phi(T-u) - \phi(T-u-v).$$

Lemma 2.2[14,15] Let T_1 and T_2 be two acyclic graphs with n vertices and with characteristic polynomials

$$\phi(T_1) = \sum_{i=0}^{\left[\frac{n}{2}\right]} b_i x^{n-2i}$$
 and $\phi(T_2) = \sum_{i=0}^{\left[\frac{n}{2}\right]} b_i' x^{n-2i}$

respectively. Then $T_1 \succeq T_2$ if and only if $b_0 - b'_0 = 0$ and $(-1)^i(b_i - b'_i) \ge 0$ for $i = 1, 2, \dots, \lfloor \frac{n}{2} \rfloor$; and $T_1 \succ T_2$ if and only if $T_1 \succeq T_2$ and there exists an integer $j \in \{1, 2, \dots, \lfloor \frac{n}{2} \rfloor \}$ such that $(-1)^j(b_j - b'_i) > 0$.

Corollary 2.3 Let T and T' be two n-trees. Suppose that uv (resp. u'v') is a pendent edge of T (resp. T') and u (resp. u') is a pendent vertex of T (resp. T'). Let $T_1 = T - u$, $T_2 = T - u - v$, $T'_1 = T' - u'$ and $T'_2 = T' - u' - v'$. If $T_1 \succeq T'_1$ and $T_2 \succ T'_2$; or $T_1 \succ T'_1$ and $T_2 \succeq T'_2$, then $T \succ T'$.

Proof By Lemma 2.1, we have

$$\phi(T) = x\phi(T_1) - \phi(T_2)$$
 and $\phi(T') = x\phi(T'_1) - \phi(T'_2)$.

Hence

$$\phi(T) - \phi(T') = x(\phi(T_1) - \phi(T'_1)) - (\phi(T_2) - \phi(T'_2)).$$

Suppose that

$$x(\phi(T_1) - \phi(T_1')) = \sum_{i \ge 0} a_i x^{n-2i}$$
 and $\phi(T_2) - \phi(T_2') = \sum_{i \ge 0} b_i x^{n-2-2i}$.

Then if $T_1 \succ T_1'$ and $T_2 \succeq T_2'$, we have $a_0 = b_0 = 0$ and $(-1)^i a_i \ge 0$ and $(-1)^i b_i \ge 0$ for $i \ge 1$ and there exists at least a k such that $(-1)^k a_k > 0$. Hence, $(-1)^i (a_i - b_{i-1}) \ge 0$ for $i \ge 1$ and there exists at least a k such that $(-1)^k (a_k - b_{k-1}) > 0$. Note that

$$\phi(T) - \phi(T') = \sum_{i>1} (a_i - b_{i-1}) x^{n-2i}.$$

Hence, by Lemma 2.2 we have $T \succ T'$. Similarly, if $T_1 \succeq T'_1$ and $T_2 \succ T'_2$ then $T \succ T'$. The corollary thus holds.

Lemma 2.4[2] Let e = uv be an edge of graph G with n vertices. Then the number m(G, i) of i-matchings of G is determined by:

$$m(G, i) = m(G - uv, i) + m(G - u - v, i - 1)$$
 for $i = 1, 2, \dots, \lfloor \frac{n}{2} \rfloor$

where m(T,0)=1.

By the above lemma, the following lemma is obvious.

Lemma 2.5 Let T be an acyclic graph with n vertices (n > 1) and T' a spanning subgraph (resp. a proper spanning subgraph) of T. Then $T \succeq T'$ (resp. $T \succ T'$).

Lemma 2.6 For a double-star D(p,q), we have

(1)
$$\phi(D(p,q)) = x^{p+q-4}[x^4 - (p+q-1)x^2 + (p-1)(q-1)];$$

(2)
$$E(D(p,q)) = \sqrt{2(p+q-1) + 2\sqrt{(p+q-1)^2 - 4(p-1)(q-1)}} + \sqrt{2(p+q-1) - 2\sqrt{(p+q-1)^2 - 4(p-1)(q-1)}};$$

(3)
$$Z(D(p,q)) = pq + 1$$
.

