

## Calculating the characteristic polynomial and the eigenvectors of a tree

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### Abstract

We give in this paper an algorithm **T** based on a general graph theoretical procedure for solving systems of linear equations (see [18]).

**T** allows the eigenvalues and eigenspaces of any tree to be simultaneously calculated. Some nice results for caterpillars and cospectral trees are described.

## 1 Introduction

### 1.1 Definitions and notation

Let  $G$  be a finite graph (without loops and multiple edges) with non-empty vertex set  $V = V(G)$  and edge set  $E = E(G)$  which has  $n = n(G) (= |V(G)|)$  vertices; let  $\mathbf{A} = \mathbf{A}(G)$  denote the 0-1 adjacency matrix of  $G$  and let  $\mathbf{I}$  be the  $n \times n$  identity matrix. The polynomial  $\det(\lambda \mathbf{I} - \mathbf{A})$  in  $\lambda$  and its roots are called the **characteristic polynomial** of  $G$ , denoted by  $P_G(\lambda)$ , and the **eigenvalues** of  $G$ , respectively. Let  $\lambda^\circ$  be an eigenvalue of  $G$  and let  $\mathbf{0}$  denote the zero vector on  $n$  components. The set  $\mathbf{X}^\circ = \mathbf{X}(\lambda^\circ)$  of all solutions  $\mathbf{x}$  of the equation

$$(\lambda^\circ \cdot \mathbf{I} - \mathbf{A}) \cdot \mathbf{x} = \mathbf{0}$$

forms the eigenspace of  $G$  belonging to  $\lambda^\circ$  and every non-zero  $\mathbf{x}^\circ \in \mathbf{X}^\circ$  (with  $|\mathbf{x}^\circ| = 1$ ) is a (normalized) **eigenvector** of  $G$  belonging to  $\lambda^\circ$ . (In Hückel theory, these vectors are called "molecular orbitals" by chemists.)

A **tree**  $T$  is a connected graph that has no cycle.

A **forest**  $F$  is a graph whose components are trees.

The number of edges of a Graph  $G$  which are incident with a vertex  $v$  of  $G$  is called the **valency** of  $v$ , denoted by  $val(v, G)$ .

Vertex  $v \in V(T)$  is called an **isolated vertex**, **pendent vertex** or **branching vertex** of  $T$  if and only if  $\text{val}(v, T) = 0$ ,  $\text{val}(v, T) = 1$  or  $\text{val}(v, T) \geq 3$ , respectively (Figure 1).

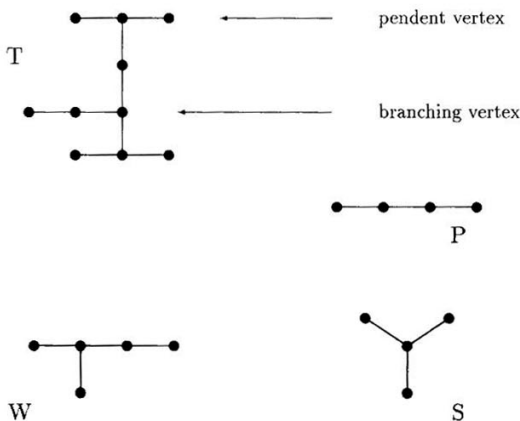


Figure 1

A **whirl**  $W$  is a tree with at most one branching vertex. A **star**  $S$  is a whirl with exactly one branching vertex  $v^*$  and no vertices of valency 2. A **path**  $P$  is a whirl without branching vertex (Figure 1).

The **trivial graph** is the graph with only one vertex (and no edges).

## 1.2 Chemical connection and literature

The interesting eigenvalue-eigenvector problem of a tree has attracted the attention of many theoretical chemists over a long period of time. First results for simple polyenes (paths with even numbers of vertices, see Fig. 2) are given by E. Hückel [1], W.G. Penney [2], J.E. Lennard-Jones [3], C.A. Coulson [4], G.W. Wheland [5] and R.S. Mulliken and C.A. Rieke [6]. H.H. Günthard and H. Primas [7] and U. Wild, J. Keller and H.H. Günthard [8] showed that the eigenvalue-eigenvector problem is of interest in Hückels theory [1]. Much information about the early approaches to Hückel theory of organic compounds are contained in the classical books of C.A. Coulson and A. Streitwieser, Jr. [9], and C.A. Coulson, B.O. Leary and R.B. Mallion [10]. For more details concerning eigenvalues and eigenvectors of a graph see the

mathematical monographs of D.M. Cvetković, M. Doob and H. Sachs [11], and D.M. Cvetković, M. Doob, I. Gutman and A. Torgašev [12].

For trees  $T$ , recursive formulas for calculating  $P_T(\lambda)$  were developed by E. Heilbroner [13] and F. Harary, C. King, A. Mowshowitz and R.C. Read [14]. A table of all eigenvalues for all trees with no more than 10 vertices is contained in [11].

For paths and stars there are explicit formulas for  $P_T(\lambda)$ ,  $T \in \{P, S\}$ , [3, 9, 15, 16] for all eigenvalues [3, 9, 16, ?] and for all eigenvectors [3, 9, 16].

