communications in mathematical

no. 44, October 2001 MATCDY (44) 279 - 286 (2001) ISSN 0340 - 6253

A THEOREM ON GRAPH VALENCE SHELLS

István Lukovits
Department of Surface Science and Corrosion, Chemical Research Center,
Budapest, Hungary

Abstract. Recently Randić [1] has proposed a set of new graph invariants: the graph valence shells. A valence shell $s(u)_k$ is the sum of valences (degrees) of all vertices placed at distance k-1 from vertex u. The graph valence shell s_k , is the sum of valence shells $s(u)_k$. Consider an acyclic graph T. It has been proved that $s_k = p_{k-1} + p_k$, where p_k denotes the number of paths of length k in T.

INTRODUCTION

Recently Randić [1] has proposed a set of new graph invariants: the graph valence shells. A valence shell $s(u)_k$ is the sum of valences (degrees) of the vertices placed at a constant distance k-1 from a given vertex u. Randić has not given an explicit formula for $s(u)_k$, but the following expression is in full agreement with Randić's definition:

$$s(\mathbf{u})_k = \sum_i \delta(k - 1 - d_{\mathbf{u},i}) \mathbf{v}_i \tag{1}$$

where $d_{u,i}$ denotes the distance between vertices u and i, and v_i denotes the valence of a vertex i, δ is a function which is equal to 1 if the argument is zero, and is zero in all other cases. δ picks out a vertex placed at distance $d_{u,i}$ from u. The summation has to be performed for all N vertices in T. Graph valence shell s_k can be obtained by summing expression (1) for all vertices u, and dividing the result by two:

$$s_k = \sum_u s(u)_k / 2 \tag{2}$$

The calculation of s_k can be illustrated by using a simple example (FIGURE 1). As an illustration obtain s_5 : The valence shell of vertex 1 is 2 (valence of vertex 5). Contribution of vertex 2 is 1 (valence of vertex 6), contribution of vertex 5 is 1 + 1 = 2 (sum of valences of vertices 1 and 7), contribution of vertex 6 is 6 (sum of valences of vertices 2, 8 and 9). The contribution of vertex 7 is 2 (valence of vertex 5) and the contributions of vertices 8 and 9 are 1, each. Therefore $s_5 = (2 + 1 + 2 + 5 + 2 + 2)/2 = 7$. Graph invariants based on 'concentric shells' (or layers) have already been discussed [2-6] and graph valence shells happen to be a subclass of this more general approach.

Graph valence shells s₂, s₃ and s₄ have been shown by Randić to form an appropriate set of indexes that can be used in structure-property relationships. More specifically Randić found that combinations of p₂, p₃, p₄ and s₂, s₃, s₄, respectively, resulted in multiple regression equations with identical statistical parameters. (The boiling points of octanes were considered as the dependent variable.) This result could only have been obtained if p₂, p₃, p₄ and s₂, s₃, s₄ are linear transforms of each other. Actually Randić has observed that

$$p_1 + p_2 = s_2 (3)$$

$$p_2 + p_3 = s_3 (4)$$

$$p_3 + p_4 = s_4 \tag{5}$$

The aim of this paper is to prove that these relationships are valid, and that they are instances of a more general theorem:

Theorem: Let T be a tree and let p_k denote the number of distances of length k, while s_k denotes the k-th graph valence shell in T. Then

$$p_{k-1} + p_k = s_k \tag{6}$$

PROOF OF THE THEOREM

Let's first show that

$$p_1 + p_2 = s_2 (7)$$

because the argumentation will reveal the relationship between s_2 and the Zagreb indices [7]. Vertices contributing to s_2 are the first neighbours of vertex u (eq. 2). Each vertex u has v_u first neighbours. For each first neighbour the contribution to s_2 is equal to v_u , therefore the total contribution of u is v_u^2 , and we obtain:

$$s_2 = \sum_{u} v_u^2 / 2 \tag{8}$$

Division by 2 has been introduced in accordance with Randić's definition [1]. Eq. (8) indicates the close relationship between s₂ and one of the Zagreb indices [7]. Eq. (8) may be rewritten:

$$s_2 = \sum_{u} v_u^2 / 2 = \sum_{u} v_u (v_u - 1) / 2 + \sum_{u} v_u / 2$$
 (9)

The second term on the right hand side of eq. (9) is equal to p_1 (the number of edges in T) because of the 'handshake' lemma. The first term is equal to the number of times a path of length two (l_2) can be placed on vertex u. Therefore the first term of eq. (9) is equal to p_2 and $p_1 + p_2 = s_2$.