Proof Let D(p,q) = T. It is easy to see that m(T,0) = 1, m(T,1) = p + q - 1, m(T,2) = (p-1)(q-1) and m(T,i) = 0 for $i = 3, 4, \dots, \lfloor \frac{p+q}{2} \rfloor$. Hence it is not difficult to see that the assertions (1)-(3) hold.

Similarly, we can obtain the following.

Lemma 2.7 Let F(p,q) be the tree defined as above and $q \ge p \ge 2$. Then

(1)
$$\phi(F(p,q)) = x^{p+q} - (p+q-1)x^{p+q-2} + (pq-q-1)x^{p+q-4} - (p-2)(q-2)x^{p+q-6};$$

(2)
$$Z(F(p,q)) = 2pq - p - 2q + 3$$
.

Lemma 2.8 Suppose that T is a tree with a (2,q)-bipartition such that $T \neq D(2,q)$ and $T \neq F(2,q)$. Then $T \succ F(2,q)$.

Proof Note that, if q=2, then there exists only one tree with a (2,2)-bipartition. If q=3 then there exist exactly two trees D(2,3) and F(2,3) (= P_5) which have a (2,3)-bipartition. If q=4 then there exist exactly two trees D(2,4) and F(2,4) which have a (2,4)-bipartition. Hence we may assume that $q\geq 5$. We proceed by induction on q. If q=5 then there are exactly three trees D(2,5), F(2,5) and T_0 shown in Fig. 4, each of which has a (2,5)-bipartition. It is not difficult to see that $T_0\succ F(2,5)\succ D(2,5)$. The lemma thus holds if $q\leq 5$. We assume inductively that the lemma holds if $|V_2|<q$. Now we assume that T is a tree with n vertices, which has a (2,q)-bipartition, such that $T\neq D(p,q)$ and $T\neq F(2,q)$ ($q\geq 6$). Let V_1 and V_2 be the bipartition of vertex set of T with $|V_1|=2$ and $|V_2|=q$. Let T'=T-u and T''=T-u-v. Then T' is a tree with 2+q-1=q+1 vertices and T'' is a forest with q vertices. If $u\in V_1$, then T' is a tree with a (1,q)-bipartition. This shows that T=D(2,q), a contradiction. Hence $u\in V_2$ and T' is a tree with a (2,q-1)-bipartition. It is not difficult to see that $T'\neq D(2,q-1)$ (otherwise T must be D(2,q) or F(2,q), also a contradiction). Note that, by Lemma 2.1,

$$\phi(T) = x\phi(T') - \phi(T''), \quad \phi(F(2,q)) = x\phi(F(2,q-1)) - \phi((q-3)P_1 \cup P_3).$$

We distinguish the following two cases.

Case 1 If T' = F(2, q - 1), then T must be the tree T_1 shown in Fig. 4 since $T \neq D(2, q)$ and $T \neq F(2, q)$. Note that

$$\phi(T_1) = x^{q+2} - (q+1)x^q + (3q-7)x^{q-2},$$

and

$$\phi(F(2,q)) = x^{q+2} - (q+1)x^q + (2q-3)x^{q-2}.$$

It is obvious that if $q \ge 6$, then $T_1 \succ F(2,q)$.

Case 2 If $T' \neq D(2,q)$ and $T' \neq F(2,q)$, then by induction assumption we have

$$T' \succ F(2, q - 1). \tag{6}$$

Note that $u \in V_2$. Hence $v \in V_1$. Since $T' \neq D(2,q)$ and $T' \neq F(2,q)$, the degree $d_T(v)$ of vertex v in T is not larger than q-1, that is, $d_T(v) \leq q-1$. Hence T'' is a forest with q vertices having at least q+1-(q-1)=2 edges. Hence we have

$$T'' \succeq (q-3)P_1 \cup P_3. \tag{7}$$

Hence, by Corollary 2.3, we have $T \succ F(2,q)$. The lemma thus follows.