In this paper an algorithm **T** based on a general graphentheoretical procedure for solving systems of linear equations, see [18], is developed. **T** allows the eigenvalues and eigenspaces of any tree to be simultaneously calculated.

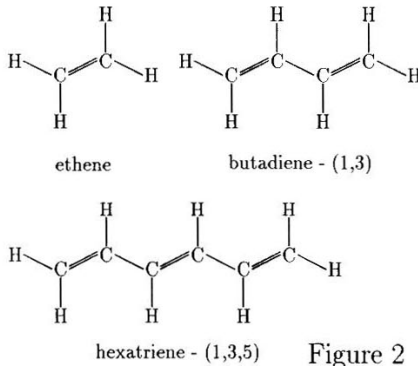


Figure 2

## 2 Path systems of a tree

Let  $T$  be a tree.

If  $n = 1$  then  $T$  is called a **trivial tree** (or **trivial path**).

If  $n > 1$ , put  $V_1 = V_1(T) := \{v \mid v \in V(T) \text{ and } \text{val}(v, T) = 1\}$ ,  $V_b = V_b(T) := \{v \mid v \in V(T) \text{ and } \text{val}(v, T) \geq 3\}$  and  $n_1 = n_1(T) := |V_1|$ ,  $n_b = n_b(T) := |V_b|$ . Clearly,  $n_b(P) = 0$  for every path  $P$ .

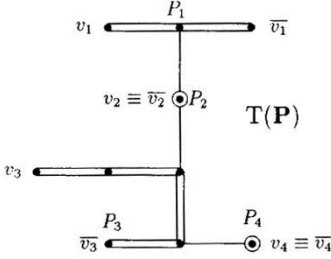
The following fact is well known and easy provable (see [19]).

### Observation 1:

Let  $u$  and  $v$  be distinct vertices of a tree  $T$ . Then there exists in  $T$  exactly one path  $P(u, v)$  with end vertices  $u, v$  (immediate).  $\square$

A **path system** (PS) of  $T$  is a set of pairwise (vertex) disjoint paths of  $T$  (trivial paths being admitted).

A **complete path system** (CPS)  $\mathbf{P} = \mathbf{P}(T)$  of  $T$  is a PS which covers all vertices of  $T$  (Figure 3).



$$\mathbf{P} = \{P_1, P_2, P_3, P_4\}$$

Figure 3

Let  $\Pi = \Pi(T)$  denote the set of all CPSs of  $T$ . For  $\mathbf{P} \in \Pi$ , put  $p = p(\mathbf{P}) := |\mathbf{P}|$ .

**Observation 2:**

For every tree  $T$  and every  $\mathbf{P} \in \Pi$ ,

$$\left\lceil \frac{n_1}{2} \right\rceil \leq p \leq n,$$

where  $\lceil x \rceil$  is the least integer not less than  $x$ .

The proof is simple. □

The elements of  $\mathbf{P}$  are denoted by  $P_k = P(v_k, \bar{v}_k)$ ,  $k = 1, 2, \dots, p$  (Figure 3).

### 3 The algorithm

Let  $T$  be a tree and  $\mathbf{P} \in \Pi$ . Let  $T(\mathbf{P})$  be a drawing of  $T$  in which the edges of  $\mathbf{P}$  are fat (Figure 3).

For  $k = 1, 2, \dots, p$ , direct all edges of  $P_k = P(v_k, \bar{v}_k)$  towards vertex  $\bar{v}_k$ . Thus  $T(\mathbf{P})$  is turned into a partially directed tree  $\vec{T} = \vec{T}(\mathbf{P})$  (with directed paths  $\vec{P}_k$ ) (Figure 4).

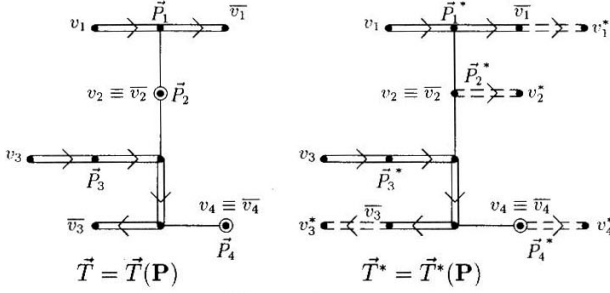


Figure 4

The points  $v_k$  are called the **sources** of  $\vec{T}$ . Every oriented path  $\vec{P}_k$  of  $\vec{T}$  is prolonged beyond its point  $\bar{v}_k$  by one directed edge  $\vec{e}_k^*$  connecting  $\bar{v}_k$  with an additional „virtual“ vertex  $v_k^*$ , thus  $\vec{T}$  is turned into a figure  $\vec{T}^* = \vec{T}^*(\mathbf{P})$  (Figure 4).

The points  $v_k^*$  are called the **sinks** of  $\vec{T}^*$ . For any vertex  $v$  of  $\vec{T}^*$  which is not a source let  $v^+$  be its unique predecessor and let  $N^+(v) := N(v^+) - \{v\}$  be the set of neighbours of  $v^+$  which are different from  $v$  (Figure 5).