In order to derive $p_2 + p_3 = s_3$, observe that all second neighbours of u have to be considered now. The second and third columns of Table 1 indicate how many times paths of length two (l_2) and of length three (l_3) can be placed upon the substructures k_{mn} [8,9]. Note that k_{mn} will denote the substructure and the number this structure appears in T. The forth column of Table 1 can be obtained by inspection of the respective substructures k_{mn} . As an exercise consider substructure k_{13} : the endpoint will contribute (two times) to $s(u)_3$, and its contribution is 1 for each neighbour (free valence), therefore the total contribution of k_{13} is equal to 2. As a second example consider k_{22} : Each vertex will contribute 2 to $s(u)_3$, the sum of these contributions is equal to 4. In general the contribution of k_{mn} to $s(u)_3$ is equal to (m-1)n + (n-1)m.

TABLE 1. Substructures k_{mn} and their contributions to $s(u)_3$. Endpoints are denoted by black dots.

Substructure	Number of times l ₂ can be placed upon k _{mn}	Number of times l ₃ can be placed upon k _{mn}	Contribution to s(u)
•• k ₁₁	0	0	0
●—○— k ₁₂	1	0	1
•-0<	2	0	2
k_{13} \bullet 0 k_{14}	3	0	3
-0-0- k ₂₂	2	1	4
-0-0< k ₂₃	3	2	7
-0-0 -	4	3	10
>0-0< k ₃₃	4	4	12
$\mathbf{k}_{\mathbf{m}\mathbf{n}}$	(m-1)+(n-1)	(m-1)x(n-1)	(m-1)n+(n-1)m

The sum of substructures is equal to the number of edges [8,9]:

$$\sum_{mn} k_{mn} = p_1 \tag{10}$$

The number of paths of length two (p_2) is (Table 1):

$$\sum_{mn} k_{mn}(m+n-2) = 2p_2 \tag{11}$$

The factor of two on the right hand side appears because each vertex was considered two times in eq. (11). The number of paths of length three (p_3) is (Table 1):

$$\sum_{mn} k_{mn}(m-1)(n-1) = p_3 \tag{12}$$

Finally for sawe obtain (Table 1):

$$\sum_{mn} k_{mn} (2mn - m - n) = 2s_3$$
 (13)

Combining eqs. (11), and (12) we obtain:

$$2p_2 + 2p_3 = \sum_{mn} k_{mn} [(m + n - 2) + 2(m - 1)(n - 1)] = \sum_{mn} k_{mn} (2mn - m - n) = 2s_3$$
 (14)

and from this $p_2 + p_3 = s_3$ follows immediately.

Next prove that $p_{k\cdot 1} + p_k = s_k$. In order to obtain e.g. $p_3 + p_4 = s_4$, leave the free valences in TABLE 1 unaltered, and replace the edge with symbol - -, where the square denotes a vertex of any degree. Replace symbols l_2 and l_3 by l_3 and l_4 , respectively, and modify the definition of substructure k_{mn} : k_{mn} will now denote the substructure (and the number of such substructures), which was obtained replacing the edge in k_{mn} by - -. Then an analogous argumentation given for the $p_2 + p_3 = s_3$ case can be applied. Similarly if in turn is replaced by - (etc.), the same reasoning can be applied. Therefore we obtain that $p_{k\cdot 1} + p_k = s_k$.

The theorem remains valid for p_0 and p_1 . Since p_0 (the number of paths of length zero) is equal to zero, and $p_1 = N - 1$ (the number of edges in T), $p_0 + p_1 = s_1$, because of the 'handshake' lemma.

DISCUSSION

Path-counts can easily be determined by inspection of the matrix D composed of the distances $d_{i,j}$. The number of times any number k appears in the upper right hand side of D is equal to p_k . Therefore all values of s_k can be obtained in a more simple manner, than by using eq. (1).

Eq. 6 can be expressed in matrix form:

$$\mathbf{B}\mathbf{p} = \mathbf{s} \tag{15}$$

where ${\bf p}$ is vector containing path counts p_k (k=1,...,m), and m denotes the value of the maximal distance in T. Similarly ${\bf s}$ is a vector consisting values of the individual graph valence shells s_k (k=1,...,m). The structure of matrix ${\bf B}$ is the following in case N=5:

$$\mathbf{B} = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 \end{bmatrix}$$
$$\begin{bmatrix} 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 \end{bmatrix}$$

Through inversion of B we obtain:

$$\begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ -1 & 1 & 0 & 0 & 0 \end{bmatrix}$$

$$\mathbf{B}^{1} = \begin{bmatrix} 1 & -1 & 1 & 0 & 0 \\ -1 & 1 & -1 & 1 & 0 \end{bmatrix}$$

And therefore:

$$\mathbf{p} = \mathbf{B}^{-1}\mathbf{s} \tag{16}$$

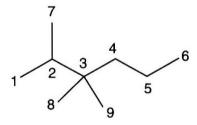


FIGURE 1. Hydrogen suppressed graph of 2,3,3-trimethyl-hexane.