Lemma 2.9 Suppose that T is a tree with a (3,3)-bipartition such that $T \neq D(3,3)$ and $T \neq F(3,3)$. Then $T \succ F(3,3)$.

Proof Note that there exist exactly three trees D(3,3), F(3,3) and P_6 , each of which has a (3,3)-bipartition. It is not difficult to prove that $P_6 \succ F(3,3) \succ D(3,3)$. The lemma thus follows.

Lemma 2.10 Suppose that T is a tree with a (3,4)-bipartition such that $T \neq D(3,4)$ and $T \neq F(3,4)$. Then $T \succ F(3,4)$.

Proof Since $T \neq D(3,4)$ and $T \neq F(3,4)$, T must be the one of the five trees $T_i's$ (i=2,3,4,5,6) shown in Fig. 5. Note that

$$\phi(T_2) = x^7 - 6x^5 + 8x^3 - 2x, \quad \phi(T_3) = x^7 - 6x^5 + 9x^3 - 2x;$$

$$\phi(T_4) = x^7 - 6x^5 + 9x^3 - 3x, \quad \phi(T_5) = x^7 - 6x^5 + 9x^3 - 4x;$$

$$\phi(T_6) = x^7 - 6x^5 + 10x^3 - 4x, \quad \phi(F(3, 4)) = x^7 - 6x^5 + 7x^3 - 2x.$$

It is obvious that $T_i > F(3,4)$ for i = 2, 3, 4, 5, 6. The lemma thus holds.

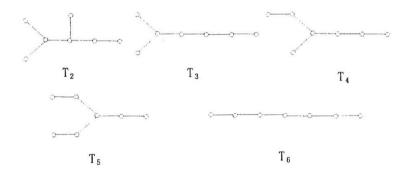


Fig.5 The trees T_2, T_3, T_4, T_5 and T_6 .

3. The proof of main results

The proof of Theorem 1.1. By Lemma 2.5 and (3) and (4), it suffices to prove that $T\succeq D(p,q)$ with equality if and only if T is the double-star D(p,q). If p=1, then T=D(1,q) and the theorem holds. The theorem also holds when q=1. Hence we may now assume that $p\geq 2$ and $q\geq 2$. We proceed by induction on n=p+q. If p=q=2, that is p+q=4, there exists only one tree $P_4=D(2,2)$ which has a (2,2)-bipartition. Hence the theorem holds if p+q=4. We assume inductively that the theorem holds if the number of vertices of a tree T^* is less than p+q. Let V_1 and V_2 be the bipartition of vertex set of T with $|V_1|=p$ and $|V_2|=q$. Let u be a pendent vertex and uv a pendent edge in T, where we may assume that $u\in V_1$ and $v\in V_2$. Let T'=T-u and T''=T-u-v. Then T' is a free with a (p-1,q)-bipartition and T'' a forest with p+q-2 vertices. By Lemma 2.1, we have

$$\phi(D(p,q)) = x\phi(D(p-1,q)) - \phi((p-2)P_1 \cup K_{1,c-1}),$$

and

$$\phi(T) = x\phi(T') - \phi(T'').$$

Hence, by Corollary 2.3, it suffices to prove that $T' \succeq D(p-1,q)$ and $T'' \succ (p-2)P_1 \cup K_{1,q-1}$; or $T' \succ D(p-1,q)$ and $T'' \succeq (p-2)P_1 \cup K_{1,q-1}$.

We denote the degree of v in T by $d_T(v)$. Since $v \in V_2$ and T has a (p,q)-bipartition, $d_T(v) \leq p$. Hence T'' is a forest with at least p+q-1-p=q-1 edges. This shows that $m(T'',1) \geq q-1$. Hence $T'' \succeq (p-2)P_1 \cup K_{1,q-1}$ with the equality if and only if $T'' = (p-2)P_1 \cup K_{1,q-1}$. It is not difficult to see that $T'' = (p-2)P_1 \cup K_{1,q-1}$ if and only if T = D(p,q). Hence if $T \neq D(p,q)$, then $T'' \succ (p-2)P_1 \cup K_{1,q-1}$. On the other hand, since T' is a tree with a (p-1,q)-bipartition with p+q-1 (< p+q) vertices, by induction assumption, we have $T' \succeq D(p-1,q)$. Hence, by Corollary 2.3, $T \succ D(p,q)$ if $T \neq D(p,q)$. The theorem is thus proved.