#### Algorithm T

To every vertex  $v$  of  $\vec{T}^*$  assign a vector  $\mathbf{d}(v, \lambda) = (d_1(v, \lambda), d_2(v, \lambda), \dots, d_p(v, \lambda))$  accordings to the following rules.

(T.1) For a source  $v_k$  put  $\mathbf{d}(v_k, \lambda) = (\delta_{1k}, \delta_{2k}, \dots, \delta_{pk})$ , where  $\delta_{ii} = 1$  and  $\delta_{ik} = 0$  for  $i \neq k$  ( $i, k = 1, 2, \dots, p$ );

(T.2) for any vertex  $v$  of  $\vec{T}^*$  which is not a source, put 
$$\mathbf{d}(v, \lambda) = \lambda \cdot \mathbf{d}(v^+, \lambda) - \sum_{v' \in N^+(v)} \mathbf{d}(v', \lambda).$$

It is easy to see that, running through  $\vec{T}^*$  from the sources to the sinks, we have no difficulty in successively calculating the vectors  $\mathbf{d}(v, \lambda)$  which are thus uniquely determined by (T.1) and (T.2). Label the vertices of  $\vec{T}^*$  which are distinct from the sources and sinks in any order as  $v_{p+1}, v_{p+2}, \dots, v_n$ . Form the  $n \times p$  matrix

$$\mathbf{D}(T(\mathbf{P}), \lambda) = (\mathbf{d}^T(v_1, \lambda), \mathbf{d}^T(v_2, \lambda), \dots, \mathbf{d}^T(v_n, \lambda))^T = (d_k(v_i, \lambda))$$

$$(i = 1, 2, \dots, n; k = 1, 2, \dots, p)$$

and die  $p \times p$  matrix

$$\mathbf{D}^*(T(\mathbf{P}), \lambda) = (\mathbf{d}^T(v_1^*, \lambda), \mathbf{d}^T(v_2^*, \lambda), \dots, \mathbf{d}^T(v_p^*, \lambda))^T = (d_k(v_j^*, \lambda))$$

$$(k, j = 1, 2, \dots, p).$$

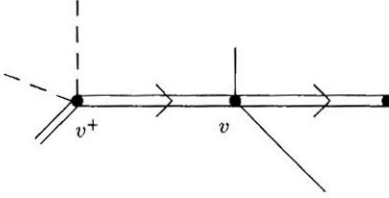


Figure 5

**Theorem 1:**

$$P_T(\lambda) = \det \underline{D}^*(T(\mathbf{P}), \lambda).$$

**Theorem 2:**

Let  $\lambda^0$  be an eigenvalue of  $T$ , let  $\mathbf{y}^0$  be a non-trivial solution of

$$(*) \quad \mathbf{D}^*(T(\mathbf{P}), \lambda^0) \cdot \mathbf{y}^0 = \mathbf{0}$$

and put

$$(**) \quad \mathbf{x}^0 = \mathbf{D}(T(\mathbf{P}), \lambda^0) \cdot \mathbf{y}^0.$$

Then the vector  $\mathbf{x}^0$  is an eigenvector of  $T$  belonging to  $\lambda^0$ , and all eigenvectors belonging to  $\lambda^0$  can be obtained this way.

Both theorems follow from theorems in [18].

□

**Example 1:**

For the tree  $T$  in Figure 1 (see Figure 6 with labelled vertices) we obtain the following matrices:

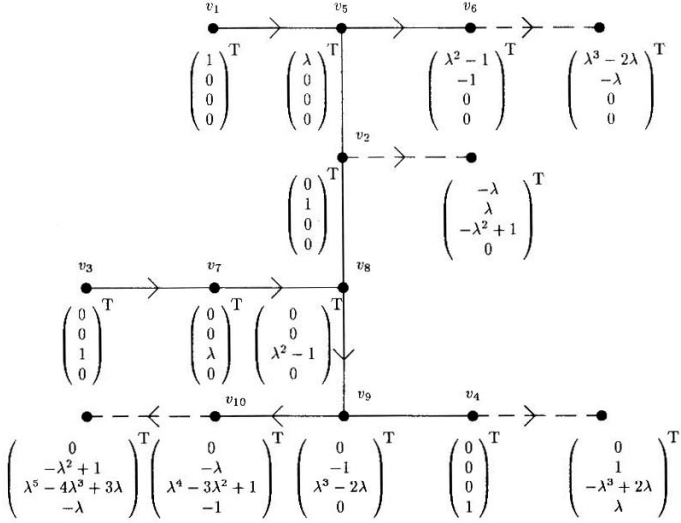


Figure 6

$$\mathbf{D}(T(\mathbf{P}), \lambda) = \begin{pmatrix} 1 & 0 & 0 & 0 & \lambda & \lambda^2 - 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & -1 & 0 & 0 & -1 & -\lambda \\ 0 & 0 & 1 & 0 & 0 & 0 & \lambda & \lambda^2 - 1 & \lambda^3 - 2\lambda & \lambda^4 - 3\lambda^2 + 1 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & -1 \end{pmatrix}^T$$

and

$$\mathbf{D}^*(T(\mathbf{P}), \lambda) = \begin{pmatrix} \lambda^3 - 2\lambda & -\lambda & 0 & 0 \\ -\lambda & \lambda & -\lambda^2 + 1 & 1 \\ 0 & -\lambda^2 + 1 & \lambda^5 - 4\lambda^3 + 3\lambda & -\lambda^3 + 2\lambda \\ 0 & 0 & -\lambda & \lambda \end{pmatrix}^T.$$