The regular structure of B⁻¹ will not change with other values of N, and we can write:

$$(\boldsymbol{B}^{\text{-l}})_{i,j} = \begin{cases} 0 \text{ if } i < j \\ \\ (-1)^{i+j} \text{ if } i \ge j \end{cases}$$

and therefore expressing path counts in term of graph valence shells:

$$p_1 = s_1 \tag{17}$$

$$p_2 = -s_1 + s_2 \tag{18}$$

$$p_3 = s_1 - s_2 + s_3 \tag{19}$$

$$p_4 = -s_1 + s_2 - s_3 + s_4 \tag{20}$$

$$p_5 = s_1 - s_2 + s_3 - s_4 + s_5 \tag{21}$$

etc.

Several examples of equivalences between topological indices have been reported in the literature [10,11]: it was found that the well-known Wiener index [12] and the Schultz index [13] are related and the resistance distance [14] and the "quasi Wiener index" [15] are also related. Basak et al. [16] after inspecting more than 200 topological indices concluded that the indices could be grouped into various (more or less distinct) classes. Klein and Gutman [17] found that distance based indices are all mutually related and can be expressed in terms of distance distribution moments. Finally we have shown that s₂ is equivalent with one of the Zagreb indices [7].

As a matter of fact it is questionable whether a graph-theoretical index exists, which is not related to any other (graph) invariant at all. Skvortsova et al. [18] found several invariants they considered basic. A similar result was obtained by Estrada and Rodriguez [19], who found that the edge-connectivity index does not (practically) depend on other considered types of topological indices.

Path-counts p_{k-1} , p_k and the graph valence shells s_k are linear transforms, therefore, and in contradiction to Randić's conclusion, they *do not* represent independent features. On the other hand, if such (qualitatively different) indices like path counts and graph valence shells are equivalent, then - using Kirby's wording [20] - what does a topology index index?

REFERENCES

- [1] M. Randić J. Chem. Inf. Comput. Sci., 2000, 41, 000-000.
- [2] M. V. Diudea, D. Horvath, D. Bonchev, Croat. Chem. Acta, 1995, 68, 131-148.
- [3] V. A. Skorobogatov, A. A. Dobrynin, MATCH, 1988, 23, 105-151.
- [4] A. T. Balaban, M. V. Diudea, J. Chem. Inf. Comput. Sci., 1993, 33, 421-428.
- [5] M. V. Diudea, O. M. Minailiuc, A. T. Balaban, J. Comput. Chem. 1991, 12, 527-535.
- [6] M. V. Diudea, J. Chem. Inf. Comput. Sci. 1994, 34, 1064-1071.
- [7] S. Nikolić, N. Trinajstić, I. M. Tolić, J. Chem. Inf. Comput. Sci. 2000, 40, 920-926, and references therein.
- [8] M. I. Skvortsova, I. I. Baskin, O. L. Slovokhotova, V. A. Palyulin, N. S. Zefirov, J. Chem. Inf. Comput. Sci. 1993, 33, 630-634.
- [9] L. H. Hall, R. S. Dailey, L. B. Kier, J. Chem. Inf. Comput. Sci. 1993, 33, 598-603.
- [10] D. J. Klein, Z. Mihalić, D. Plavšić, N. Trinajstić, J. Chem. Inf. Comput. Sci. 1992, 32, 304-305.
- [11] I. Gutman, B. Mohar, J. Chem. Inf. Comput. Sci. 1996, 36, 982-985.
- [12] H. Wiener, J. Am. Chem. Soc. 1947, 69, 17-20.
- [13] H. P. Schultz, J. Chem. Inf. Comput. Chem. 1989, 29, 228-228.
- [14] D. J. Klein, M. Randić, J. Math. Chem. 1993, 12, 81-95.
- [15] N. Trinajstić; D. Babić, S. Nikolić, D. Plavšić, D. Amić, Z. Mihalić, J. Chem. Inf. Comput. Sci., 1994, 34, 368-376.
- [16] S. C. Basak, A. T. Balaban, G. D. Grunwald, B. D. Gute, J. Chem. Inf. Comput. Sci. 2000, 40, 891-898.
- [17] D. J. Klein, I. Gutman, J. Chem. Inf. Comput. Sci. 1999, 39, 534-536.
- [18] M. I. Skvortsova, I. I. Baskin, L. A. Skvortsov, V. AQ. Palyulin, N. B. Zefirov, I. V. Stankevich, Theochem Journal of Molecular Structure 1999, 466, 211-217.
- [19] E. Estrada, L. Rodriguez, J. Chem. Inf. Comput. Sci. 1999, 39, 1037-1041.
- [20] E. C.Kirby, J. Chem. Inf. Comput. Sci. 1994, 34, 1030-1035.