Proof of Theorem 1.2. By (3), (4) and Theorem 1.1, it suffices to prove that if T is a tree with a (p,q)-bipartition $(q \ge p \ge 2)$ such that $T \ne D(p,q)$ and $T \ne F(p,q)$, then $T \succ F(p,q)$.

By Lemma 2.8, $T \succ F(2,q)$ if p=2. Hence we may assume that $q \ge p \ge 3$ and proceed by induction on p+q.

If p+q=6 or 7, then p=q=3 or p=3 and q=4. Hence the theorem is immediate from Lemma 2.9 and Lemma 2.10 if p+q=6 or 7.

We now suppose that $p+q\geq 8$ and $q\geq p\geq 3$. We assume inductively that the result holds if the number of vertices of a tree T^* is less than p+q. Let u be a pendent vertex of T and uv a pendent edge of T. Let T'=T-u and T''=T-u-v. Then T' is a tree with p+q-1 vertices and T'' is a forest with p+q-2 vertices. We distinguish the following two cases.

Case 1 If $u \in V_1$, then T' is a tree with a (p-1,q)-bipartition. It is obvious that $T' \neq D(p-1,q)$ (otherwise T must be D(p,q) or F(p,q), a contradiction). By Lemma 2.1, we have

$$\phi(T) = x\phi(T') - \phi(T''),$$

$$\phi(F(p,q)) = x\phi(F(p-1,q)) - \phi((p-3)P_1 \cup D(2,q-1)).$$

We distinguish the following two subcases.



Fig.6 The trees T_7 and T_8 .

Subcase 1 If T' = F(p-1,q), then T must be one of the trees T_7 and T_8 depicted in Fig.6 since $T \neq D(p,q)$ and $T \neq F(p,q)$. It is not difficult to find that

$$\begin{split} &\phi(T_7) = x^{p+q} - (p+q-1)x^{p+q-2} + (pq+p-q-5)x^{p+q-4} - 2(p-3)(q-2)x^{p+q-6};\\ &\phi(T_8) = x^{p+q} - (p+q-1)x^{p+q-2} + (pq+p-q-4)x^{p+q-4} -\\ &(2pq-3p-5q+7)x^{p+q-6} + (p-3)(q-3)x^{p+q-8};\\ &\phi(F(p,q)) = x^{p+q} - (p+q-1)x^{p+q-2} + (pq-q-1)x^{p+q-4} - (p-2)(q-2)x^{p+q-6}. \end{split}$$
 It is obvious that $T_7 \succ F(p,q)$ and $T_8 \succ F(p,q)$. Hence $T \succ F(p,q)$ if $T' = F(p-1,q)$.

Subcase 2 If $T' \neq F(p-1,q)$, then the degree $d_T(v)$ of vertex v in T is not larger than p since T has a (p,q)-bipartition. Hence $d_T(v) = p$ or $d_T(v) \leq p-1$.

First, we suppose that $d_T(v) \le p-1$. Then T'' = T - u - v has at least p+q-1-(p-1) = q edges, that is, T'' is a forest with p+q-2 vertices having at least q edges. We now prove the following:

claim 1

$$T'' \succeq (p-3)P_1 \cup D(2, q-1).$$
 (8)