Thus

$$P_T(\lambda) = \varepsilon \cdot \det \mathbf{D}^*(T(\mathbf{P}), \lambda) = \lambda^{10} - 9\lambda^8 + 25\lambda^6 - 23\lambda^4 + 4\lambda^2.$$

$\lambda^0 = 0$  is a double root of  $P_T(\lambda)$ ,  $\mathbf{y}_1^0 = (1, 0, 0, 0)$ ,  $\mathbf{y}_2^0 = (0, 0, 0, 1)$  are linearly independent solutions to (\*) and the vectors  $\mathbf{x}_1^0$ ,  $\mathbf{x}_2^0$  corresponding to  $\mathbf{y}_1^0$ ,  $\mathbf{y}_2^0$  by (\*\*) are eigenvectors of  $T$  belonging to  $\lambda^0$ ; their components  $x_{1i}^0$ ,  $x_{2i}^0$  are given in Figure 7,

close to vertex  $v_i$ .

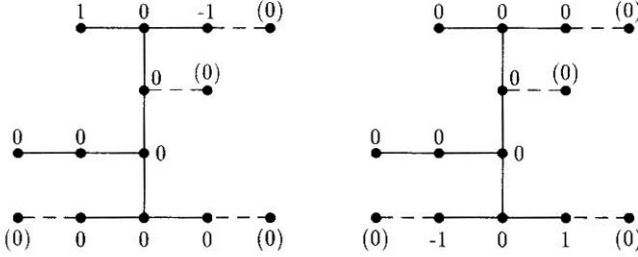


Figure 7

The zeros in the brackets only serve for checking the correctness of the calculation. For the application of the algorithm to be developed, it is very desirable to have a CPS with minimal number of paths.

## 4 Whirls and minimal complete path systems

Let  $T$  be a tree and  $\mathbf{P} \in \Pi$ . A **minimal complete path system** (MCPS)  $\bar{\mathbf{P}}$  of  $T$  is a CPS with a minimal number of paths  $\bar{p} = |\bar{\mathbf{P}}| = \min\{|\mathbf{P}| \mid \mathbf{P} \in \Pi\}$ . We need some further notations.

A CPS of a forest  $F$  is the disjoint union of the CPSs of all components of  $F$ .

A **whirl system** (WS) of  $T$  is a set of pairwise (vertex) disjoint whirls of  $T$  (also trivial whirls are allowed).

A **complete whirl system** (CWS)  $\mathbf{W} = \mathbf{W}(T)$  of  $T$  is a WS which covers all vertices of  $T$  (Figure 8).

Let  $\Omega = \Omega(T)$  denote the set of all CWSs of  $T$ . For  $\mathbf{W} \in \Omega$  let  $w = w(\mathbf{W}) = |\mathbf{W}|$  denote the number of whirls in  $\mathbf{W}$ .



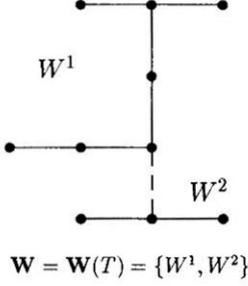


Figure 8

**Observation 3:**

For every (branched) tree  $T$  and every  $\mathbf{W} \in \Omega(T)$ ,

$$\left\lceil \frac{n_b + 2}{3} \right\rceil \leq w \leq n.$$

**Proof:**

Let  $E$  and  $\mathbf{E}$  denote the edge set of  $T$  and of  $\mathbf{W}$ , respectively. Every  $W \in \mathbf{W}$  has at most one branching vertex and every edge from the difference set  $E - \mathbf{E}$  is incident with at most two branching vertices of  $T$  which are not branching vertices of the whirls of  $\mathbf{W}$ . Therefore

$$n_b \leq w + 2 |E - \mathbf{E}| = w + 2(w - 1) = 3w - 2. \quad \square$$

Next we give a sketch of an algorithm finding a MCPS of a (branched) tree  $T$ .

First we construct a sequence  $\mathbf{W} = (W^1, W^2, \dots, W^W)$  of whirls  $W^i \subseteq T$ , forming a CWS in the following way.

If  $T$  itself is a whirl then set  $\mathbf{W} = \{W^1\}$ . Otherwise find a branching vertex  $v_1$  and an edge  $e_1 = (u_1, v_1)$  in  $T$  such that after removing  $e_1$  tree  $T$  decomposes into a whirl  $W^1$  containing  $v_1$  and a subtree  $T^1$  of  $T$  containing  $u_1$  (Figure 9).

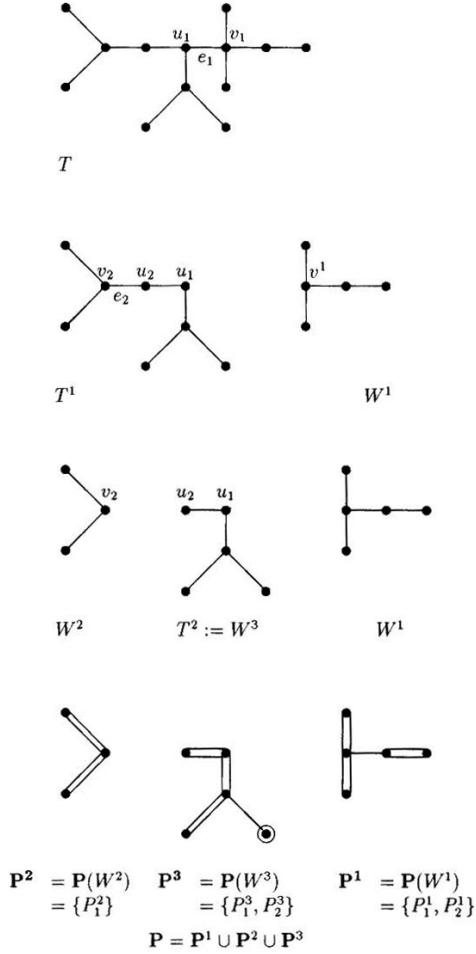


Figure 9

One can easily check that such an edge  $e_1$  exists. If  $T^1$  is not a whirl then repeat this procedure in order to get an edge  $e_2 = (u_2, v_2)$  of  $T^1$  such that  $T^1 - e_2$  consists of a whirl  $W^2$  (containing  $v_2$ ) and a tree  $T^2$  (containing  $u_2$ ). Continue until a tree  $T^{w-1}$  is found which is itself a whirl:  $T^{w-1} = W^w$ . A sequence  $(W^1, W^2, \dots, W^w)$  of a tree  $T$  constructed this way will be called an **outer whirl sequence** (OWS) of  $T$ , denoted by  $\text{OWS}(T)$ .

Let  $n_1^i = n_1(W^i)$  be the number of pendent vertices of  $W^i$ ,  $i = 1, 2, \dots, w$ . For every whirl  $W^i$  there is a CPS  $\mathbf{P}^i = \{P_1^i, P_2^i, \dots, P_{p_i}^i\}$  with exactly  $P^i = n_1^i - 1$  paths (Figure 9); such a system can be found by the following procedure. Connect two arbitrary pendent vertices of  $W^i$  by the path  $P_1^i$ . Removing the edges and vertices of  $p_1^i$  from  $W^i$  results in a graph consisting of paths  $P_2^i, P_3^i, \dots, P_{n_1^i-1}^i$ . It is easy to see that  $\mathbf{p}^i$  is an MCPS for  $W^i$ . The path system  $\mathbf{P} = \mathbf{P}^1 \cup \mathbf{P}^2 \cup \dots \cup \mathbf{P}^w$  is a CPS of  $T$  and it consists of exactly  $n_1^1 + n_1^2 + \dots + n_1^w - w$  paths.  $\mathbf{P}$  is, in fact, a MCPS; this follows from the next theorem.

**Theorem 3:**

Any CPS of  $T$  has no less than  $n_1^1 + n_1^2 + \dots + n_1^w - w$  paths.

**Proof** by induction on the number  $w$  of whirls. If  $w = 1$ , the statement is evidently true. Now assume that it is true for all trees having an outer whirl sequence with no more than  $w$  whirls and let  $T$  be a tree with  $\text{OWS}(T) = (W^1, W^2, \dots, W^{w+1})$ . The tree  $T^1$  has the  $\text{OWS}(W^2, W^3, \dots, W^{w+1})$ . Let  $\mathbf{P}$  be a CPS of  $T$ . The deletion of all edges and vertices of  $W^1$  and of  $e_1$  from the paths of  $\mathbf{P}$  results in a CPS  $\mathbf{P}'$  of  $T^1$ , and removing all edges and vertices of  $T^1$ . Except  $u_1$  from the paths of  $\mathbf{P}$  we obtain a CPS  $\mathbf{P}^*$  of the whirl  $W^1 \cup e_1$  (Figure 10). Exactly one path of  $\mathbf{P}$  has vertices both in  $\mathbf{P}^*$  and  $\mathbf{P}'$  that is the path containing  $u_1$ .

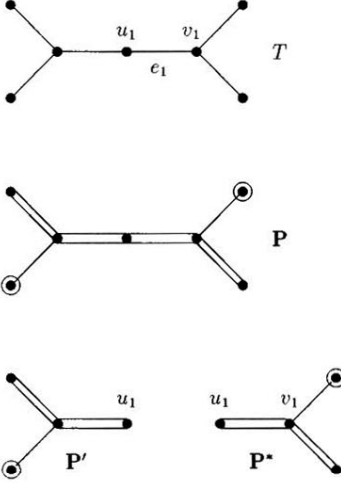


Figure 10

Therefore we get

$$|P| = |P^*| + |P'| - 1.$$

By induction assumption

$$|P'| \geq n_1^2 + n_1^3 + \dots + n_1^{w+1} - w \text{ and}$$

$$|P^*| \geq n_1^1.$$

Combining these equations and inequalities we obtain

$$|P| \geq n_1^1 + n_1^2 + \dots + n_1^{w+1} - (w + 1)$$

proving the assertion.  $\square$

**Remark:** The problem of minimizing the number of paths in a CPS of a tree is equivalent to the problem of maximizing a set in an intersection of two matroids (see [20]).