In fact, m(T'',0)=1 and $m(T'',1)\geq q$. Hence, in order to prove the claim we need to show that $m(T'',2)\geq m((p-3)P_1\cup D(2,q-1),2)=q-2$. If T'' has a unique connected component which is not an isolated vertex, denoted by T_1'' , then $T_1''\neq K_{1,j}$ for $j\in\{q,q+1\cdots,p+q-3\}$ since T has a (p,q)-bipartition and T''=T-u-v. Hence T_1'' is a tree with at least q edges which is not a star. By (5) we have $m(T'',2)=m(T_1'',2)\geq m(Y_{q+1},2)=q-2$, where Y_{q+1} is the tree obtained by attaching an edge to a pendent vertex of $K_{1,q-1}$. If there exist at least s (s>1) connected components in T'' each of which is not an isolated vertex, denoted by $T_1'', T_2'', \cdots, T_s''$, then $\sum_{i=1}^s e_i \geq q$ and $e_i \geq i$, where e_i denotes the number of edges in T_i'' for $i=1,2,\cdots,s$. Hence it is not difficult to see that $m(T'',2)\geq q-2$. The claim thus follows.

Note that T' is a tree with p+q-1 vertices and with a (p-1,q)-bipartition such that $T' \neq D(p-1,q)$ and $T' \neq F(p-1,q)$. Then, by induction assumption, we have

$$T' \succ F(p-1,q). \tag{9}$$

By (8), (9) and Corollary 2.3, we have $T \succ F(p,q)$.

Now we assume that $d_T(v) = p$. Since T has a (p,q)-bipartition and $T \neq D(p,q)$, $T \neq F(p,q)$; T is obtained from the star $K_{1,p}$ by attaching some pendent edges to each pendent vertex of $K_{1,p}$. Without loss of generality, we may assume that the pendent vertices of $K_{1,p}$ are $u, v_1, v_2, \cdots, v_{p-1}$ and T is obtained from $K_{1,p}$ by attaching s_i pendent edges to the pendent vertex v_i of $K_{1,p}$ for $i=1,2,\cdots,p'$ ($p' \leq p-1$), where $s_i > 0$. Note that $T \neq D(p,q)$. Then p' > 1. It is obvious that $\sum_{i=1}^{p'} s_i = p+q-1-p=q-1$. Note that if s_1 and s_2 are two positive integers, then $s_1s_2 \geq s_1+s_2-1$. Hence $\sum_{1 \leq i < j \leq p'} s_is_j \geq s_j$

 $s_1 + s_2 - 1 + s_3 + \cdots + s_{p'} = q - 2$. It is not difficult to show that

$$\begin{split} m(T,2) &= (p-1) \sum_{i=1}^{p'} s_i + \sum_{1 \le i < j \le p'} s_i s_j = (p-1)(q-1) + \sum_{1 \le i < j \le p'} s_i s_j \\ &\geq (p-1)(q-1) + (q-2) = pq - p - 1; \\ m(T,3) &\geq (p-p') \sum_{1 \le i < m \le p'} s_i s_m + (p'-2) \sum_{1 \le i < m \le p'} s_i s_m \\ &= (p-2) \sum_{1 \le i < m \le p'} s_i s_m \geq (p-2)(q-2). \end{split}$$

Note that $\sum_{1 \le i < j \le p'} s_i s_j = s_1 + s_2 - 1 + s_3 + \dots + s_{p'} = q - 2$ if and only if p' = 2 (without loss of generality, we may assume that $s_1 \ne 0$ and $s_2 \ne 0$) and $s_1 = 1$, $s_2 = q - 2$; or p' = 2 and $s_1 = q - 1$, $s_2 = 1$. Hence if p = q, then since $T \ne F(p,q)$ we have

$$m(T,3) \ge (p-2) \sum_{1 \le l < m \le p'-1} s_l s_m > (p-2)(q-2).$$

Note that

$$\phi(F(p,q)) = x^{p+q} - (p+q-1)x^{p+q-2} + (pq-q-1)x^{p+q-4} - (p-2)(q-2)x^{p+q-6}$$

Hence if $q \ge p \ge 3$, we have T > F(p, q).

Case 2 If $u \in V_2$, then T' is a tree with a (p, q-1)-bipartition. If p=q, we may assume that p'=q and q'=p. Then T is a tree with a (p', q')-bipartition and T' is a tree with (p'-1, q')-bipartition (p'-1 < q'). By a similar reasoning as that in the proof of case 1, we can prove that $T \succ F(p, q)$. When q > p, then T' is a tree with a (p, q-1)-bipartition and $p \le q-1$. We distinguish the following three subcases.