This problem having a good solution algorithm (i.e., being solvable in polynomial time), it is conceivable that there are also other simple algorithms for finding a MCPS.

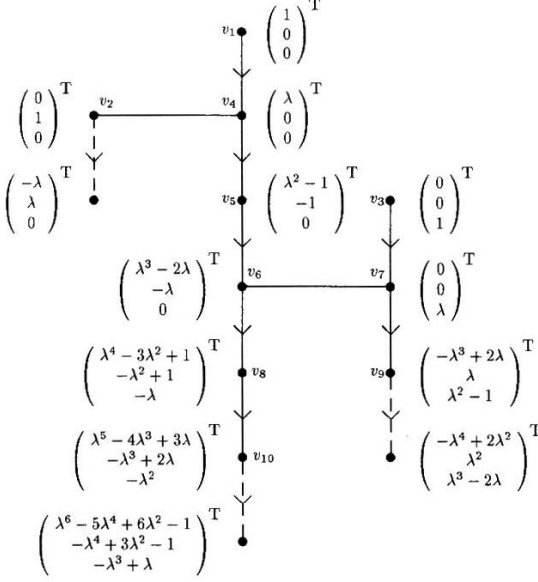


Figure 11

**Example 2:**

For the tree  $T$  in Figure 1 (see Figure 11 with labelled vertices) we obtain the following matrices:

$$\mathbf{D}(T(\overline{\mathbf{P}}), \lambda) = \begin{pmatrix} 1 & 0 & 0 & \lambda & \lambda^2 - 1 & 0 & \lambda^4 - 3\lambda^2 + 1 & -\lambda^3 + 2\lambda & \lambda^5 - 4\lambda^3 + 3\lambda \\ 0 & 1 & 0 & 0 & -1 & 0 & -\lambda^2 + 1 & \lambda & -\lambda^3 + 2\lambda \\ 0 & 0 & 1 & 0 & 0 & \lambda & -\lambda & \lambda^2 - 1 & -\lambda^2 \end{pmatrix}^T$$

and

$$\mathbf{D}^*(T(\overline{\mathbf{P}}), \lambda) = \begin{pmatrix} -\lambda & \lambda^6 - 5\lambda^4 + 6\lambda^2 - 1 & -\lambda^4 + 2\lambda^2 \\ \lambda & -\lambda^4 + 3\lambda^2 - 1 & \lambda^2 \\ 0 & -\lambda^3 + \lambda & \lambda^3 - 2\lambda \end{pmatrix}^T.$$

Thus  $P_T(\lambda) = \varepsilon \cdot \det \mathbf{D}^*(T(\overline{\mathbf{P}}), \lambda)$  and for the double root  $\lambda^0 = 0$  of  $P_T(\lambda)$ ,  $\mathbf{y}_1^0 = (1, 0, 0)$ ,  $\mathbf{y}_2^0 = (1, -1, 0)$  are linearly independent solutions to (\*) and the vectors  $\mathbf{x}_1^0, \mathbf{x}_2^0$  corresponding to  $\mathbf{y}_1^0, \mathbf{y}_2^0$  by (\*\*) are eigenvectors of  $T$  belonging to  $\lambda^0$ ; their components are given in Figure 7.

## 5 Another algorithm to calculate $P_T(\lambda)$

Let  $T$  be a tree with at least 3 vertices and  $v'' \in V(T)$ . Let  $val_1(v'', T) = |N(v'', T) \cap V_1(T)|$  denote the number of pendent vertices of  $T$  which are adjacent to vertex  $v''$ . Assign to every vertex  $v$  of  $T$  the weight  $w(v) = w(v, T) := val_1(v, T)$  and delete all pendent vertices of  $T$ . Thus  $T$  is turned into a weighted tree which we denote by  $H = H(T)$ .  $H$  is called the **reduced tree** of  $T$  (Figure 12). Find an MCS  $\bar{\mathbf{P}}(H)$  of  $H$  with  $q$  paths and construct  $H(\bar{\mathbf{P}})$ ,  $\vec{H}$ ,  $\vec{H}^*$  (Figure 12) as described above for  $T$ .

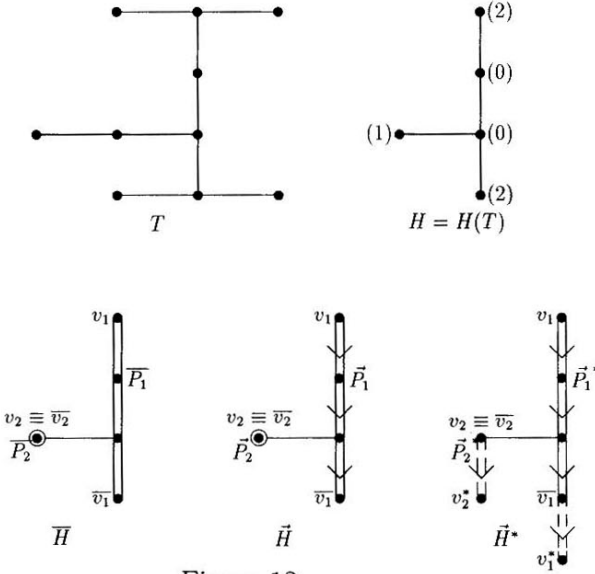


Figure 12

### Algorithm H

To every vertex  $v$  of  $\vec{H}^*$  assign a vector  $\mathbf{f}(v, \lambda) = (f_1(v, \lambda), f_2(v, \lambda), \dots, f_q(v, \lambda))$  by use of the following rules

- (H.1) For a source  $v_k$  put  
 $\mathbf{f}(v_k, \lambda) = (\delta_{1k}, \delta_{2k}, \dots, \delta_{qk})$ ,  
 where  $\delta_{ii} = 1$  and  $\delta_{ik} = 0$  for  $i \neq k$  ( $i, k = 1, 2, \dots, q$ );
- (H.2) for any vertex  $v$  of  $\vec{H}^*$  which is not a source, put  
 $\mathbf{f}(v, \lambda) = (\lambda - w(v^+) \cdot \mu) \cdot \mathbf{f}(v^+, \lambda) - \sum_{v' \in N^+(v)} \mathbf{f}(v', \lambda)$ ,  
 where  $\mu := 1/\lambda$ .

It is easy to see that the vectors for the sink vertices are uniquely determined by (H.1) and (H.2). Form the  $q \times q$  matrix

$$\mathbf{F}^*(H(\vec{\mathbf{P}}), \lambda) = (\mathbf{f}^T(v_1^*, \lambda), \mathbf{f}^T(v_2^*, \lambda), \dots, \mathbf{f}^T(v_q^*, \lambda))^T = (f_k(v_i^*, \lambda))$$

( $i, k = 1, 2, \dots, q$ ).

#### Theorem 4:

$P_T(\lambda) = \lambda^{n_1} \cdot \det \mathbf{F}^*(H(\vec{\mathbf{P}}), \lambda)$ , and  $n_1 = n_1(T)$ .

Note that this theorem is a modification of a theorem in [18]. □

The polynomial

$f_T(\lambda) := \det \mathbf{F}^*(H(\vec{\mathbf{P}}), \lambda)$  is called **reduced characteristic polynomial** of  $T$ .

Note that this algorithm is also applicable for calculating the eigenvectors of  $T$  which belong to an eigenvalue  $\lambda \neq 0$  using the procedure described above in slightly modified form.

#### Example 3:

The simple calculation of  $P_T(\lambda)$  is given in Figure 13.

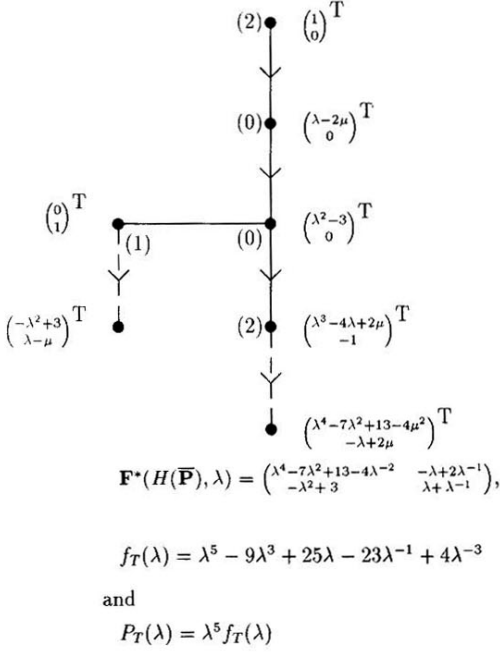


Figure 13

## 6 Caterpillars

Let  $C_n := C(n, a)$  be a caterpillar tree such that the reduced tree  $H_n := H(C_n)$  is a weighted path with  $n$  vertices and every vertex has weight  $a$  ( $a = 1, 2, \dots$ ) (Figure 14).



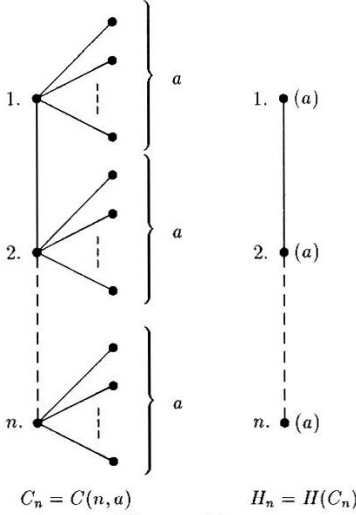


Figure 14

Note that  $C_n$  has  $N = n(a + 1)$  vertices. In this case,

$P_{C_n}(\lambda) = \lambda^{an} \cdot f_{C_n}(\lambda)$ , where  $f_{C_n}(\lambda)$  satisfies the recursion

$$(\otimes) \quad f_{C_n}(\lambda) = \left(\lambda - \frac{a}{\lambda}\right) \cdot f_{C_{n-1}}(\lambda) - f_{C_{n-2}}(\lambda) \text{ (by use of algorithm H).}$$