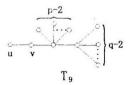


Fig. 7 The tree T_9 .

Subcase 1 If T' = D(p, q-1), then T must be the tree T_9 shown in Fig.7 since $T \neq D(p, q)$ and $T \neq F(p, q)$. Note that

$$\phi(T_9) = x^{p+q} - (p+q-1)x^{p+q-2} + (pq-p-1)x^{p+q-4} - (p-2)(q-2)x^{p+q-6} \quad \text{and}$$

$$\phi(F(p,q)) = x^{p+q} - (p+q-1)x^{p+q-2} + (pq-q-1)x^{p+q-4} - (p-2)(q-2)x^{p+q-6}.$$
It is obvious that $T_9 > F(p,q)$ when $q > p \ge 3$.

Subcase 2 If T' = F(p, q - 1), then T must be one of the trees T_{10} and T_{11} depicted in Fig.8 since $T \neq D(p, q)$ and $T \neq F(p, q)$.

Bear in mind the following equations:

$$\begin{split} \phi(T_{10}) &= x\phi(F(p,q-1)) - \phi(D(p-1,q-1)); \\ \phi(T_{11}) &= x\phi(F(p,q-1)) - \phi(F(p-1,q-1)); \\ \phi(F(p,q)) &= x\phi(F(p,q-1)) - \phi((q-3)K_1 \cup P_2 \cup K_{1,p-2}); \\ \phi(D(p-1,q-1)) &= x^{p+q-2} - (p+q-3)x^{p+q-4} + (p-2)(q-2)x^{p+q-6}; \\ \phi(F(p-1,q-1)) &= x^{p+q-2} - (p+q-3)x^{p+q-4} + (pq-p-2q+1)x^{p+q-6} + (p-3)(q-3)x^{p+q-8}; \\ \phi((q-3)P_1 \cup P_2 \cup K_{1,p-2}) &= x^{p+q-2} - (p-1)x^{p+q-4} + (p-2)x^{p+q-6} \end{split}$$
 It is obvious that if $q > p \geq 3$, we have

$$D(p-1,q-1) \succ (q-3)P_1 \cup P_2 \cup K_{1,p-2}$$
 and $F(p-1,q-1) \succ (q-3)P_1 \cup P_2 \cup K_{1,p-2}$.

By Corollary 2.3, we have

$$T_{10} \succ F(p,q)$$
, and $T_{11} \succ F(p,q)$.

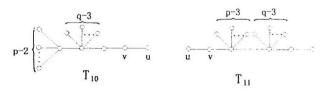


Fig.8 The trees T_{10} and T_{11} .

Subcase 3 If $T' \neq D(p, q-1)$ and $T' \neq F(p, q-1)$, then by induction assumption we have

$$T' \succ F(p, q - 1). \tag{10}$$

Note that the degree $d_T(v)$ of v in T is not larger than q since T has a (p,q)-bipartition and $T \neq D(p,q)$, $T \neq F(p,q)$, that is, $d_T(v) = q$ or $d_T(v) \leq q - 1$.

First we suppose that $d_T(v) \leq q-1$. Hence T'' is a forest with a (p-1,q-1)-bipartition having at least p+q-1-(q-1)=p edges. By a similar reasoning as that in the proof of Claim 1, we can prove that

$$T'' \succeq (q-3)P_1 \cup P_2 \cup K_{1,p-2}. \tag{11}$$

Note that

$$\phi(T) - \phi(F(p,q)) = x[\phi(T') - \phi(F(p,q-1))] - [\phi(T'') - \phi((q-3)P_1 \cup P_2 \cup K_{1,p-2})].$$

Hence by (10) and (11), we have $T \succ F(p,q)$.

Now we assume that $d_T(v) = q$. By a similar reasoning as that in the proof of Subcase 2, we can prove that T > F(p,q).

The theorem has thus been proved.

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