Thus  $(\otimes)$  - in connection with the initial expressions  $f_{C_0}(\lambda) := 1$ ,  $f_{C_1}(\lambda) = \lambda - \frac{a}{\lambda}$  - enables  $f_{C_n}(\lambda)$  and  $P_{C_n}(\lambda)$  to be easily calculated. The result is the formula

$$f_{C_n}(\lambda) = \sum_{i=0}^n (-1)^i k_n(i) \lambda^{n-2i}$$

where  $k_n(i)$  is the number of matchings with exactly  $i$  edges in  $C_n$  (see [17]). It is easy to prove (by induction) the validity of the following equations

$$k_n(i) = \begin{cases} 1, & \text{if } n = 0 \\ a^n, & \text{if } n = i > 0 \\ a \cdot k_{n-1}(i-1) + k_{n-2}(i-1) + k_{n-1}(i), & \text{if } n \geq 2 \\ \text{and } i = 1, 2, \dots, n-1, \end{cases}$$

$$k_n(i) = a^{2i-n} \cdot k_n(n-i) \text{ or } a^{n-i} \cdot k_n(i) = a^i \cdot k_n(n-i)$$

and

$$k_n(i) = \sum_{j=0}^i \alpha_n(i, j) a^{i-j},$$

where  $\alpha_n(i, j) = \binom{n-j}{i} \cdot \binom{i}{j}$ .

Therefore, the explicit formula for the characteristic polynomial of  $C_n$  is

$$\begin{aligned} (\otimes \otimes) P_{C_n}(\lambda) &= \lambda^{an} \cdot \sum_{i=0}^n (-1)^i \left\{ \sum_{j=0}^i \binom{n-j}{i} \binom{i}{j} a^{i-j} \right\} \cdot \lambda^{n-2i} = \\ &= \sum_{i=0}^n (-1)^i \left\{ \sum_{j=0}^i \binom{n-j}{i} \binom{i}{j} a^{i-j} \right\} \lambda^{N-2i}. \end{aligned} \quad (1)$$

The eigenvalues for  $C_n$  are described in the following observation.

**Observation 4:**

$C_n$  has the eigenvalue 0 with multiplicity  $N - 2n = (a - 1)n$  (because of  $(\otimes \otimes)$ ). The remaining  $2n$  eigenvalues can easily be obtained from  $(\otimes)$  by using the trigonometric transformation  $\lambda - \frac{a}{\lambda} = 2\cos\varphi : \lambda_k^\pm = \cos \frac{k\pi}{n+1} \pm \sqrt{\cos^2 \frac{k\pi}{n+1} + a}$  ( $k = 1, 2, \dots, n$ ).

If  $\lambda_0$  is a root of  $P_{C_n}(\lambda)$ , then  $|\lambda_0| < 1 + \sqrt{a+1}$  and

$$\lim_{n \rightarrow \infty} \max\{|\lambda| \mid P_{C_n}(\lambda) = 0\} = 1 + \sqrt{a+1}.$$

Note that the  $\alpha_n(i, j)$  play also a role in the theory of dimer coverings of square lattices, see [21, 22], see also [23].

## 7 Cospectral trees

Two nonisomorphic graphs  $G', G''$  are called cospectral if  $P_{G'}(\lambda) = P_{G''}(\lambda)$ .

In 1957 L. Collatz and U. Sinogowitz [24] showed that the two trees  $T' = T'(a, b)$  and  $T'' = T''(c, d)$  given in Figure 15 are cospectral if  $(a, b, c, d) = (3, 3, 4, 1)$ .

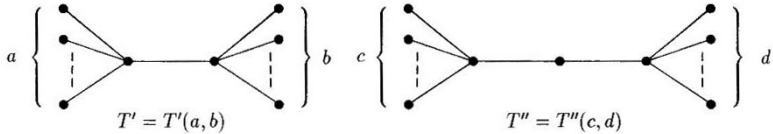


Figure 15

Define

$$\begin{aligned}\tau_1(k) &:= (k+3, 2k+3, 2k+4, k+1), \\ \tau_2(u) &:= (u^2+u+1, u^2+u+1, (u+1)^2, u^2), \\ \tau_3(u, k) &:= (u^2+(k+1)u+1, u^2+(k+1)(u+1), u^2+(k+2)u+k+1, u^2+ku)\end{aligned}$$

where  $k$  and  $u$  are integers. Note that  $\tau_1(0) = \tau_2(1) = \tau_3(1, 0) = (3, 3, 4, 1)$ . Trees  $T'$  and  $T''$  are cospectral if  $(a, b, c, d) = \tau_1(k)$ ,  $k \geq 0$ , and if  $(a, b, c, d) = \tau_2(u)$ ,  $u \geq 1$ ; this was shown by Mowshowitz [15] (1972) and by Schwenk [25] (1973), respectively. Using algorithm  $H$  we find that  $T'$  and  $T''$  are also cospectral if and only if  $(a, b, c, d) = \tau_3(u, k)$ ,  $k \geq 0$ ,  $u \geq 1$ .

## 8 Concluding remark

Note that Algorithm **T** can be applied in modified form (see, e.g., [26]) also for edge and vertex weighted trees.

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